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Atomic layer deposition of enantioselective thin film of alumina on

2 chiral self-assembled-monolayer

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ABSTRACT

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20 **2**2 23 In this paper, we describe the synthesis of new chiral nanosized surfaces based on chiral self-assembled monolayers coated with metal oxide (Al₂O₃) nanolayers. In this new type of nanosized chiral surface, the Al₂O₃ 14 nanolayers enable the protection of the chiral self-assembled monolayers while preserving their enantioselective nature. The chiral nature of the SAMs/Al₂O₃ films was characterized by a variety of techniques, such as, quartz crystal microbalance, circular dichroism (CD) spectroscopy and chiral crystallization. The proposed methodology for the preparation of nanoscale chiral surfaces described in this article could open up opportunities in other fields of chemistry, such as chiral catalysis.

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25 1. Introduction

In recent years, chirality at the nanoscale [1–4] and chiral surfaces 2627[5–14] have been found to play an important role in nanotechnology, 28particularly chiral nanoscale surfaces and chiral nanoparticles. Generally, chiral solid surfaces can be derived from chiral bulk structures that 29expose chiral, enantioselective surfaces, such as guartz and other 30 crystalline materials (typically covalent inorganic oxides) [15]. Another 31 category of chiral solid surfaces is composed of crystalline solids pro-32 duced from materials with achiral bulk structures. Such chiral surfaces 33 are based on single crystals of metals (with bcc or hcp crystal structures) 34 [7] that expose high Miller indices with periodic arrays of steps, terraces, 35 and kinks. Finally, the most common method for the preparation of chi-36 37 ral surfaces is the adsorption of chiral molecules, typically onto metallic surfaces. The resulting surface is chiral if the adsorbed molecules retain 38 their chirality. Although chiral surfaces based on self-assembled mono-39 layers (SAMs) or polymeric surfaces exhibit highly enantiospecific prop-40 41 erties, they still suffer from many restrictions, such as low thermal stability and poor chemical and mechanical characteristics, which limit 42 their use for chemical applications, particularly for enantioselective ca-43 44 talysis and chiral separation.

In our previous research we proposed an innovative type of stable 45 nanosized chiral metal oxide surface [16]. The structure and chirality 46 47of this type of chiral surface is based on chiral self-assembled monolayers (SAMs) coated with nanosized films of metal oxide materials 48 (e.g. TiO₂). In Fig. 1 the overall design and synthetic paths for this chiral 49SAM/metal oxide surface are shown. The fundamental principle of 5051design of these chiral surfaces is that the metal oxide nanolayers coating 52the chiral SAMs protect the chiral SAMs that would otherwise be destroyed under severe reaction conditions, while preserving their 53enantioselectivity [14]. 54

Our working hypothesis, that it is feasible to design chiral surfaces 55 based on a chiral SAM/metal oxide nanolayer hybrid system, is support-56 ed by our previous research [16,17] and that of other research groups 57 [18,19] who showed that chirality can be templated in metal oxides to 58 form chiral materials, such as nanoporous alumina nanofilms and mesoporous silica chiral structures. The first demonstration of the prepara-60 tion of chiral metal oxide surfaces was reported by the Switzer group 61 [20]. In a series of articles [20–22] they showed that chiral surfaces of 62 CuO can be electrodeposited onto achiral substrates (e.g., gold) using 63 solution-templating agents, such as tartaric acid and amino acids, to difer the chiral growth of the metal oxide surfaces. 65

In our previous paper [16], we described the development of 66 this innovative type of chiral hybrid surface, based on chiral self- 67 assembled monolayers of cysteine coated with TiO₂ nanolayers, and 68 demonstrated its chiral nature using various analytical techniques, 69 such as quartz crystal microbalance, second-harmonic generation circu- 70 lar dichroism (SHG-CD), circular dichroism spectroscopy, and chiral 71 crystallization. 72

Generally, many inorganic metal oxides, such as silica, zeolite, alumi-73 na, zirconia, ZnO, clay, and others, can be used as supports for chiral 74 SAMs. In this paper we extend our research and demonstrate the basic 75 principle of this type of chiral hybrid surface for other chemical systems. 76 We decided to use Al₂O₃ monolayers to form protective layers on the 77 chiral SAMs, mainly because the atomic layer deposition synthesis of 81₂O₃ does not require high temperature processing that could harm 79 the chiral SAMs. Various growth techniques can be applied to synthesize 80 ceramic nanolayers on chiral SAMs such as vacuum-based methods 81 including chemical vapor deposition (CVD) and metal organic CVD 82 (MOCVD), or chemical solution methods including sol–gel, electro-83 chemical deposition, and hydrothermal synthesis. However, in this 84 work we utilize the atomic layer deposition (ALD) technique [23,24] 85

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Fig. 1. Illustration of the design and the synthesis paths for the new chiral SAMs/metal oxide surfaces.

since it provides excellent thickness control and produces very denseand uniform layers.

