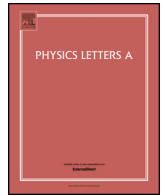




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# High-dimensional quantum key distribution with the entangled single-photon-added coherent state

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## ABSTRACT

High-dimensional quantum key distribution (HD-QKD) can generate more secure bits for one detection event so that it can achieve long distance key distribution with a high secret key capacity. In this Letter, we present a decoy state HD-QKD scheme with the entangled single-photon-added coherent state (ESPACS) source. We present two tight formulas to estimate the single-photon fraction of postselected events and Eve's Holevo information and derive lower bounds on the secret key capacity and the secret key rate of our protocol. We also present finite-key analysis for our protocol by using the Chernoff bound. Our numerical results show that our protocol using one decoy state can perform better than that of previous HD-QKD protocol with the spontaneous parametric down conversion (SPDC) using two decoy states. Moreover, when considering finite resources, the advantage is more obvious.

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## 1. Introduction

Quantum key distribution (QKD) enables two remote parties, Alice and Bob, to generate secret keys with proven theoretically unconditional security. Since the first proposal was made based on polarization photons [1], QKD has developed into a diverse research direction [2,3]. The topic on improving the secret key rate and the transmission distance of QKD is paid close attention. To solve this problem, one promising way is high-dimensional quantum key distribution (HD-QKD). Compared with qubit-based QKD protocols [1], HD-QKD can encode multiple bits of secret key to each photon and provide more resistance to noise [4]. Moreover, HD-QKD protocols can use entangled photon pairs, which can be used to improve significantly the range of QKD by means of quantum repeaters. These considerations motivate the research of HD-QKD.

In the last decade, HD-QKD has been developed observably in both theory and experimental demonstration. Various HD-QKD protocols can apply a variety of photonic degrees of freedom and these schemes have been experimentally demonstrated by encoding information based on the linear transverse momentum [5], the orbital angular momentum (OAM) [6,7], time-energy entan-

glement [8–14]. Among them, HD-QKD protocols based on time-energy entanglement are promising candidates for implementations because HD-QKD protocols have been rigorously proven to be secure and time-energy correlations are robust in present telecommunications infrastructure and compatible with wavelength division multiplexing networks.

HD-QKD protocols based on the time-energy entanglement have been proposed based on time-to-frequency conversion [12], dispersive optics [13], and Franson and conjugate-Franson interferometers [14]. Specially, by using time–frequency covariance matrix (TFCM) to bound Eve's Holevo information, proposals in Refs. [13, 14] have been proven to be secure against Gaussian collective attacks. In the experimental implementation with security against Gaussian collective attacks, Lee et al. [10] have demonstrated the proposal based on dispersive optics and Zhong et al. [11] have demonstrated the proposal based on a Franson interferometer.

In these HD-QKD experiments, the source is assumed that it only generates single-pair emissions. However, in practical implementation of HD-QKD, there are inevitably some imperfections. One of main imperfections is the imperfect source. Multipair emissions of the source can make the HD-QKD protocol assailable to the photon number splitting (PNS) attack [15,16]. Fortunately, the decoy-state method [17–19] can figure out the PNS attack and improve the performance of practical QKD systems. Therefore, Bunandar et al. [20] extended the decoy-state method to the HD-QKD protocol based on dispersive optics and presented its security analysis with one or two decoy states. For the practical

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1 problem on finite resources, the finite-key analysis for the decoy-  
2 state HD-QKD protocol based on dispersive optics was presented  
3 for collective attacks [21] and general attacks [22], respectively. In  
4 order to improve the protocol's performance, the detector-decoy  
5 method was introduced into the HD-QKD and a new scheme was  
6 proposed [23]. Meanwhile, other ways that can enhance the perfor-  
7 mance of decoy-state HD-QKD protocol should be further studied.

8 In order to improve the secret key rate and the transmission  
9 distance of QKD, one effective way is exploiting a source with  
10 a high single-photon probability. For example, different photon  
11 sources [24–28] are used to improve the performance of decoy  
12 state measurement-device-independent quantum key distribution  
13 (MDI-QKD) protocols [29]. Among these, a promising candidate is  
14 the single-photon-added coherent state (SPACS) [30], which has  
15 sub-Poissonian statistics in photon number distribution and pos-  
16 sesses higher single-photon probability. Results in [28] showed  
17 that both the secret key rate and the transmission distance can  
18 be improved by using SPACS. Moreover, Zavatta et al. [31,32] have  
19 successfully experimentally generated the SPACS. The SPACS has  
20 various applications in quantum information because it can also  
21 produce entangled states [33,34]. Superposition of SPACS has been  
22 applied to quantum teleportation and QKD [35]. In previous decoy  
23 state HD-QKD protocol, the entangled photon pairs are  
24 generated by the process of spontaneous parametric down conver-  
25 sion (SPDC). The Poisson photon-number distribution of this source  
26 limits the secret key capacity (in bits per coincidence) and the se-  
27 cret key rate (in bits per second). Hence, it is interested to apply  
28 a source with a high single-photon probability to HD-QKD. In this  
29 Letter, we introduce the entangled single-photon-added coherent  
30 state (ESPACS) into the decoy-state HD-QKD based on dispersive  
31 optics. It is expected that the ESPACS can be alternatively applied  
32 to improve the performance of the HD-QKD protocol. Our results  
33 show that our proposal with only one decoy state could outper-  
34 form the previous decoy-state HD-QKD in terms of secret key rates  
35 and transmission distances.

36 The rest of this paper is organized as follows. In Sec. 2, we  
37 introduce the description of our protocol. In Sec. 3, we present  
38 the security analysis for the protocol without and with statistical  
39 fluctuations. The numerical simulations are shown in Sec. 4 and  
40 the conclusion is summarized in Sec. 5.

