

# Improved interfacial quality of GaAs metal-oxide-semiconductor device with NH<sub>3</sub>-plasma treated yttrium-oxynitride as interfacial passivation layer

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## ABSTRACT

The interfacial and electrical properties of GaAs metal-oxide-semiconductor capacitors with yttrium-oxynitride interfacial passivation layer treated by N<sub>2</sub>–/NH<sub>3</sub>-plasma are investigated, showing that lower interface-state density ( $1.24 \times 10^{12} \text{ cm}^{-2} \text{ eV}^{-1}$  near midgap), smaller gate leakage current density ( $1.34 \times 10^{-5} \text{ A/cm}^2$  at  $V_{\text{fb}} + 1 \text{ V}$ ), smaller capacitance equivalent thickness (1.43 nm), and larger equivalent dielectric constant (24.5) can be achieved for the sample with NH<sub>3</sub>-plasma treatment than the samples with N<sub>2</sub>–/no-plasma treatment. The mechanisms lie in the fact that NH<sub>3</sub>-plasma can provide not only N atoms, but H atoms and NH radicals to effectively passivate the high-k/GaAs interface, thus less pinning the Fermi level at high-k/GaAs interface.

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## 1. Introduction

Recently, GaAs-based metal-oxide-semiconductor field-effect transistor (MOSFET) with high-k gate dielectric has received increasing attention due to its higher carrier mobility, larger energy bandgap and lower power consumption as compared to those of Si-based MOSFET [1,2]. However, the interface of high-k/GaAs usually has poor quality and a higher interface-state density than the SiO<sub>2</sub>/Si interface, thus leading to the Fermi-level pinning [3,4]. So using an interfacial passivation layer (IPL), e.g. Si [5], Ge [6], Al<sub>2</sub>O<sub>3</sub> [7], and Ga<sub>2</sub>O<sub>3</sub>(Gd<sub>2</sub>O<sub>3</sub>) [8], is very crucial to passivate the interface of high-k/GaAs. However, Si and Ge are amphoteric dopants for GaAs, which may alter the doping concentration or even induce the counter doping of the GaAs substrate, causing the instability of the threshold voltage [9], the low *k* value (~8) of Al<sub>2</sub>O<sub>3</sub> limits further device scaling and (Ga<sub>2</sub>O<sub>3</sub>)Gd<sub>2</sub>O<sub>3</sub> has been found to be degraded due to absorption of moisture (H<sub>2</sub>O) when exposed to air [10].

Yttrium oxide (Y<sub>2</sub>O<sub>3</sub>) is a very promising dielectric material because of a moderate *k* value (~16), relatively large band gap (5.8 eV) and high thermodynamic stability [11,12] and has been successfully used in MOS devices as high-k gate dielectric on Si [13], Ge [12] and GaAs [11,14] or as IPL on Ge [15,16]. However, pure Y<sub>2</sub>O<sub>3</sub> is easy to convert from amorphous to polycrystalline microstructure during the post-deposition annealing, leading to high defect density and unendurable leakage current [17]. Fortunately, nitrogen incorporation into Y<sub>2</sub>O<sub>3</sub> can successfully increase the crystalline

temperature and occupy the substitutional site of O atom or vacancy in the film to reduce the defect density [17]. Also, incorporation of proper amount of nitrogen in high-k dielectric can increase the *k* value; reduce gate leakage current; decrease oxygen vacancies; and form strong N-related bonds at/near the dielectric/semiconductor interface to further enhance the thermal stability and reliability of the devices [18–23]. So in this work, YON, which was rarely investigated so far, will be used as IPL for GaAs MOS capacitor with ZrON as high-k dielectric in order to get excellent interfacial and electrical properties. On the other hand, plasma treatment, e.g. N<sub>2</sub>-plasma [24,25] and NH<sub>3</sub>-plasma [26–28] treatments, directly on the semiconductor surface could efficiently remove volatile elements (e.g. As) and reduce weak Ga–O and As–O bonds, but the N<sub>2</sub> plasma treatment induces damage on the GaAs surface to produce traps, leading to Fermi-level pinning and degradation of current–voltage characteristics [25–29]. This problem is expected to get suppressed by doing the plasma treatment on the IPL, which has received little attention so far. In view of this, the relevant investigation is carried out in this work by using the N<sub>2</sub>- or NH<sub>3</sub>-plasma treatment on YON IPL before the high-k dielectric deposition. It is expected that an enhanced N incorporation and a better YON/GaAs interface quality could be obtained as compared to its counterpart without plasma treatment on the IPL.

