



## Non-viral gene therapy: Gains and challenges of non-invasive administration methods



Marianna Foldvari \*, Ding Wen Chen, Nafiseh Nafissi, Daniella Calderon, Lokesh Narsineni, Amirreza Rafiee

School of Pharmacy, Waterloo Institute of Nanotechnology, University of Waterloo, 200 University Avenue West, Waterloo, ON N2L 3G1, Canada  
Center for Bioengineering and Biotechnology, University of Waterloo, 200 University Avenue West, Waterloo, ON N2L 3G1, Canada

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### ABSTRACT

Gene therapy is becoming an influential part of the rapidly increasing armamentarium of biopharmaceuticals for improving health and combating diseases. Currently, three gene therapy treatments are approved by regulatory agencies. While these treatments utilize viral vectors, non-viral alternative technologies are also being developed to improve the safety profile and manufacturability of gene carrier formulations. We present an overview of gene-based therapies focusing on non-viral gene delivery systems and the genetic therapeutic tools that will further revolutionize medical treatment with primary focus on the range and development of non-invasive delivery systems for dermal, transdermal, ocular and pulmonary administrations and perspectives on other administration methods such as intranasal, oral, buccal, vaginal, rectal and otic delivery.

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### 1. Introduction

Following almost three decades of hard work, gene therapy is starting to enter the main stream as an important part of biotherapies [1]. Gene-based medicines are part of the expanding new treatment options with biological products. According to the FDA “biological products include a wide range of products such as vaccines, blood and blood components, allergens, somatic cells, gene therapy, tissues, and recombinant therapeutic proteins. Biologics can be composed of sugars, proteins, or nucleic acids or complex combinations of these substances, or may be living entities such as cells and tissues. Biologics are isolated from a variety of natural sources – human, animal, or

microorganism – and may be produced by biotechnology methods and other cutting-edge technologies. Gene-based and cellular biologics, for example, often are at the forefront of biomedical research, and may be used to treat a variety of medical conditions for which no other treatments are available.” Important classes of biopharmaceutical compounds (genetically-engineered or biodrugs) include interferons and cytokines, blood clotting factors (erythropoietin), growth hormones/factors, hormones (insulin), enzymes, therapeutic (including monoclonal) antibodies (mAbs) and vaccines as well as nucleic acids [2]. These biodrugs, also called new biological entities or NBE, are becoming the most demanded medicines, currently representing about 10% of all prescription medicines and will make up 52% of the top 100 drug sales by 2020 (Evaluate Pharma: Pharma World Preview 2014 Outlook to 2020).

The human genome project is continuously accelerating the discovery of the genetic basis of an increasing number of diseases and the corresponding interventions for corrections. Gene therapy is one of the

\* Corresponding author at: School of Pharmacy, Waterloo Institute of Nanotechnology, University of Waterloo, 200 University Avenue West, Waterloo, ON N2L 3G1, Canada.  
E-mail address: [foldvari@uwaterloo.ca](mailto:foldvari@uwaterloo.ca) (M. Foldvari).

**Table 1**  
A list of non-viral gene therapy systems used in clinical trials. (Data obtained and compiled from [www.clinicaltrials.gov](http://www.clinicaltrials.gov).) Note: Most of these leading technologies are still injectables; the proportion of non-invasive administration methods reflects the progress.

