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Review

Q3 Gene expression biomarkers of heat stress in scleractinian corals: Promises and limitations

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ABSTRACT

Gene expression biomarkers (GEBs) are emerging as powerful diagnostic tools for identifying and characterizing coral stress. Their capacity to detect sublethal stress prior to the onset of signs at the organismal level that might already indicate significant damage makes them more precise and proactive compared to traditional monitoring techniques. A high number of candidate GEBs, including certain heat shock protein genes, metabolic genes, oxidative stress genes, immune response genes, ion transport genes, and structural genes have been investigated, and some genes, including *hsp16*, *Cacna1*, *MnSOD*, *SLC26*, and *Nf-kB*, are already showing excellent potential as reliable indicators of thermal stress in corals. In this mini-review, we synthesize the current state of knowledge of scleractinian coral GEBs and highlight gaps in our understanding that identify directions for future work. We also address the underlying sources of variation that have sometimes led to contrasting results between studies, such as differences in experimental set-up and approach, intrinsic variation in the expression profiles of different experimental organisms (such as between different colonies or their algal symbionts), diel cycles, varying thermal history, and different expression thresholds. Despite advances in our understanding there is still no universally accepted biomarker of thermal stress, the molecular response of corals to heat stress is still unclear, and biomarker research in *Symbiodinium* still lags behind that of the host. These gaps should be addressed in future work.

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Contents

1. Introduction	0
2. Gene expression biomarkers of heat stress	0
2.1. Heat shock genes	0
2.1.1. <i>hsp70</i> is an early responder to general stress	0
2.1.2. <i>hsp90</i> is an early responder to general stress	0
2.1.3. <i>hsp16</i>	0
2.1.4. <i>hsp60</i>	0
2.1.5. <i>Tcp-1</i>	0
2.2. Oxidative stress genes are late responders to general stress	0
2.3. Immune response genes respond to general stress	0
2.4. Genes involved in calcium ion (Ca^{2+}) signaling respond to general stress	0
2.5. Most genes involved in central metabolism tend to be poor biomarkers of heat stress	0
2.6. Structural genes are possibly heat stress specific	0
2.7. Other candidate genes	0
2.8. Internal controls for GEB assays	0

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64	3.	Source of variability between studies and potential solutions	0
65	3.1.	Differences in experimental procedures	0
66	3.2.	Comparing field studies to lab-induced thermal stress	0
67	3.3.	High natural variation in gene expression	0
68	3.4.	Thermal history	0
69	3.5.	<i>Symbiodinium</i> identity	0
70	3.6.	Diel cycle	0
71	3.7.	Host buffering system	0
72	3.8.	Expression of host gene may be graded and regulated by thresholds	0
73	4.	Future directions	0
74	5.	Concluding remarks: the future of gene expression biomarkers as indicators of coral heat and light stress status	0
75		Conflicts of interest	0
76		Uncited reference	0
77		Acknowledgements	0
78		References	0

79

Q6 1. Introduction

81 Scleractinian corals are the principal habitat builders of modern
 82 coral reefs. As such, they are critical components of one of the most
 83 diverse ecosystems on earth, harboring 32 of the 34 recognized animal
 84 phyla, including 800 hard coral species and more than 4000 species of
 85 fish (Birkeland, 1997; Spalding et al., 2001). Corals are delicate symbioses
 86 between an animal host and diverse dinoflagellate algae in the genus
 87 *Symbiodinium*, also commonly referred to as 'zooxanthellae' (Wells,
 88 1957). Climate change, overfishing, nutrient pollution, disease, ocean
 89 acidification, and coastal development are among the escalating direct
 90 and indirect human pressures contributing to reef decline (Brown,
 91 1997; Hughes, 2003), and many of these varied stressors can result in
 92 coral bleaching (the expulsion of algal symbionts, or a reduction in
 93 their per-cell pigment concentrations) (Coles and Jokiel, 1977; Falkowski
 94 and Muscatine 1981; Lesser et al., 1990; Dove et al., 2000). The break-
 95 down of the cnidarian-*Symbiodinium* partnership results in a significant
 96 energy loss for the animal host, leading to reduced growth and reproduc-
 97 tion, and increasing the risk of disease and starvation (Bruno and Selig,
 98 2007; Hoegh-Guldberg et al., 2007). Mass coral bleaching events occur
 99 when bleaching affects the majority of the zooxanthellate ("symbiont-
 100 bearing") hosts on a reef, and typically occurs over large spatial scales
 101 (1000s of km²) (Hoegh-Guldberg, 1998). The occurrence of natural
 102 disturbances, such as rising sea surface temperature (SST) and
 103 ocean acidification, is increasing as a result of climate change
 104 (Hoegh-Guldberg et al., 2007). Sustained periods of elevated SSTs,
 105 usually in shallow areas where the incident solar irradiance is also
 106 high, are now recognized as the principal factor driving contemporary
 107 mass coral bleaching events. Severe episodes of mass coral bleaching
 108 usually result in high coral mortality and decreases in coral cover.
 109 They also commonly lead to changes in species composition, local extirpa-
 110 tion of some reef species, and reductions in species richness (Wilkinson
 111 et al., 2008; Alemu and Clement, 2014). Ecological extinction of corals
 112 reefs in some regions has been forecast to occur within the next 20 to
 113 50 years if corals are unable to adapt and/or acclimatize sufficiently
 114 rapidly to keep pace with warming, and if effective reef management
 115 strategies are not quickly implemented (Sheppard, 2003; Hoegh-
 116 Guldberg, 1999; Baird et al., 2009; Bhagooli and Sheppard, 2012).