## 2. Experimental procedure

GaAs MOS capacitors were fabricated on the Si-doped n-type GaAs (100) wafers with a doping concentration of  $6 \times 10^{17} \text{ cm}^{-3}$ . Firstly, the wafers were cleaned sequentially in deionized water, acetone,

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alcohol and isopropanol respectively for 5 min. Then the wafers were rinsed with deionized water for several times, followed by immersing in HCl solution for 5 min to remove the native oxide and subsequently in 8% (NH<sub>4</sub>)<sub>2</sub>S for 40 min to sulfur-passivate the surface of the wafers. After drying by N<sub>2</sub>, a 2-nm YN was deposited on the GaAs surface as IPL by reactive RF-sputtering of Y target (RF power of 54 W) in an Ar/N<sub>2</sub> (15 sccm/6 sccm) ambient at room temperature and an operating pressure of 0.8 Pa. Then, these samples were divided into three groups: two groups received a plasma treatment in N<sub>2</sub> and NH<sub>3</sub> respectively (denoted as N<sub>2</sub> and NH<sub>3</sub> samples) at a gas flow rate of 4 sccm, 350 °C and a RF power of 120 W; another group without plasma treatment was used as control sample. Subsequently, all the samples were transferred to a sputtering chamber to deposit 7-nm of ZrN by reactive RF-sputtering of Zr target under the same sputtering conditions as YN. Post-deposition annealing of the samples was performed at 600 °C for 60 s in N<sub>2</sub> (500 sccm) + O<sub>2</sub> (50 sccm) to transform YN and ZrN to YON and ZrON, respectively. Finally, Al was thermally evaporated and patterned by photolithography as gate electrode with an area of  $7.85 \times 10^{-5}$  cm<sup>2</sup> and also as the back electrode, followed by N<sub>2</sub> annealing at 300 °C for 20 min to reduce their contact resistance.

High-frequency (HF, 1-MHz) capacitance–voltage (C–V) curve and gate leakage current density vs. gate voltage (J<sub>g</sub>–V<sub>g</sub>) curves of the samples were measured using HP4284A precision LCR meter and HP4156A semiconductor parameter analyzer, respectively. The chemical states at/near the high-k dielectric/GaAs interface were analyzed by X-ray photoelectron spectroscopy (XPS). Physical thickness of the gate dielectric was measured by ellipsometry. All electrical measurements were carried out under a light-tight and electrically-shielded condition at room temperature.

### 3. Result and discussion

Fig. 1 shows the HF (1 MHz) C–V curves of the three samples. Obviously, the control sample exhibits poor C–V behavior with largest “stretch-out” and smallest slope in the depletion regime, indicating pinning of Fermi level and a high density of interface states due to weak As–O, As–As, and Ga–O bonds at the GaAs/YON interface [30–33]. However, improved C–V behaviors with less “stretch-out”, clear accumulation region and steep slope in the depletion regime are demonstrated for the two plasma-treated samples, especially for the NH<sub>3</sub>-treated sample, indicating less interface states and Fermi-level pinning effects at the high-k/GaAs interface [6,30,34]. This can be attributed to the fact that the N<sub>2</sub>- or NH<sub>3</sub>-plasma treatment can reduce the weak As–O, As–As, and Ga–O bonds at the GaAs/YON interface by forming strong N-related bonds, as confirmed by the XPS results below.

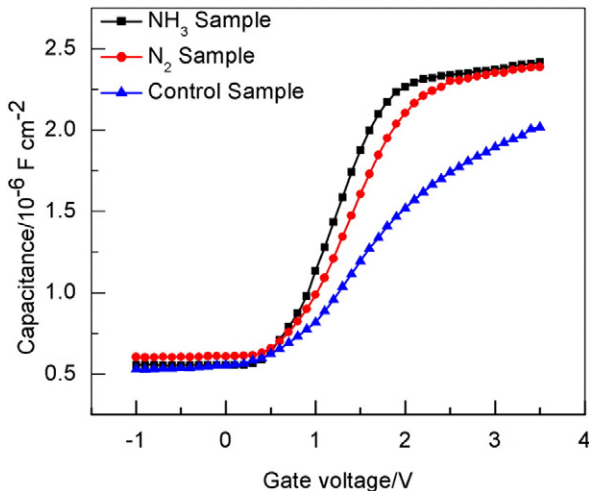


Fig. 1. HF (1-MHz) C–V curve of GaAs MOS capacitors for NH<sub>3</sub>, N<sub>2</sub> and control samples.

Table 1

Parameters of the GaAs MOS capacitors extracted from HF C–V curves.

