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Cu cluster deposition on $ZnO(10\overline{10})$: Morphology and growth mode predicted from molecular dynamics simulations

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ABSTRACT

Clusters of Cu on ZnO surfaces are established catalyst systems produced by vapor deposition of Cu that consolidates into discrete clusters. Factors such as the geometry of the ZnO surface, the nature of the interface between 23 Cu and ZnO, and the size and distribution of metal clusters strongly control the reactivity and stability of the catalyst. Here, we report on the development and use of charge-optimized many-body (COMB) potentials to model 25 the deposition and subsequent evolution of Cu clusters on ZnO $(10\overline{10})$ using classical molecular dynamics (MD) 26 simulations. The simulations predict that the deposited Cu spreads to form two-dimensional (2D) clusters until 27 the coverage is above 0.4 monolayers (ML). Thereafter, the 2D clusters grow thicker with increasing Cu coverage 28 and finally form three-dimensional (3D) clusters. The predictions are compared to published experimental data 29 and help to elucidate aspects of the growth that are currently the subject of controversy in the literature. In addition, the influence of such factors as incident cluster deposition energy, ZnO surface temperature, and different 31 supports on the surface morphology of the Cu clusters are examined. The results are expected to provide useful 32 guidance for the improved production of dispersed Cu clusters on ZnO surfaces.

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1. Introduction

Over the past decade, the Cu/ZnO system has been widely used as a catalyst for the synthesis of methanol via hydrogenation of CO and $\rm CO_2$ [1], water–gas shift reaction for removal of CO [2,3], and the production of hydrogen by steam reforming of methanol [4]. As a result, this system has been widely studied in an attempt to better understand the mechanisms by which it functions with the hope that the same principles may be used to create catalysts with greater activity and selectivity. Because factors such as the surface geometry, the interface between the metal and oxide, and the size and distribution of metal clusters are thought to strongly control the reactivity and stability of the catalyst [5,6], these issues have motivated many investigations of Cu growth on several ZnO surfaces.

To date, there have been several surface studies to characterize Cu growth on polar [7–11] and nonpolar ZnO surfaces [12–15] by techniques such as scanning tunneling microscopy (STM) and X-ray photoelectron spectroscopy (XPS). Nevertheless, there are discrepancies in the nucleation sites and growth mechanisms suggested by the experimental

data, presumably originating from differences in sample preparation 56 and/or surface analysis techniques [13]. Therefore, atomistic modeling of 57 Cu growth on ZnO is used to elucidate aspects of the growth that are subject to controversy in the literature [13,16,17] by excluding the effect of 59 containment atoms and residual gas. In addition, it is expected to provide 60 insights that are complementary to the experimental data, and ultimately 61 to improve future catalyst design.

Here, a third-generation charge-optimized many-body (COMB) po- 63 tential for ZnO is developed and used to model the ZnO ($10\overline{10}$) surface 64 interacting with either a single Cu adatom or a beam of deposited 65 and equilibrated Cu clusters in classical molecular dynamics (MD) sim- 66 ulations. The use of a reactive potential with dynamic charge [18,19], 67 such as COMB, in the simulations under temperature and pressure con- 68 ditions that are similar to those in the experiments is necessary to fully 69 describe the potential nanometer-scale changes at the interface, includ- 70 ing sintering of the Cu clusters, alloying with the oxide surface, and ox-71 idation of the Cu during the growth process. The COMB predictions for 72 ZnO properties and of adsorption and migration energies of Cu atoms 73 on the ZnO (1010) surface are compared to published experimental 74 data and to the results of density functional theory (DFT) calculations. 75 In addition, the MD simulation results are compared with STM results 76 to explain some puzzling experimental observations. The simulations 77 further explore the way in which the growth mode and final mor- 78 phology of the Cu clusters are influenced by changes in the Cu incident 79 deposition energy and surface temperature. Cu growth on ZnO is also 80

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compared to Cu growth on Cu to determine how the nature of the surface influences the predictions.

