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Role of Na-doping-induced oxygen vacancies in the variation of electrical properties of NiO ceramics

Seojin Yang^a, Jiwoong Kim^a, Sehwan Song^a, Dooyong Lee^a, Tae-Seong Ju^a[, Jong-Seong Bae](#page-0-0)^b[,](#page-0-1) Sungkyun Park^{[a,](#page-0-0)}

phase-pure $Na_xNi_{1-x}O$ ceramics.

^a Department of Physics, Pusan National University, Busan 46241, Republic of Korea ^b Busan Center, Korea Basic Science Institute, Busan 46742, Republic of Korea

1. Introduction

Transparent conductive oxides (TCO) are extensively applied in various fields, such as flat panel displays [\[1,2\]](#page--1-0), organic light emitting diodes (OLED) [\[3,4\],](#page--1-1) solar cells [\[5,6\]](#page--1-2) and smart windows [\[7,8\],](#page--1-3) owing to their high transmittance in the visible range and suitable electrical conductivity $[9,10]$. Although Sn-doped In₂O₃ (ITO) is the most commonly used TCO materials, (e.g., SnO₂, ZnO, NiO, etc. are being studied for ITO substitution because of the rarity of Indium [\[11\].](#page--1-5) Among them, NiO has gained considerable attention due to its wide optical band-gap (3.6 \sim 4.0 eV) [\[12\]](#page--1-6), chemical stability [\[13\]](#page--1-7) and p-type characteristics [\[14](#page--1-8)–17]. However, the relatively high electrical resistivity, $ρ$ (for example, $ρ~10^{13}$ Ω cm at room temperature), due to the strong electron-electron interaction in the partially filled d-orbital (i.e., Mott insulator), leads to difficulties in the application of potential optoelectronic devices [\[12,18\]](#page--1-6).

Recently, several studies attempted to enhance (and/or modulate) the electrical properties of NiO. For example, Patil et al. showed that the increased electrical conductivity of NiO films with increasing annealing temperature is related to increasing grain boundaries, resulting in an increased electron scattering at the grain boundary [\[19\].](#page--1-9) Furthermore, Zhao et al. showed that the increase in the oxygen partial pressure during the growth of NiO films causes a decrease in the electrical resistivity and an increase in the carrier concentration [\[20\].](#page--1-10) Similarly, Wu et al. showed that the electrical resistivity of the NiO films decreases with the increasing of Li doping [\[14\].](#page--1-8) Therefore, it is believed that increasing grain size and/or doping NiO with monovalent atoms can directly influence electrical properties.

related to the increased number of oxygen vacancies, with the increase of the Na-doping concentration for

Apart from the increased electrical conductivity of NiO, the p-type characteristics and variation of the type of the carrier of NiO received much attention [14–[17\].](#page--1-8) Recently, Denny et al. showed a similar disappearance of the p-type characteristics for the undoped and Nadoped NiO films, after annealing them at \sim 150 °C, even though they had different electrical conductivity due to Na-doping [\[17\]](#page--1-11). Furthermore, they mentioned the increased distortion of the neighboring oxygen atoms around the Ni atom and the presence of Ni metal vacancies as the origin of the p-type characteristic.

In this work, we examined the effect of oxygen vacancies in Nadoped NiO. We found the n-type characteristics and a decreased electrical resistivity with increasing Na-doping concentration. From core-level X-ray photoelectron spectral analysis, we found the increase of the number of oxygen vacancies with the increase of the Na-doping concentration, which explains the n-type characteristics and the lowering of the electrical resistivity.

2. Experiments

Na-doped NiO (Na_xNi_{1-x}O, x = 0.00, 0.01, 0.03, 0.05, 0.07, 0.09, 0.11) ceramics were synthesized by a solid state reaction, using an electrical muffle furnace. NiO (Sigma-Aldrich, 99%) and $NaCO₃$

⁎ Corresponding author.

E-mail address: psk@pusan.ac.kr (S. Park).

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Fig. 1. X-ray diffraction patterns of Na-doped NiO powders, for various Na doping concentrations. The reference Miller indices for face-centered cubic NiO (ICSD #24018) were also listed. The inset shows the enhanced peak profiles around NiO(200); peaks for 9%- and 11%-Na-doped NiO. Owing to the Cu-anode (X-ray source), two peaks were related to Cu Ka_1 (2θ = 43.25°) and Cu Ka_2 (2θ = 43.37°) of (200) NiO (ICSD #24018). Furthermore, a shoulder peak ($2\theta = 43.10^{\circ}$) for the 11%-Na-doped NiO sample might be related to a secondary phase (i.e. (111) peak of the NaNiO₂ phase, ICSD #85317).

(Sigma-Aldrich, 99.95%) powders were properly weighted and mixed, and subsequently calcined at 900 °C for 10 h in air. Then, the powders were pelletized and sintered at 1300 °C for 10 h. Structural properties of the samples were measured using X-ray diffraction (XRD, Empyrean, PANalytical) with Cu Ka (Ka₁ = 1.5406 Å, Ka₂ = 1.5444 Å). Electrical properties were also measured by the van der Pauw method at room temperature [21–[23\].](#page--1-12) The chemical state of the samples was examined using X-ray photoelectron spectroscopy (XPS, K-Alpha⁺, Thermo Scientific) with a monochromated Al Ka (hv = 1486.6 eV) anode. The pass energy and step size were 50.0 eV and 0.01 eV, respectively.