117 Conservation of coral reefs is a global environmental concern, how-
 118 ever the tools for implementing proactive management solutions are
 119 currently lacking, particularly for evaluating and predicting the health
 120 of corals *in situ* (Aswani et al., 2015). The advent of molecular tools
 121 and resources for corals has highlighted the possibility for gene
 122 expression biomarker (GEB) development as a means of detecting and
 123 quantifying coral stress even before the onset of symptoms (Kenkel
 124 et al., 2011; Traylor-Knowles and Palumbi, 2014; Kenkel et al., 2014).
 125 Biomarkers are critical tools in biomedical research and clinical practice,
 126 where they are used to determine whether patients will benefit from
 127 particular treatments (predictive biomarkers), monitor the progression

of a disease or efficacy of a prescribed treatment (monitoring biomarkers) 128
 and even to predict survival (prognostic biomarkers; Oldenhuis et al., 129
 2008). Such a molecular toolkit for corals could help reef managers iden- 130
 tify reefs under stress, pinpoint the causative stressors, and target resilient 131
 individuals for restoration. For example, corals from a reef showing stress 132
 response biomarkers could be transplanted to a healthier site, or corals 133
 showing heat resistance biomarkers could be transplanted or selected 134
 for adaptive breeding programs (van Oppen et al., 2015) to prevent 135
 collapse of vulnerable reefs. 136

However, despite more than a decade of research, it is unclear how 137
 accurately can we predict the occurrence of stress factors based on 138
 changes in the expression of coral and symbiont genes. This is primarily 139
 the result of substantial variation in the stress tolerance of different 140
 species (Rowan, 2004) and species combinations (Rocker et al., 2012) as 141
 well as in gene expression patterns (Granados-Cifuentes et al., 2013). 142
 Research into these areas, as well as into ontogenetic changes in gene 143
 expression, are emerging as frontiers in the field of GEB development. 144

This review synthesizes the current state of knowledge in the field of 145
 coral GEBs, addresses the potential drivers of variation between studies 146
 in results, and highlights gaps in our knowledge to outline a framework 147
 for the direction of future research in this area. 148

2. Gene expression biomarkers of heat stress 149

In predictive medicine, the term biomarker refers to biological mea- 150
 surements used in the prediction of disease risk and early detection of 151
 disease to improve treatment selection and monitor the outcome of 152
 therapeutic interventions (Simon, 2011). A "Genomic Biomarker" is 153
 therefore a DNA or RNA sequence with similar properties. A gene ex- 154
 pression biomarker should reflect the expression of a gene, the function 155
 of a gene, and the regulation of a gene (Novelli et al., 2008). In the field 156
 of coral biology and conservation, the application of gene expression 157
 biomarkers to diagnose heat stress in corals has raised a great deal of 158
 interest. Suitable GEB candidates should be able to assess the heat 159
 stress of corals rapidly, before onset of visible signs such as bleaching. 160
 Expression of genes can be immediate and early, where genes which 161
 are expressed immediately after stimulation by external factors and 162
 are then downregulated, such as *hsp* 70. Genes can also show delayed 163
 and late expression relative to the timing of the stimulus. Expression 164
 of 'late' genes is normally induced by early genes (Chambers et al., 165
 1999). 166

Research on gene expression patterns in coral, with the ultimate aim 167
 of informing conservation efforts, started in the early 2000s. During this 168
 'discovery' phase, some genes rose to scientific prominence as they 169
 were repeatedly reported to be differentially expressed when the 170
 cnidarian host and/or the symbiont were subjected to thermal and/or 171
 irradiance stress, well before the onset of visible signs of stress, such 172
 as bleaching (Rosic et al., 2014a). These genes included those involved 173
 in heat shock response, metabolism, oxidative stress, immune response, 174

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