88 2. Material and methods

Gold films were deposited on mica, $KAl_2(AlSi_3O_{10})(OH)_2$. Typically, a 89 50 nm thick layer of gold (99.995%) was sputtered on freshly cleaved 90 mica sheets at a pressure of approximately 1×10^{-3} mbar with a depo-91 sition rate of 0.5 nm s^{-1} . Chiral SAMs were formed on the gold surfaces 9293 by immersing the gold substrates overnight in 10 mM solutions of D, 94L-cysteine or L-glutathione (Fig. S1) in deionized water. After removal from the solutions, the chiral SAMs surfaces were washed thoroughly 95with water and blown dry with nitrogen. 96

97 2.1. Atomic layer deposition (ALD)

The samples were introduced into the ALD system (Cambridge, Fiji 98 F200). The Al₂O₃ thin films were deposited onto chiral SAMs surfaces 99 using a Al(CH₃)₃ precursor and water. Ar served as both the carrier 100 and the purging gas. Thin films of Al₂O₃ were grown on the chiral 101 SAMs at 373 K under 1×10^{-2} Torr. The ALD cycle consisted of 0.06 s 102exposure to Al(CH₃)₃ (evaporated at 348 K), 10 s Ar purge, and a 103 0.06 s exposure to water. A total of 130 ALD cycles were used to obtain 104 Al₂O₃ films with a thickness of 8.9 nm. 105

106 2.2. High-resolution transmission electron microscope (HR-TEM)

Images were taken with a 2100 JEOL microscope working at a voltage of 200 kV and were recorded on a CCD 4×4 k camera (Gatan). EDS chemical analysis was performed with a Thermo electron group system.

111 2.3. X-ray photoelectron spectroscopy analysis (XPS)

112 XPS analyses were performed in a Kratos AXIS-HS spectrometer, using a monochromatized $Al_{K\alpha}$ source. Survey and high resolution spec-113 tra were acquired at 75 or 150 W, respectively. All data acquisitions 114 were performed at detection pass energies of 40-80 eV. All XPS mea-115surements were carried out at room temperature, under vacuum condi-116 tions of (1.0-3.0) 10⁻⁹ Torr. Spectra were acquired with a low electron 117 flood gun for charge neutralization. The spectrometer energy scale was 118 routinely calibrated according to the ISO TC/201 SC7 international pro-119cedure for binding energy (BE) with Au 4f 7/2 83.98 and Cu 2p 3/2 120932.67. Spectra binding energy were not corrected for charging shifts. 121Data processing was done with VISION software (Kratos). 122

123 2.4. Quartz crystal microbalance (QCM)

Changes in the resonance frequency as a result of adsorption processes on the sensor electrode were monitored by a SRS QCM 200 sensor (Stanford Research Systems, Sunnyvale, USA) system. AT-cut quartz crystals with gold electrodes and a fundamental oscillating frequency of 5 MHz (Stanford Research Systems, Inc.) were used as sensing substrates. The sampling period and the resolution of the frequency counter were 1 s and 0.1 Hz respectively. All the measurements were done at 130 room temperature. 131

2.5. Circular dichroism (CD) 132

CD spectra were recorded on a Chirascan CD spectrometer (Applied 133 Photophysics) using a bandwidth of 1 nm, from 600 to 350 nm. The step 134 size and time were set at 1 nm and 0.5 s respectively. During the CD 135 measurement, each surface was rotated in 90° intervals from 0° to 270°. 136

In all crystallization experiments, analytical grade amino acids 138 (>99.9%) were purchased from Sigma Aldrich and used as received. 139 The experiments were conducted with supersaturated solutions of 140 DL-threonine (7.5 g) and DL-valine (2.875 g) in deionized water 141 (25 ml). Thereafter, the solutions were heated to 75 °C and stirred 142 until they were completely dissolved and left to cool to room tempera-143 ture. Finally, the chiral surfaces were placed vertically in the crystalliza-144 tion solutions. Macrosized crystals crystallized at the chiral surfaces; the 146 enantiomeric excess (ee) in both crystals crystallized on the surfaces 147 and bulk crystallization solutions were measured separately, in order 148 to determine the amount of chiral separation. Specific light rotation 149 was measured with a JASCO digital polarimeter (Model P-1010 150 $\lambda = 589$ nm, $\pm 0.05^{\circ}$ accuracy) using a cylindrical quartz cell (10 mL, 151 L = 100 mm) at room temperature by dissolving the crystals in water. 152

3. Results and discussion

The first step in the synthesis of this type of nanochiral surface requires the preparation of chiral SAMs. Generally, chiral SAMs can be produced by reacting gold substrates with thiols that feature chiral groups at the tail terminus. Gold films of 50 nm thickness were deposited on mica substrates using a high-vacuum sputtering technique. AFM measurements of the gold films show very smooth films with an average root-mean-square (RMS) roughness of 5 Å and typical gold grain sizes of 60 nm [16]. X-ray diffraction measurements of the gold films indicate strong orientation of the gold films along the (111) crystal plane [16].

For the chiral SAMs, we used enantiomers of cysteine and glutathione. The chiral SAMs were formed on the gold surfaces by immersing the gold substrates in 10 mM solutions of cysteine or glutathione in water overnight. The formation and structure of the chiral SAMs on the gold surfaces were verified using various techniques. X-ray photoleectron spectroscopy (XPS) of the chiral SAMs on the gold surface shows typical Au 4f (81 eV), N 1 s (398 eV), and C 1 s (282 eV) peaks. In addition, the sulfur (S 2p) peak seen at 159 eV is attributed to the S-Au bond. Finally, contact angle measurements of the bare gold (contact angle of 67°) and of the D or L-cysteine SAMs (contact angle of 18° and full spread for glutathione) indicated the formation of homogenous self-assembled monolayers on the gold surfaces.

Al₂O₃ films were grown by ALD using Al(CH₃)₃ as the precursor, following the process described previously [25]. Briefly, films were grown 177

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