The rest of the paper is organized as follows: In Section 2, a description of the COMB3 potential is provided along with results that compare the predicted properties of wurtzite ZnO and Cu-Zn alloys with available experimental results and DFT calculations. In Section 3, the static predictions for the adsorption energy and migration barriers for Cu on $ZnO(10\overline{10})$ are given. This section also provides the results of the MD simulations, which include the effects of incident energy, ZnO surface temperature, and different supports on the growth and evolution of deposited Cu clusters. Finally, the conclusions are given in Section 4.

2. Simulation methodology

2.1. Charge-optimized many body (COMB) potential

In classical MD simulations, Newton's equations of motion are integrated and the forces on the atoms are determined with empirical potential functions. The use of accurate, transferrable potentials is therefore crucial to accurately model the material systems of interest. The atomic-scale calculations and simulations reported here are carried out using the third-generation COMB potential (COMB3). The development of COMB3 uses terms from the second-generation COMB potential (COMB2) [20] for electrostatic effects, the second-generation reactive empirical bond order (REBO2) [21] potential for short-range interactions, and the coordination function that was developed for the REBO potential for MoS₂ [22] systems. In particular, because of the replacement of the original Tersoff expression, which lacks the four-body dihedral term to capture the delocalized bonding in hydrocarbon systems, with short-range interaction terms used in REBO2, the COMB3 potential can be extended to C/H/O/N [23] systems. The complete details of the third-generation COMB formalism can be found in Refs. [23] and [24].

The procedure used for parameter fitting follows that outlined by Liang et al. [25]. In particular, element-specific parameters are fit for pure elements (O, Cu, and Zn) and bond-specific parameters are fit for Cu₂O and ZnO systems. The main strength of the COMB potential is its transferability. For example, the element-specific parameters for O and Cu, and their associated bond-specific parameters, are utilized from existing COMB potentials for the Cu/Cu₂O system [26]. As for the parameterization of the Zn/ZnO system, we used the same fitting strategy that was used previously for the COMB2 Zn/ZnO potential [23]. The potential details for the Cu/ZnO system in LAMMPS may be found in the Supplementary materials.

Table 1 lists the properties of the ZnO wurtzite phase predicted by the COMB3 potential reported here, in comparison with published

Table 1 Comparison of the properties of ZnO wurtzite phase predicted by the COMB2 and COMB3 potentials with values obtained from experiments and DFT calculations.

t1.4	Properties	Exp.	DFT	COMB2	COMB3
t1.5	a ₀ (Å)	3.242	3,292	3.249	3.267
t1.6	c_0 (Å)	5.187	5.293	5.213	5.189
t1.7	c/a	1.600	1.608	1.604	1.582
t1.8	$E_{\rm c}$ (eV/ZnO)	-7.520	-7.692	-7.440	-7.524
t1.9	B (GPa)	136	134	147	138
t1.10	C_{11} (GPa)	207	230	252	206
t1.11	C_{12} (GPa)	121	82	129	114
t1.12	C_{13} (GPa)	106	64	98	97
t1.13	C ₃₃ (GPa)	210	247	267	217
t1.14	C ₄₄ (GPa)	43	75	37	44
t1.15	C ₆₆ (GPa)	_	74	57	47
t1.16	$\gamma_{Cleave} \left(10\overline{1}0\right) (J/m^2)$		2.30	2.12	2.21
t1.17	$\gamma_{Cleave} (11\overline{2}0) (J/m^2)$		2.50	2.31	2.33
t1.18	$\gamma_{Cleave}(0001)/(000\overline{1})$ (J/m ²)		4.30	2.02	2.61
t1.19	$\Delta E(ZnS-Wurtzite)(eV/ZnO)$		0.013	0.008	0.021
t1.20	$\Delta E(NaCl-Wurtzite)(eV/ZnO)$		0.237	0.217	0.903
t1.21	$\Delta E(CsCl-Wurtzite)(eV/ZnO)$		1.358	0.958	1.225

