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¹ First principles Tafel kinetics of methanol oxidation on Pt(111)

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ABSTRACT

Electrocatalytic methanol oxidation is of fundamental importance in electrochemistry and also a key reaction in 14 direct methanol fuel cell. To resolve the kinetics at the atomic level, this work investigates the potential-15 dependent reaction kinetics of methanol oxidation on Pt(111) using the periodic first principles continuum sol-16 vation model based on modified-Poisson–Boltzmann equation (CM-MPB), focusing on the initial dehydrogena-17 tion elementary steps. A theoretical model to predict Tafel kinetics (current vs potential) is established 18 by considering that the rate-determining step of methanol oxidation (to CO) is the first C – H bond breaking 19 (CH₃OH_(aq) \rightarrow CH₂OH^{*} + H^{*}) according to the computed free energy profile. The first C – H bond breaking reac-20 tion needs to overcome a large entropy loss during methanol approaching to the surface and replacing the 21 adsorbed water molecules. While no apparent charge transfer is involved in this elementary step, the charge 22 transfer coefficient of the reaction is calculated to be 0.36, an unconventional value for charge transfer reactions, 23 and the Tafel slope is deduced to be 166 mV. The results show that the metal/adsorbate interaction and the sol-24 vation environment play important roles on influencing the Tafel kinetics. The knowledge learned from the 25 potential-dependent kinetics of methanol oxidation can be applied in general for understanding the electrocat-26 alytic reactions of organic molecules at the solid–liquid interface. 27

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33 1. Introduction

The direct methanol fuel cells (DEFCs) are regarded as a key technol-06 ogy for energy storage and conversion, which features methanol oxida-**Q7** tion (MOR) on an anode to deliver clean, abundant and reliable energy 36 $(CH_3OH + H_2O \rightarrow CO_2 + 6H^+ + 6e^-)$ [1–5]. The state-of-the-art DMFC 37 anode catalysts are generally Pt-based materials [6-9]. Extensive stud-38 39 ies on MOR kinetics have been carried out in the past decades [10–17] with the aim to reduce Pt usages (e.g. alloys or dispersing into nanopar-40ticles) while improving CO tolerance [18–21]. It is generally accepted 41 that methanol could be oxidized to CO₂ via a dual-path mechanism 4243 [22-24], namely, the indirect pathway via adsorbed CO and the direct one without the participation of CO. In both pathways, the dehydroge-44 nation reactions (either the C-H or O-H bond breaking) are the initi-4546 ating steps. To date, the major concern in the catalyst design is to maintain the dehydrogenation efficiency of Pt while reducing its CO 47 poisoning. 48

From the combined chronoamperometry and mathematical simulation [11,23,25], it was regarded that the C-H bond breakage (e.g. $CH_3OH \rightarrow CH_2OH + H^+ + e$) is the rate-determining step. This is supported by some theoretical calculations [26–32]. For example, Greeley et al. have shown that the initial C-H bond breaking is the

http://dx.doi.org/10.1016/j.susc.2014.05.014 0039-6028/© 2014 Elsevier B.V. All rights reserved. rate-determining step for methanol decomposition in vacuum. The re- 54 action barrier of the O-H bond breaking (0.64 eV) is higher than that 55 of the C-H bond breaking (0.51 eV) [33,34]. The kinetic data from the 56 experiment has been utilized to construct the current ~ overpotential 57 $(\log(j) \sim \eta)$ plot, known as the Tafel plot. The Tafel equation as shown 58 Eq. (1) is a fundamental equation in the kinetics of electrochemistry, 59 which relates the overpotential η with the current density *j* using the 60 charge transfer coefficient α (i.e. if the rate-determining step involving 61 one electron transfer with the charge transfer coefficient α of ca. 0.5, the 62 Tafel slope 2.3RT/ α F should be 118 mV). Interestingly, the measured 63 Tafel slopes of methanol decomposition span in a wide window, from 64 95 to 440 mV by different experimental groups, e.g. 110 and 95 mV 65 for Pt(554) and Pt(553) [11], 130-140 mV at polycrystalline(pc)-Pt 66 [23] and even ~440 mV at pc-Pt [33]. The large distribution of the 67 Tafel slope obtained from experiment, on the other hand, indicates a 68 complex dehydrogenation kinetics on Pt surfaces, which could be 69 quite sensitive to the catalyst condition and the experimental setups. 70

$$\eta = \mathbf{a} - \frac{2.3 \mathrm{RT}}{\alpha \mathrm{F}} \log(\mathbf{j}) \tag{1}$$

