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Surface Science Letters Wulff shape of strontium titanate nanocuboids

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ABSTRACT

Here we describe the Wulff shape of strontium titanate nanocuboids prepared by a hydrothermal method and annealed at high temperature. Transmission electron microscopy was used to measure the faceting ratios $d_{(110)}$: $d_{(100)}$ which are compared with surface energy ratios $\gamma_{(110)}$: $\gamma_{(100)}$ from first-principles calculations. Internal voids attributed to the Kirkendall effect were also measured and show agreement with the external faceting. Experiment and theory are shown to agree strongly within statistical and density functional theory error.

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1. Introduction

Oxide materials have been developed for a broad array of applications ranging from catalysis [1], to dielectrics [2], ferroelectrics [3], and transparent conductors [4,5]. One material which has been studied in detail is strontium titanate (SrTiO₃ or STO) due to its prototypical cubic perovskite crystal structure [6] and its widespread use as a substrate for growth of thin films. There have been several works published regarding synthesis of STO in various nanoscale morphologies [7–9]. In spite of the considerable volume of literature on the subject, there is limited understanding of the properties of STO in nanoparticle form. It is also important to consider that surfaces are distinct from the bulk due to the loss of coordination going from an "infinite" periodic structure to an abrupt termination of the said periodicity [10]. Given that the surface-to-volume ratio increases as particle size decreases, the properties of STO nanoparticles could be quite different from bulk STO.

It is well-known that the nanoparticle shape is thermodynamically controlled by the thermodynamic Wulff construction [11]. This is the surface that minimizes the total surface free energy of a crystal, and is found by taking the inner envelope of tangents of the surface energy as a function of crystallographic orientation. As such, the coverage of different facets will be fixed for a particular material system in thermodynamic equilibrium [12].

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In this note we report the Wulff shape of STO annealed in air using electron microscopy to measure both the external shape as well as that of the internal Kirkendall voids (Fig. 1).

2. Methods

Strontium titanate nanocuboids were prepared by hydrothermal synthesis as described elsewhere [13-16]. The samples were dispersed on SiN TEM grids and subsequently annealed at different temperatures (700 °C to 950 °C in steps of 50 °C) for various times









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Fig. 2. Projection of nanocuboid along left [111] zone axis and right [001] zone axis.

Table 1 Measured $d_{(110)}$: $d_{(100)}$ ratios for nanocuboids following various annealing conditions.

Annealing conditions	# Cuboids measured	Mean <i>d</i> ₍₁₁₀₎ : <i>d</i> ₍₁₀₀₎ ratio	Standard deviation	Margin of error
700 °C, 5 h, Air	2	1.173	0.032	0.286
750 °C, 10 h, Air	3	1.130	0.039	0.096
800 °C, 5 h, Air	3	1.198	0.038	0.342
950 °C, 2 h, O ₂	8	1.140	0.026	0.052
950 °C, 5 h, O ₂	6	1.160	0.050	0.022
950 °C, 20 h, O ₂	9	1.107	0.086	0.066
Total	29	1.139	0.055	0.045

in a fused silica tube within a tube furnace. A JEOL JEM-2100 FasTEM was used for TEM imaging and electron diffraction (TED) measurements. The TED measurements were used to determine the crystallographic orientation and characterize defects in the nanocuboids. Diffraction patterns were captured using the CCD camera on the microscope and averaged over several exposures.

Calculations for the observed Wulff shape were carried out by measuring the distance from the center of several nanocuboids to the respective face (either (100) or (110) in our case) and yielded the $d_{(110)}$: $d_{(100)}$ ratios which are proportional to the ratios of surface free energy per area (Fig. 2). All nanocuboids analyzed in this fashion were imaged near to the [001] zone axis and the arithmetic mean of several measurements was calculated for each anneal temperature (see Table 1).

DFT calculations were performed with the all-electron augmented plane wave + local orbitals WIEN2K code [17]. The surface in-plane

lattice parameters were set to those of the corresponding DFT optimized bulk cell, with ~1.6 nm of vacuum to avoid errors within the DFT calculations as well as in the STM simulations, the latter being done using the Tersoff–Hamann approximation [18]. Muffin-tin radii were set to 1.55, 2.36 and 1.75 bohr for O, Sr and Ti respectively, as well as a min(RMT) * K_{max} of 7.0, with a 3 \times 3 \times 1 Brillouin-zone reciprocal space sampling of the primitive unit cell. The electron density and atomic positions were simultaneously converged using a quasi-Newton algorithm [19]; the numerical convergence was better than 0.01 eV/1 \times 1 surface cell. The PBEsol [20] generalized gradient approximation as well as the revTPSS method [21] was used, with 0.5 on-site exactexchange the optimized number for several test TiO_x molecules similar to earlier work [22]. The surface enthalpy per (1×1) surface unit cell (E_{surf}) was calculated as: $E_{surf} = (E_{slab} - E_{STO} * N_{STO} - E_{TO} * N_{TO}) /$ $(2 * N_{1x1})$, where E_{slab} is the total enthalpy of the slab, E_{STO} for one bulk SrTiO₃ unit cell, N_{STO} the number of bulk SrTiO₃ unit cells, E_{TO} bulk rutile TiO₂, N_{TO} the number of excess TiO₂ units and $(N_{1\times 1})$ the number of (1×1) cells. Consistency checks between the different functionals indicated an error in the energies of approximately $0.1 \text{ eV}/1 \times 1$ cell (~60 mJ/m², 8 kJ/mol).

As a caveat, DFT calculations are substantially better for relative energies than absolute ones. Common, simple functionals badly overestimate the covalency, leading to too much hybridization of the oxygen 2p and metal d states. While it is common to use LDA + U methods to correct this, we prefer an on-site exact exchange method as this leads to an effective U which varies as a function of metal co-ordination and so is more appropriate. In addition to this, the use of a metaGGA leads to a much better treatment of the states at surfaces, and much better surface errors. However, there will still be systematic errors, for instance the non-bonded O–O repulsions which are probably under-estimated.

3. Results

The results of TEM imaging reveal a general cubic morphology with the (100) facets dominating, but with additional significant coverage of (110) faces. The nanocuboids were single crystals as evidenced by the nanodiffraction measurements (see Fig. 3). HREM demonstrates that faces which appear flat at low magnifications have many defects and step edges, which are a combination of the (100) and (110) faces. There were also defects present within the nanocuboids with the same shape and faceting as the exterior surfaces. Thickness mapping measurements showed that these areas were thinner than the rest of the nanocuboids and thus they were identified as voids or cavities. Such voids have been observed in other work ([23,24]), and in our



Fig. 3. TEM images of annealed nanocuboids along [001] zone axis demonstrating distinct (100) and (110) faceting that is characteristic of the Wulff shape, in a) annealed at 700 °C and b) 900 °C.

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