experimental data, DFT calculations and previous second-generation 123 COMB (COMB2) results [27]. The DFT calculations used to parameterize 124 and validate the potential were carried out with the Vienna ab initio 125 Simulation Program (VASP) [28–31] within the generalized-gradient 126 approximation (GGA), using the Perdew–Burke–Ernzerhof (PBE) [32,33] 127 exchange-correlation functional. The valence electron orbitals for zinc 128 included the 3d and 4s orbitals, while the 2s and 2p electrons were considered for oxygen. A plane-wave basis with a 500 eV energy cutoff and 130 a $6 \times 6 \times 6$ Monkhorst-Pack k-point mesh were used. For the Cu-Zn 131 alloys, the valence electron orbitals of 3d and 4s are considered for copper. 132 A plane-wave basis with a 345 eV energy cutoff and a $6 \times 6 \times 6$ 133 Monkhorst-Pack k-point mesh were used. The convergence criteria 134 were set at 1×10^{-5} eV and 1×10^{-2} eV·Å⁻¹ for energies and forces, 135 respectively, for ZnO and CuZn systems.

From Table 1, it is clearly shown that the newly developed COMB3 137 potential exhibits good predictions as COMB2 potential on the cohesive 138 energy and the elastic constants of the wurtzite phase. Most importantly, the incorrect surface energy predictions of the COMB2 potential have 140 been improved in the new COMB3 potential, where the polar surfaces of 141 wurtzite ZnO have higher cleavage energies than nonpolar surfaces. 142 Nevertheless, because the stabilization effect for the ideal termination 143 of the polar surfaces of ZnO involves charge compensation of the surface 144 layers, the cleavage energy for the polar surfaces is not quantitatively 145 captured in the current COMB potential. Therefore, in future work incorporating data related to the polar surfaces, such as cleavage energies or 147 reconstructed surfaces, may improve the COMB potential description of 148 the polar surfaces.

2.2. Fitting parameters for Zn–Cu interactions

The Cu–Zn interactions in COMB3 are explicitly parameterized for 151 three specific Cu–Zn alloys [34], which are the α -brass Cu₃Zn (fcc), 152 β -brass CuZn (bcc), and γ -brass Cu₅Zn₈(cl52) phases, respectively. 153 Table 2 lists the COMB3 predictions and makes a comparison to 154 DFT and published experimental data. The heat of formation for the γ 155 phase is properly calculated to be the lowest and the phase order prediction is correct. Although the bulk modulus of the γ phase is predicted 157 to be larger than that measured experimentally, the correct phase order 158 is of more important because of the focus on potential alloying during 159 cluster deposition and metal thin film growth rather than mechanical 160 properties of this alloy.

2.3. Details of the molecular dynamics simulation of cluster deposition and 162 relaxation

Classical MD simulations are used to model Cu₆ deposition on the 164 $ZnO(10\overline{10})$ surface, where the forces on the atoms are determined via $_{165}$ the COMB3 potential. Fig. 1 shows the deposition system which is composed of deposited Cu clusters and a ZnO surface slab. The dimensions of 167 the ZnO surface slab are 72 Å \times 74 Å \times 70 Å and it consists of 36,960 $\,$ 168 atoms; the $10\overline{10}$ direction is perpendicular to the plane of the surface. $_{169}$

Table 2 Properties of Cu-Zn alloys predicted by COMB3 compared to those from experiments and DFT calculations. The reference states for the enthalpies of formation are metallic fcc Cu t2.3 and hcp Zn, which are -3.725 and -1.272 eV/atom, respectively. t2.4

Phases	Properties	Exp.	DFT^+	COMB3	t2.5
γ–Cu ₅ Zn ₈	a_0 (Å)	8.86 [34]	8.88	8.88	t2.6
	$\Delta H_f(kJ/mol)$		-126	-138	t2.7
	B (GPa)	123 [34]		156	t2.8
β –CuZn	a_0 (Å)		3.34	3.36	t2.9
	$\Delta H_f(kJ/mol)$		-21	-48	t2.10
α−Cu₃Zn	a_0 (Å)		2.97	3.01	t2.11
	$\Delta H_f(kJ/mol)$		-16	-36	t2.12

t2.13

Our DFT calculations.

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