Ideally, theoretical kinetic model based on first principles calculations should be utilized to benchmark and rationalize different experi-73 mental data, as that was often practiced in heterogeneous catalysis 74 [35,36]. One major concern for current density functional theory (DFT) 75

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76 calculations is the lack of proper means to simulate accurately the elec-77 trochemical conditions, in particular to treat simultaneously the electrochemical potential, the surface charging and the solid-liquid interface. 78 79 With the advent of the periodic DFT/CM-MPB method developed recently, the potential dependent kinetics of elementary electrocatalytic 80 reactions can now be investigated in one unified theoretical framework. 81 This work represents a latest application of the DFT/CM-MPB [37-39] 82 83 method for resolving the puzzles on the Tafel kinetics of MOR and for 84 providing insights into the oxidation mechanism of organic molecules 85 on metal at the atomic level. Multiple reaction pathways for methanol 86 decomposition on Pt(111) surface are investigated in detail and the 87 free energy profiles are computed, based on which the potential dependent kinetics model is established and discussed in the context of exper-88 89 imental findings.

90 2. Methods

91 All DFT calculations were performed using the SIESTA package with numerical atomic orbital basis sets [40,41] and Troullier-Martins 92normconserving pseudopotentials [42]. The exchange-correlation func-93 tional utilized was at the generalized gradient approximation level, 94 known as GGA-PBE [43]. The optimized double- ζ plus (DZP) polariza-95 96 tion basis set was employed. All transition states (TSs) of the catalytic reaction were searched using our recently-developed methods within 97 the Constrained-Broyden scheme [44–46]. For Pt(111), we utilized 98 $p(4 \times 4)$ (16 atoms per layer) six-layer slabs with adsorbates on both 99 surfaces, respectively. The Monkhorst_Pack type of k-point sampling 100 101 with a $(2 \times 2 \times 1)$ mesh was used in all calculations, and the denser $(4 \times 4 \times 1)$ k-point mesh was used to further check the convergence 102of reaction energetics. The other calculation detail is as those described 103 in our previous work [47,48]. 104

The solid/liquid interface is described using the periodic continuum 105106solvation model based on the modified Poisson-Boltzmann equation (CM-MPB), which can take into account the long-range electrostatic 107interaction due to the solvation of electrolyte [37,48,49]. The DFT/CM-108 MPB method has been utilized to calculate the electro-photo catalytic 109reactions at the solid-liquid interfaces [37,38,49], and compute the fun-110 damental properties of metal surfaces in the solution, such as the poten-111 tial of zero charge and the differential capacitance, where the calculated 112 values show a good agreement with the available experimental data 113 [48]. For strongly polarized molecules at the solid-liquid interface, 114 115 such as CH₃OH and the related reaction intermediates, we have to further add explicit H₂O molecules as the first solvation shell within the 116 DFT/CM-MPB framework to compute accurately the energetics, i.e. via 117 a hybrid approach with both explicit and implicit solvation to describe 118 the solvation environment. 119

120 It should be noted that the current DFT/CM-MPB method is performed on the basis of the constant-charge framework, in which a surface 121 slab at a fixed net charge (q) can be routinely calculated. As there are two 122surfaces per slab, the surface net charge Q_{net} equals to half of the total net 123charge q, $Q_{net} = q/2$. The neutralizing charge (-q) is distributed in the 124125vacuum region of the slab following the modified Poisson-Boltzmann 126 equation, mimicking the polarized ionic charge distribution (diffuse layer) in the solution [37]. The constant-charge model in calculation is 127however not exactly what is operated in the experiment, where the 128electrode is generally held under a certain potential. We therefore need 129130to convert the kinetic data collected at a certain charge q to that at a certain potential U, which can be summarized briefly as follows. 131

For an elementary reaction, the free energy barrier $\Delta G_a(q = 0, \theta_i)$ at the potential of zero charge (q = 0) condition can be obtained first using Eq. (2),

$$\Delta \mathbf{G}_{\mathsf{a}}(q,\theta_i) = \mathbf{G}_{\mathsf{TS}}(q,\theta_i) - \mathbf{G}_{\mathsf{IS}}(q,\theta_i) \tag{2}$$

