

# Ion scattering spectroscopy intensities for supported nanoparticles: The hemispherical cap model



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## ABSTRACT

Nanoparticles of one element or compound dispersed across the surface of another substance form the basis for many materials of great technological importance, like catalysts, fuel cells, sensors and biomaterials. Nanoparticles also often grow during thin film deposition. The size and number density of such nanoparticles are important, often estimated with electron or scanning tunneling microscopies. However, these are slow and often unavailable with sufficient resolution for particles near 1 nm. Because the probe depth of low-energy ion scattering spectroscopy (LEIS) with  $\text{He}^+$  and  $\text{Ne}^+$  is so shallow (less than one atom), it provides quantitative information on the fraction of the surface that is covered by such nanoparticles. Combined with the total amount per unit area, this fraction provides the average particle thickness. When the ions are incident or detected at some angle away from the surface normal, macroscopic screening effects cause interpretation of LEIS signals in terms of area fraction covered to be complicated. In this paper, we report a geometric analysis of particles with the shape of hemispherical caps so that LEIS signals obtained in any measurement geometry can also be used to quantitatively determine the area fraction, average particle thickness and diameter, or number density of particles.

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A very common structural motif in materials science involves nanoparticles of one material dispersed across the surface of another. Such structures are commonly encountered when making and/or studying catalysts, microelectronics, plasmonic and optical devices, nanoelectrode arrays, sensors, coatings and thin film growth by vapor deposition or other condensation processes. For example, metal nanoparticles dispersed across the surfaces of oxides and carbon supports are key ingredients in many heterogeneous catalysts, fuel cells, other electrocatalysts and photocatalysts, all of which are crucial for energy, fuel and environmental technologies and chemical processing. One of the three growth modes that occur during thin film growth by vapor deposition is the Volmer–Weber mode, whereby clusters of the deposited material first nucleate and then grow as 3D nanoparticles on the substrate [1–7].

A method to estimate the size and number density of such nanoparticles is based upon measurements of the intensities of peaks associated with substrate and adsorbate elements using low-energy ion scattering spectroscopy, LEIS. A model that has been applied for analyzing these LEIS intensities is the hemispherical cap model introduced by Diebold et al. [8] which assumes that the nanoparticles all have the shape of hemispheres and the same diameter. It is often applied to cases where the LEIS intensities of substrate and adsorbate peaks have been measured versus the amount of adsorbate deposited on the flat substrate surface, in which case the further assumption is made that the number of particles per unit area remains constant. This assumption is based on the well-

known fact that in many such systems, the number density of particles increases in the first few percent of a monolayer (ML), but quickly reaches the so-called saturation density, after which it stays nearly constant until very high coverages [2]. This number density is estimated from a fit to these plots of intensities versus coverage (or the intensities at any one coverage) to the resulting equations of this hemispherical cap model, which also gives the average diameter of the particles at each coverage [8]. Unfortunately, those equations for the hemispherical cap model only were intended to apply to one measurement geometry (when the ions are incident  $45^\circ$  from normal and the scattered ion intensities have been measured for a take-off angle normal to the substrate surface). Unfortunately, there is an error in that equation. Here, we present a correction and extension of that model that allows it to be applied at any angles of incidence and detection. Other angles are often demanded by the constraints on sample mounting or manipulation imposed by the particular vacuum chamber being used.

Here we consider LEIS using noble gas ions like  $\text{He}^+$  at energies below 2 kV. Since such ions get neutralized with essentially unit probability when they penetrate the electron density of a solid by an amount deeper than a small fraction of an atom, the ions that survive scattering from the solid and get detected as LEIS signal probe only the topmost atomic layer of the solid [9]. Let us define more clearly what we mean by “topmost atomic layer” here. We do not mean the topmost plane of atoms, since substantial signal comes from the 2nd plane of atoms on very open crystal faces. For example, the atoms within the very open topmost plane of both the O- and Zn-terminated faces of  $\text{ZnO}(0001)\text{-O}$  and  $\text{ZnO}(000\bar{1})\text{-Zn}$  are separated by a large distance (0.33 nm), but their

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two topmost atomic planes are nearly coplanar, separated by only 0.06 nm. Therefore, both these top two planes give signals in  $\text{He}^+$  LEIS. Still, the Zn/O signal ratio is 2.5- to 5-fold larger on the Zn-face than the O-face for 500 to 700 eV incident ions [10]. This is similar to what one would expect from what one “sees” upon visual inspection of a crystal model of these ZnO faces made using touching spheres to represent the atoms. This visual model is thus close to what is seen in LEIS signals using noble gas ions like  $\text{He}^+$  at energies below 2 kV. Similarly, essentially all the LEIS signal comes from the topmost atomic plane when it is close-packed [9], as would again be expected from such a visual model. We do not consider here spectroscopies where neutrals are detected, since they can probe much deeper.

We consider here systems where the adsorbate A is on a flat substrate surface S in the form of islands, and assume that the ions have a constant neutralization probability when they strike areas that are material S and another, perhaps different neutralization probability when they strike areas covered by A islands.

We first consider the case where the adsorbate is in the form of single atom-thick 2D islands. In this case, the signal intensity for the LEIS peak for the adsorbate,  $I_A$ , is proportional to the fraction of the surface physically covered by islands of A,  $f_A$ :

$$I_A = f_A I_A^0, \quad (1)$$

where  $I_A^0$  is the signal when 100% of the surface is covered by a 2D layer of A. The substrate peak's intensity,  $I_S$ , is just:

$$I_S = (1-f_A) I_S^0, \quad (2)$$

where  $I_S^0$  is its signal when none of the surface is covered by A (i.e., from a clean S surface). Here we assume that since the islands are only one atom thick, any shadowing of uncovered substrate by the island edges is negligible at any angles of incident ions or detection. This is certainly true for large islands, but may fail when the islands are only a few atoms across.