Sample	$V_{fb}$ (V)	$D_{it}$ (/cm <sup>2</sup> eV)	$C_{ox}$ (μF/cm <sup>2</sup> )	$k$	$CET$ (nm)
NH <sub>3</sub> sample	0.98	$1.24 \times 10^{12}$	2.41	24.5	1.43
N <sub>2</sub> sample	1.11	$4.01 \times 10^{12}$	2.39	24.2	1.45
Control sample	1.28	$1.04 \times 10^{13}$	2.02	20.5	1.71

As shown in Table 1, some electrical and physical parameters of the devices are extracted from their HF C–V curves, e.g. flatband voltage ( $V_{fb}$ ), gate-oxide capacitance ( $C_{ox}$ ), equivalent  $k$  value of the gate dielectric ( $k = C_{ox} \cdot T_{ox} / \epsilon_0$ ;  $T_{ox}$  is the total thickness of the gate dielectric;  $\epsilon_0$  is the vacuum permittivity), capacitance equivalent thickness ( $CET = \epsilon_0 k_{SiO_2} / C_{ox}$ , where  $k_{SiO_2}$  is relative permittivity of SiO<sub>2</sub>), and interface-state density ( $D_{it}$ ) near the midgap determined by the Terman's method [35]. The positive shift of  $V_{fb}$  indicates the existence of negative charges in the dielectric film and at/near the interface [36], which are probably associated with acceptor-like interface states and near-interface electron traps. Obviously, the NH<sub>3</sub> sample has the smallest  $V_{fb}$  (0.98 V), followed by the N<sub>2</sub> sample (1.11 V) and the control sample (1.28 V). This is likely due to the fact that the N<sub>2</sub>- or NH<sub>3</sub>-plasma treatment on YN IPL is able to incorporate more nitrogen atoms in the IPL for filling the oxygen vacancies produced during the subsequent post-deposition annealing [19,30,36] so that the out-diffusions of Ga and As atoms from the substrate to the high-k dielectric can be better blocked by the plasma-treated YON IPL [18], especially for the NH<sub>3</sub>-plasma treatment (since NH<sub>3</sub> has a lower ionization energy to produce N<sup>+</sup> ions [19] than N<sub>2</sub>, more nitrogen atoms can be incorporated in the IPL during the NH<sub>3</sub>-plasma treatment). Furthermore, the NH<sub>3</sub> sample exhibits larger  $C_{ox}$  and equivalent  $k$  value, and smaller  $CET$  than the N<sub>2</sub> and control samples, further indicating that the NH<sub>3</sub>-plasma treatment can more effectively suppress the formation of low- $k$  interfacial oxide on the GaAs surface [18,27] due to more nitrogen incorporation near the YON/GaAs interface for the former than the latter. In addition, lower  $D_{it}$  is observed for the two plasma-treated samples ( $1.24 \times 10^{12}$  cm<sup>-2</sup> eV<sup>-1</sup> for NH<sub>3</sub> sample and  $4.01 \times 10^{12}$  cm<sup>-2</sup> eV<sup>-1</sup> for N<sub>2</sub> sample) than the control sample ( $1.04 \times 10^{13}$  cm<sup>-2</sup> eV<sup>-1</sup>), indicating that the NH<sub>3</sub>- and N<sub>2</sub>-plasma treatments can effectively reduce the defects at the interface, especially the former, and the involved mechanisms are analyzed below.

The gate leakage characteristics of three GaAs MOS capacitors are shown in Fig. 2. A much larger J<sub>g</sub> is observed for the control sample than that of the NH<sub>3</sub> and N<sub>2</sub> samples, with the smallest for the NH<sub>3</sub> sample, e.g.  $1.34 \times 10^{-5}$  A/cm<sup>2</sup>,  $3.48 \times 10^{-5}$  A/cm<sup>2</sup> and  $4.83 \times 10^{-4}$  A/cm<sup>2</sup> at  $V_{fb} + 1$  V for the NH<sub>3</sub>, N<sub>2</sub> and control samples, respectively. The interface-trap-assisted tunneling is suggested to be the main reason for the larger gate leakage current [2,6] because there are more interface

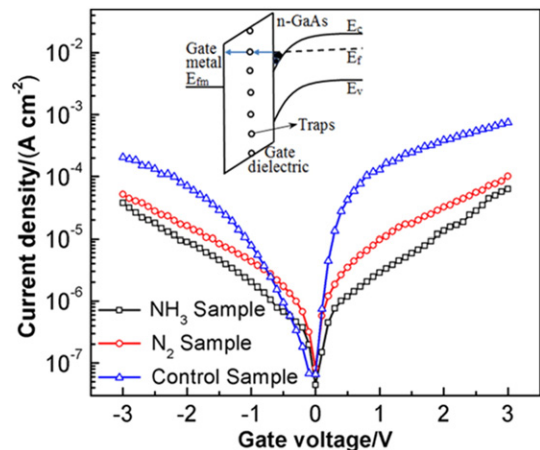


Fig. 2. J<sub>g</sub> vs. V<sub>g</sub> characteristics of GaAs MOS capacitors. The inset is the schematic diagram of trap-assisted tunneling in gate dielectric.

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