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$$\Delta G_{a}(U,\theta_{i}) = \Delta G_{a}(q,\theta_{i})|_{q \leftrightarrow U}$$
(3)

where θ_i indicates the surface phase characterized by a particular local 139 coverage *i* and $G_{TS/IS}$ are the free energies at the TS/IS (IS: initial state). In this work, the coverage of methanol is kept as 0.0625 ML on 140 Pt(111), which corresponds to a typical low coverage condition. This 141 first step (q = 0 calculations) involves the location of the relevant TS 142 and ISs at the charge neutral condition, as is typical in standard periodic 143 DFT packages. Next, one needs to establish the linkage between the 144 charge q and the potential U. This is done by carrying out a series of cal- 145 culations with the variable q and simultaneously measuring the poten- 146 tial U of each q state using DFT/CM-MPB. The absolute electrochemical 147 potential of the system (a surface slab) can be measured by computing 148 the work function in the solution and then referring it to the experimen- 149 tal work function of the standard hydrogen electrode (SHE, 4.4-4.8 from 150 experiment; and 4.6 V utilized in this work). By this way, the free energy 151 barrier at a concerned U can be finally obtained with Eq. (3). The free en- 152 ergy correction (referring to the standard state) to the DFT/CM-MPB 153 total energy can be derived by using the standard thermodynamic equa- 154 tions (see for example ref. [50]). The constant-charge DFT/CM-MPB 155 method for computing the potential dependent kinetics of electrocata- 156 lytic reactions has been utilized to resolve the complex reaction net- 157 work of hydrogen evolution on Pt and Au surfaces recently [51]. 158

3. Results

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3.1. CH₃OH adsorption at the interface

To address the kinetics of MOR, it is essential to understand first how 161 methanol molecule adsorbs at the solid/liquid interface, which is the 162 precursor leading to the methanol oxidation. The possible structure of 163 adsorbed CH₃OH on Pt(111) has been explored by using the hybrid explicit/implicit solvation model. The explicit H₂O molecules (at least two molecules) nearby the methanol are found to be important to obtain accurate energetics. 167

We identified two of the most stable configurations of CH₃OH on 168 Pt(111), namely, the IS-A and IS-B configurations. These two configura- 169 tions are shown in Fig. 1. In the IS-A configuration (Fig. 1(a)), the O atom 170 of CH₃OH attaches to a surface Pt atom via a O – Pt bond (2.45 Å) togeth- 171 er with another adsorbed water molecule; in the IS-B configuration, 172 CH₃OH is hydrogen-bonded (1.51 Å) with the adsorbed H₂O, having 173 no direct contact with the surface. These two structures are quite close 174 to the bilayer structures for H₂O adsorption on metal surfaces as previously found by theory and experiment [52,53]. This may not be surprising considering that the dominant interaction between molecules is the 177 same, i.e. the hydrogen bonding. 178

$$\Delta E_{ad} = E_{CH_3OH-H_2O/sur}^{sol} - E_{sur}^{sol} - E_{CH_3OH}^{sol} - 2E_{H_2O}^{sol}$$
(4)

The stability of the CH₃OH-H₂O complexes shown in Fig. 1 can be calculated using Eq. (4) by referring to the individual surface or mole- 181 cules in the bulk water solution, where E_X^{solv} (X = CH₃OH-H₂O/sur, 182 sur, CH₃OH and H₂O) denotes the total energies calculated from the 183 DFT/CM-MPB for system X. We found that the IS-B configuration 184 (-0.74 eV) is slightly more stable compared to the IS-A adsorption configuration (-0.71 eV) (by 0.03 eV), which suggests that the direct inter- 186 action between methanol and Pt(111) surface is weak and can be 187 readily influenced by the solvation environment. We noticed that for 188 the adsorbed CH₃OH-H₂O complex, their H-bonding distances are gen- 189 erally shorter (1.51 Å for the IS-B configuration or 1.68 Å for the IS-A) 190 compared to the CH_3OH-H_2O complex in the bulk solution (1.70 Å). 191 This indicates that the molecules upon adsorption are further polarized 192 by the surface through the metal/molecule covalent bonding, which in- 193 fluences the H-bonding interaction at the solid/liquid interface. 194

To better understand the adsorption strength of the individual 195 methanol molecule, we also compared the ΔE_{ad} for methanol on 196 Pt(111) in vacuum condition and in the CM-MPB solvation condition 197

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