When the adsorbate is in the form of uniform-size 3D hemispherical nanoparticles instead of single atom-thick 2D islands, the same analysis as above applies if the ions are both incident and detected normal to the surface. Thus, Eqs. (1) and (2) still apply, except that  $I_A^0$  now refers instead to the signal when 100% of the surface is covered by 3D islands of A. This 100% coverage is impossible to realize experimentally while maintaining the shape of hemispherical caps, so  $I_A^0$  is usually approximated experimentally when studying film growth as the signal when 100% of the surface is covered by a 3D layer of A. This is best measured after having added just enough A to the surface such that its 3D islands have grown together to mask all of the signal from S, which most closely approximates the same surface roughness factor of hemispherical caps. It is important to have the same roughness (i.e., the same distribution of local angles of the surface of A relative to the substrate surface normal) because the number of A surface atoms per unit projected area of A onto the planar substrate varies inversely with the cosine of the local angle of the surface of A relative to the substrate surface normal. Thus, the LEIS signal for A per unit projected area of A onto the planar substrate probably also depends on that local angle. Therefore, this rough surface of pure A with a very similar distribution of surface angles as for hemispherical caps is a better reference signal for estimating  $I_A^0$  than a flat surface of bulk A. It is also often easier to achieve this experimentally than a flat surface of pure A on the same day as the nanoparticle measurements, unless one has the ability to mount a separate reference sample in the same analysis position. Unless the A atoms are quite mobile, this surface roughness persists or even gets worse as film thickness increases. If A does not like to wet the substrate, it can also get rougher and can even dewet upon annealing.

When the ions are incident or detected at some angle away from the substrate surface normal, the analysis is more complex, since the 3D hemispheres mask more of the solid than their actual footprint

(or interfacial contact area on S). Consider first when ions are incident still normal to the surface but detected at some polar angle  $\theta_d$  from normal, as shown in Fig. 1 for a particle of radius  $r$ . As shown, more of the surface is masked by the particle than its footprint area  $\pi r^2$ . The left side masked area is now half of an ellipse with semi-minor axis of length  $r$  and semi-major axis of length  $b = r/\cos\theta_d$ . Since the area of the full ellipse would be  $\pi r b = \pi r^2/\cos\theta_d$ , the masked area by one particle is now:

$$\text{Area per particle} = \pi r^2(1 + 1/\cos\theta_d)/2. \quad (3)$$

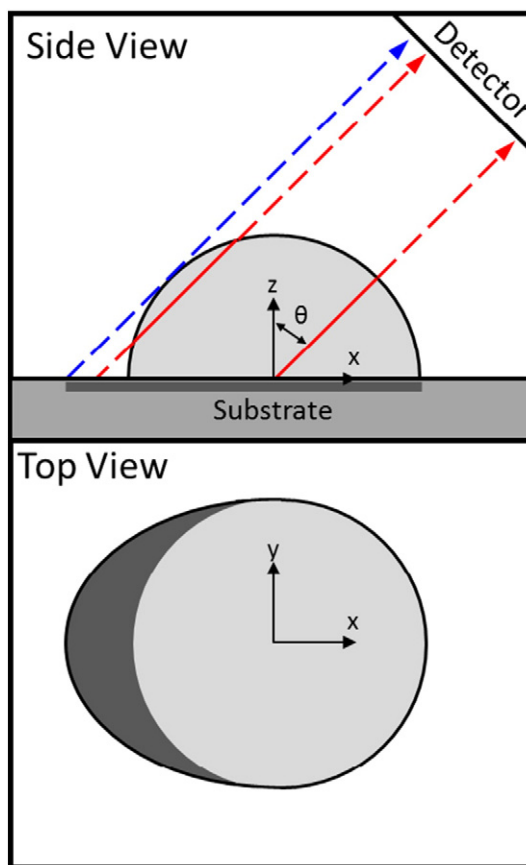
If there is a number density of  $n$  such particles per unit area and their separations are large enough that their shadows do not overlap, the fraction of the substrate's area that is masked by the whole collection of particles of A is just:

$$f_A = n \times \text{Area per particle} = n\pi r^2(1 + 1/\cos\theta_d)/2. \quad (4)$$

When the angle of detection is normal to the surface ( $0^\circ$ ) but the ions are incident at some angle  $\theta_i$  from normal, one gets a nearly identical equation for the fraction masked by A:

$$f_A = n\pi r^2(1 + 1/\cos\theta_i)/2. \quad (5)$$

Finally, when the ions are incident at some angle  $\theta_i$  from normal and the angle of detection is at some angle  $\theta_d$  from normal, and these two



**Fig. 1.** Schematic diagram of a hemispherical cap of adsorbate and the underlying substrate from both side and top views. The detector is at the angle shown but infinitely far away compared to the particle size. The y axis (not shown) is out of plane. Ions scattered from the substrate will be completely blocked from reaching the detector by the hemispherical cap if they originate from the shadow created by the cap (darker shaded area). The hashed area of the substrate therefore represents the effective spectroscopic footprint of the particle at this particular angle of detection ( $\theta_d$  from normal to the substrate surface).

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