## 42 2. Protocol description

43 In previous decoy state HD-QKD protocol, the time-energy  
44 entanglement is always generated by the SPDC process. Za-  
45 vatta et al. [31,32] used a conditional preparation technique by the  
46 SPDC process to generate SPACS. Specifically, SPACS can be gener-  
47 ated by injecting a coherent state  $|\alpha\rangle$  into the signal mode of an  
48 optical parametric amplifier. The conditional preparation of the tar-  
49 get state can take place every time that a single photon is detected  
50 in the correlated idler mode. That means photons between signal  
51 states and idler states are correlated in time domain. In the ideal  
52 condition, the energy should be constant that is correlated with  
53 mean photon numbers. Though a coherent state is injected into  
54 the signal mode, the energy between signal states and idler states  
55 is still anti-correlated. The time-energy entanglement of photon  
56 generated by SPDC is not broken. So combining with techniques in  
57 experimental demonstrations of time-energy entangled HD-QKD, it  
58 is possible to implement the SPACS into the time-energy entangled  
59 HD-QKD.

60 The decoy-state HD-QKD based on dispersive optics works as  
61 follows [13,20]:

62 **a. Biphoton preparation and transmission:** In each round, Alice  
63 generates entangled photon pairs from the ESPACS source. She also  
64 modulates the intensity  $\lambda \in \{\mu, \nu\}$  at random with probabilities  $p_\mu$   
65 and  $p_\nu = 1 - p_\mu$ , respectively, where  $\mu$  is the signal setting,  $\nu$  is

67 the decoy setting. She retains one of photon pairs and sends the  
68 other to Bob through the quantum channel. Here, the number of  
69 alphabet characters per photon pulse is  $d = \sigma_{coh}/\sigma_{cor}$ , where  $\sigma_{cor}$   
70 is the correlation time between two photons,  $\sigma_{coh}$  is the coherence  
71 time of the pump field, which is always larger than  $\sigma_{cor}$ .

72 **b. Measurement:** Alice and Bob measure their photons by ran-  
73 domly and independently choosing one of two bases (the time  
74 basis T and the conjugate-time basis W) with probabilities  $p_T$  and  
75  $p_W = 1 - p_T$ , respectively.

76 **c. Post-processing:** After all  $N$  signals are distributed, Alice and  
77 Bob publicly announce their lists of bases and intensity choices  
78 via an authenticated classical channel. They retain the measure-  
79 ment outcome using the same bases and discard the measurement  
80 outcome using mismatched bases. The secret key is extracted only  
81 from the events whereby Alice and Bob both select the T basis,  
82 while Eve's information is estimated from the events whereby Al-  
83 ice and Bob both select the W) basis. Using the detailed security  
84 analysis presented below, Alice and Bob could estimate their infor-  
85 mation advantage over Eve. If this is less than zero, they abort the  
86 protocol. Otherwise, they apply the error correction process and  
87 the privacy amplification process to generate the secret key.

## 88 3. Security analysis

### 89 3.1. Parameter estimation without statistical fluctuations

90 In the decoy state HD-QKD based on dispersive optics, the prob-  
91 ability of Alice and Bob recording at least one detection event,  
92 which is also called postselection probability  $P_\lambda$ , can be expressed  
93 as [20]

$$94 P_\lambda = \sum_{k=0}^{\infty} P_k(\lambda) C_k, \quad (1)$$

95 where  $P_\lambda$  is the probability of sending  $k$ -photon pairs,  $\lambda$  is the  
96 intensity of Alice's source, and  $C_k$  is the conditional probability of  
97 measuring at least one detection event when Alice sends  $k$ -photon  
98 pairs, which can be written as [20]

$$99 C_k = [1 - (1 - p_d)(1 - \eta_A)^k][1 - (1 - p_d)(1 - \eta_B t)^k]. \quad (2)$$

100 Here,  $p_d$  is Alice's and Bob's dark count rate,  $\eta_A$  and  $\eta_B$  are  
101 their detection efficiencies,  $t$  is the fiber transmittance between  
102 Alice and Bob.

103 In HD-QKD with SPDC source, when the SPDC source is weakly  
104 pumped, the photon number statistics of an SPDC source statistics  
105 approaches the Poissonian distribution [20]. Analogously, we can  
106 control and make the statistics of the distribution to approach the  
107 statistics of the non-entangled SPACS source in theory. So in our  
108 scheme, we assume that the photon number distribution of Alice's  
109 ESPACS source, which is also the probability of sending  $k$ -photon  
110 pairs, is given by [28]

$$111 P_k(\lambda) = \frac{e^{-|\alpha|^2} |\alpha|^{2(k-1)} k}{1 + |\alpha|^2 (k-1)!} = \frac{e^{-\zeta} \zeta^{k-1} k}{1 + \zeta (k-1)!} (k \geq 1), \quad (3)$$

112 where  $\lambda$  is the intensity of Alice's ESPACS source,  $\zeta = |\alpha|^2$  is the  
113 intensity of the initial coherent state and the relation between  $\lambda$   
114 and  $\zeta$  is expressed by

$$115 \zeta = \left( \sqrt{\lambda^2 - 2\lambda + 5} + \lambda - 3 \right) / 2. \quad (4)$$

116 When the key length is infinite, the bound on the secret key  
117 capacity (in bits per coincidence) is expressed as [20]

$$118 \Delta I \geq \beta I(A; B) - (1 - F_\lambda) n_R - F_\lambda \chi_{\xi_t, \xi_\omega}^U(A; E), \quad (5)$$

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