Gene delivery system	Product/formulation	Therapeutic gene	Indication being evaluated for	Administration	Trial phase	Status (active includes studies in progress)	Clinical trial reference number	Study first received
Liposome	DOTAP:Chol-fus1	Fus1 DNA plasmid	Non-small cell lung cancer (NSCLC) or small cell lung cancer (SCLC)	Intravenous	Phase I	Completed	<a href="#">NCT00059605</a>	2003
			Non-small cell lung cancer (NSCLC) or small cell lung cancer (SCLC)	Intravenous	Phase II	Active	<a href="#">NCT01455389</a>	2011
	DC-Chol Liposome pGT-1 DMIRE/DOPE Liposome SGT-53 Transferrin receptor-targeted (TfRscFv) cationic liposome	EGFR DNA plasmid pGT-1 DNA plasmid P53 DNA plasmid	Advanced oral squamous cell carcinoma	Intratumoral	Phase I	Completed	<a href="#">NCT00009841</a>	2001
			Cystic fibrosis	Nasal	Phase I	Completed	<a href="#">NCT00004471</a>	1999
			Solid tumor	Intravenous	Phase I	Active	<a href="#">NCT00470613</a>	2007
			Children with refractory or recurrent solid tumor	Intravenous	Phase I	Active	<a href="#">NCT02354547</a>	2014
			Glioblastoma	Intravenous	Phase II	Active	<a href="#">NCT02340156</a>	2014
			Metastatic pancreatic cancer	Intravenous	Phase II	Active	<a href="#">NCT02340117</a>	2014
			Stage III or IV head and neck cancer; squamous cell carcinoma of the head and neck	Intratumoral	Phase II	Completed	<a href="#">NCT00006033</a>	2000
			Cystic fibrosis	Nasal	Phase II	Active	<a href="#">NCT01621867</a>	2012
			Advanced cancer – solid tumor	Intravenous	Phase I	Active	<a href="#">NCT01591356</a>	2012
			Neuroendocrine tumors, adrenocortical carcinoma	Intravenous	Phase I	Active	<a href="#">NCT01262235</a>	2010
	ND-L02-S20201 ALN-PCS02 Patisiran ALN-TTR02	HSp47 siRNA PCSK9 siRNA TTR siRNA	Fibrotic diseases	Intravenous	Phase I	Completed	<a href="#">NCT01858935</a>	2013
			Elevated LDL-cholesterol	Intravenous	Phase I	Completed	<a href="#">NCT01437059</a>	2011
			Transthyretin (TTR) amyloidosis	Intravenous	Phase I	Completed	<a href="#">NCT01148953</a>	2010
			Transthyretin (TTR) amyloidosis	Intravenous	Phase I	Completed	<a href="#">NCT01559077</a>	2012
			Transthyretin (TTR) amyloidosis (Japanese patient only)	Intravenous	Phase I	Completed	<a href="#">NCT02053454</a>	2014
			Transthyretin (TTR) mediated amyloidosis	Intravenous	Phase II	Completed	<a href="#">NCT01617967</a>	2012
			Transthyretin (TTR) mediated amyloidosis	Intravenous	Phase II	Active	<a href="#">NCT01961921</a>	2013
			Transthyretin (TTR) mediated familial amyloidotic polyneuropathy (FAP)	Intravenous	Phase III	Active	<a href="#">NCT01960348</a>	2013
Transthyretin (TTR) cardiac amyloidosis			Subcutaneous	Phase I	Completed	<a href="#">NCT01814839</a>	2013	
Transthyretin (TTR) cardiac amyloidosis			Subcutaneous	Phase II	Completed	<a href="#">NCT01981837</a>	2013	
Revusiran ALN-TTRSC	TTRSC siRNA	Transthyretin (TTR) cardiac amyloidosis	Subcutaneous	Phase II	Active	<a href="#">NCT02292186</a>	2014	
		Transthyretin (TTR) cardiac amyloidosis	Subcutaneous	Phase II	Active	<a href="#">NCT02319005</a>	2014	
		Transthyretin (TTR) mediated familial amyloidotic cardiomyopathy (FAC)	Subcutaneous	Phase III	Active	<a href="#">NCT02319005</a>	2014	
		Advanced solid tumor	Intravenous	Phase I	Active	<a href="#">NCT00938574</a>	2009	
		Advanced pancreatic cancer	Intravenous	Phase I/II	Active	<a href="#">NCT01808638</a>	2013	
		Advanced/metastatic cancer	Intratumoral	Phase I	Active	<a href="#">NCT01505153</a>	2012	
		Muscular dystrophy	Intravenous	Phase I	Unknown	-	-	
		-	-	-	-	-	-	-
		-	-	-	-	-	-	-
		-	-	-	-	-	-	-
Polymer	CYL-02/polyethylenimine DTA-H19/PEI EGEN-001 [IL-12 plasmid DNA/PEG-PEI-cholesterol]	Sst2 dck::umk-DNA plasmid DT-A-H19 DNA plasmid IL-12 DNA plasmid	Advanced pancreatic adenocarcinoma	Intratumoral	Phase I	Completed	<a href="#">NCT01274455</a>	2010
			Superficial bladder cancer	Intravesical	Phase II	Active	<a href="#">NCT00595088</a>	2008
			Ovarian neoplasm	Intraperitoneal	Phase I	Complete	<a href="#">NCT00473954</a>	2007
			Persistent ovarian epithelial cancer, fallopian tube cancer, or primary peritoneal cancer	Intraperitoneal	Phase I	Active	<a href="#">NCT01489371</a>	2011
			Colorectal cancer	Intraperitoneal	Phase I/II	Active	<a href="#">NCT01300858</a>	2011

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