



Original Research

Determination of poor prognostic immune features of tumour microenvironment in non-smoking patients with lung adenocarcinoma



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Abstract We have previously demonstrated that the prognostic significance of tumour-infiltrating CD8⁺ T cells significantly differs according to histological type and patient smoking habits in non-small cell lung cancer (NSCLC). This work suggested that infiltrating CD8⁺ T cells may not be activated sufficiently in the immunosuppressive microenvironment in non-smokers with adenocarcinoma. To understand the immunogenic microenvironment in NSCLC, we characterised immune cells comprehensively by performing an immunohistochemical evaluation using an alternative counting method and multicolour staining method (n = 234), and assessed immune-related gene expression by using genetic analytical

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approaches (n = 58). We found that high infiltration of activated CD8⁺ T cells expressing interferon gamma (IFN- γ) and granzyme was correlated with postoperative survival in patients with non-adenocarcinoma. On the contrary, CD8⁺ T-cell accumulation was identified as a worse prognostic factor in patients with adenocarcinoma, particularly in non-smokers. Infiltrating CD8⁺ T cells were significantly less activated in this microenvironment with high expression of various immunoregulation genes. Potentially immunoregulatory CD8⁺ FOXP3⁺ T cells and immunodysfunctional CD8⁺ GATA3⁺ T cells were increased in adenocarcinoma of non-smokers. CD4⁺ FOXP3⁺ regulatory T cells expressing chemokine receptor-4 (CCR4)- and chemokine ligand (CCL17)-expressing CD163⁺ M2-like macrophages also accumulated correlatively and significantly in adenocarcinoma of non-smokers. These characteristic immune cells may promote tumour progression possibly by creating an immunosuppressive microenvironment in non-smoking patients with lung adenocarcinoma. Our findings may be helpful for refining the current strategy of personalised immunotherapy including immune-checkpoint blockade therapy for NSCLC.

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1. Introduction

Recent clinical trials have demonstrated the efficacy of immune checkpoint inhibitors in advanced non-small-cell lung cancer (NSCLC) [1–4]. However, not all patients respond to these immunotherapies. Significant clinical responses, including durable long-term responses after programmed cell death 1 (PD-1)/programmed cell death ligand 1 (PD-L1) blockade therapy, have been reported in patients with advanced squamous cell non-small-cell lung carcinoma (SQ) [3]. However, this treatment did not prolong survival in some patients with non-SQ, mainly those with adenocarcinoma (AD). Crossing of survival curves of patients treated with immunotherapy or chemotherapy implies the presence of distinctive immunoresistant populations [4]. Although some immunoresistance factors such as total mutation burden and strong driver mutation have been clinically reported [3–6], the cellular and molecular mechanisms involved in the responsiveness or ineffectiveness of these immunotherapeutics remain unclear.

An increase in tumour-infiltrating lymphocytes (TILs) has been demonstrated to be a good prognostic marker in NSCLC [7]. However, the use of CD8⁺ T cells as a prognostic marker in NSCLC patients remains controversial because an increase in CD8⁺ T cells has been associated with both better [8,9] and worse [10,11] prognoses. Our previous study showed that the prognostic implication of TILs significantly differs according to histological type and smoking habit in NSCLC patients, which suggests that infiltrating CD8⁺ T cells may be inactivated by a possible immunosuppressive microenvironment in non-smokers with AD [12].

In this study, as an extension of our previous work, we performed further detailed cellular and molecular analyses on the tumour microenvironment of completely resected NSCLC (particularly adenocarcinoma) by using tile-counting and multicolour methods as well as gene expression analyses to assess immunogenic features in detail.

2. Materials and methods

2.1. Patients

Two hundred and thirty-four patients with localised NSCLC who underwent complete tumour resection during the period from 2000 to 2011 at Keio University Hospital were enrolled in the histological study. Fifty-eight patients treated from 2012 to 2014 at Kinki University Hospital were enrolled in the DNA microarray study (Table 1). The need to obtain written informed consent from each patient was waived by the institutional review boards of Keio University in March 2011 and of Kinki University in May 2016.

2.2. Immunohistochemical analysis and tile-counting method

Immunostaining with antibodies was performed automatically, as described in our previous article [12]. As distribution of tumour-infiltrating immune cells are heterogeneous, we applied the tile-counting method. In this method, the numbers of TILs except for forkhead box P3 (FOXP3)⁺ cells in 1-mm² tiles were automatically counted, and the mean number of the three tiles showing the highest infiltration was used in the analyses. The density of FOXP3⁺ cells was measured by the mean density of whole tumour areas after subtraction for those stained with anti-FOXP3 antibody (Ab) and isotype matched control Ab for accurate evaluation of nuclear-stained FOXP3⁺ cells (Supplementary Fig. 1) [13–15].

2.3. Multiple immunofluorescence staining

We used anti-CD4 (Ventana), anti-CD8 (DAKO), anti-FOXP3 (Abcam), anti-CD163 (Novocastra), anti-CCR4 (BD Pharmingen), anti-CCL17 (Abcam), anti-IL-13 (Abcam), and anti-GATA3 (BIO CARE). The signal intensity of horseradish peroxidase was amplified by

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