3. Results and discussion

[Fig. 1](#page-1-0) shows the XRD patterns ($2\theta = 20-90^\circ$) of various Na-doped NiO powders. All samples showed phase-pure state, except the 11%- Na-doped NiO samples. The 11%-Na-doped NiO sample exhibited the presence of a secondary phase (see inset in [Fig. 1](#page-1-0)). A detailed analysis confirmed that the secondary phase is related to a monoclinic $NaNiO₂$ structure (ICSD #85317). Furthermore, a lattice constant and unit cell volume of Na-doped NiO was calculated, by using diffraction peaks (θ ₍₁₁₁₎, θ ₍₂₀₀₎, θ ₍₂₂₀₎, θ ₍₃₁₁₎, θ ₍₂₂₂₎) in [Fig. 1](#page-1-0) (see [Table 1](#page-1-1)). The lattice constant and unit cell volume of Na-doped NiO are larger than that of the undoped NiO. However, there were no noticeable variations of the lattice constant (or unit cell volume) depending on the doping concentration. Previously, Jang et al. [\[24\]](#page--1-13) reported the increase of

Table 1

Na-doping-concentration-dependent lattice constants and unit cell volumes obtained from X-ray diffraction, and the electrical resistivity measured at room temperature.

Na-doping	Lattice constant (A)	Unit cell volume	Resistivity
concentration (%)		(\AA^3)	$(\times 10^5 \Omega \cdot cm)$
Ω 3 5 7	$4.1801 + 0.0002$ $4.1810 + 0.0002$ $4.1813 + 0.0002$ $4.1813 + 0.0002$ 4.1821 ± 0.0002	$73.04 + 0.08^{\circ}$ 73.19 ± 0.09 $73.10 + 0.10$ $73.10 + 0.09$ $73.14 + 0.10$	13.2159 2.7714 0.1118
9	$4.1815 + 0.0002$	$73.11 + 0.10$	0.0029
11	$4.1826 + 0.0002$	$73.17 + 0.12$	0.8876

^a The calculated unit cell volume has no significant difference from that of the reference (73.03 Å³, ICSD #24018).

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Fig. 2. Dependence of resistivity on Na-doping concentration of NiO powders. For the 0%- and 1%-Na-doped samples, the resistivity was too high to measure in the given setup.

the unit cell volume with the increase of the Li-doping concentration due to the different ionic radiuses of Ni and Li (69 pm for Ni^{2+} and 76 pm for $Li^+[25]$ $Li^+[25]$). Therefore, an appropriate substitution of Na ions into Ni-sites should increase the unit cell volume, as the ionic radius of Na (102 pm for Na^{1+}) is larger than that of Ni [\[25\]](#page--1-14). Park et al. calculated the increase of the unit cell volume of NiO in the presence of a Ni vacancy (or decrease in the case of oxygen) [\[26\]](#page--1-15). Furthermore, Chen et al. showed an increase in the lattice constant of NiO films with increasing oxygen partial pressure during film growth [\[27\]](#page--1-16). Therefore, it is believed that the change in the unit cell volume due to Na-doping is offset by the increase of oxygen vacancy accompanied by Na-doping.

Stoichiometric NiO is known as an insulator at room temperature but some of the NiO exhibit semiconductor characteristics, owing to nickel and/or oxygen vacancies [\[28\].](#page--1-17) In our study, only the NiO sample with Na doping concentration of 3–11% exhibited electrical resistivity (see [Fig. 2\)](#page-1-2), since the electrical resistivity of the undoped and 1%-Nadoped NiO was too high to measure. Furthermore, the electrical resistivity of NiO in the region with Na-doping concentration of 3– 9% decreased with increasing doping concentration. On the other hand, for the 11%-Na-doped NiO, the electrical resistivity was higher than that of the 7%- and 9%-Na-doped NiO (see [Table 1](#page-1-1)). This might be related to grain boundary scattering between the NiO and the secondary phase (NaNiO₂), which is also known as an insulator at room temperature [\[29\]](#page--1-18). From Hall effect measurement [\[30\]](#page--1-19), all samples showed n-type characteristics with $\langle 10^{15} \text{ cm}^{-3} \text{ carrier con-}$ centration, even though the specific number was not achievable, due to the large errors. It is known from previous works that the p -type characteristics of NiO is originated from Ni vacancies [\[14](#page--1-8)–17]. Therefore, the n-type nature of the Na-doped NiO sample is related to the presence of oxygen vacancies with Na-doping. It is worth noting that the carrier concentration of pure NiO is known to be $\sim 10^{15}$ cm⁻³ [\[17\]](#page--1-11) and it is hard to measure by using conventional measurements system.

[Fig. 3](#page--1-20) shows Na 1 s (a), Ni $2p_{3/2}$ (b) and O 1 s (c) core-level X-ray photoelectron spectra after proper calibration, with an adventitious carbon peak (284.5 eV, [\[31\]\)](#page--1-21). The vertical dotted lines indicate the reference binding energies. For Na 1 s ([Fig. 4](#page--1-22)(a)), an individual peak appeared around 1071.28 ± 0.09 eV and being close to the that of $Na₂SO₃$ reference (1071.3 eV, [\[32\]\)](#page--1-23) suggesting the presence of a Na¹⁺ state. Furthermore, the peak intensity became strong with the increase of Na-doping concentration, as expected. The quantitative analysis of the Ni $2p_{3/2}$ spectra (shown in [Fig. 4](#page--1-22)(b)) is difficult, due to the complicated satellite features, caused by interatomic effects, non-local

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