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1 CLINICAL ARTICLE

Intra-amniotic instillation of surfactants for the prevention of neonatal respiratory distress syndrome following preterm delivery

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ABSTRACT

Objective: To assess the efficacy of intra-amniotic administration of surfactants in reducing the incidence and 18 severity of respiratory distress syndrome (RDS), and the need for postnatal endotracheal surfactant during 19 preterm delivery. *Methods:* A prospective pilot study enrolled pregnant women at 28–34 weeks of pregnancy 20 between July 1, 2013 and December 31, 2014 who were randomly assigned in a 1:1 ratio to a control group or 21 to receive intra-amniotic surfactant (3 mL) administered under ultrasonography guidance within 2–8 hours of 22 expected delivery. The primary outcomes, the incidence and severity of RDS, and the need for postnatal 23 surfactants, were analyzed on an intention-to-treat basis. *Results:* The study enrolled 20 patients to each group. 24 The incidence of RDS did not differ between the two groups (P = 0.110). Severe RDS was more common in 25 the control group (P = 0.018) and postnatal surfactants were required more frequently in the control group 26 (P = 0.02). *Conclusion:* Intra-amniotic administration of surfactants reduced RDS severity and the need for 27 postpartum endotracheal surfactants.

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41 1. Introduction

Respiratory distress syndrome (RDS) continues to be a leading cause 42of morbidity and mortality during preterm delivery [1-3]. Prenatal 43corticosteroids are required to prevent RDS; unfortunately, these only 44 take effect 48 hours after administration. Endotracheal surfactants are 45 46 administered to neonates with features of RDS but they can lead to 47 hypoxia, bradycardia, pneumonia, and long-term sequelae [1,3]. It has been hypothesized that the prenatal administration of surfactants 48 could prevent the onset of RDS. 49

Peripartum administration of surfactants, including pharyngeal in-5051stillation before the first breath and administration via laryngeal mask, could obviate the need of endotracheal surfactants [1,3]. However, 52questions have been raised regarding the practical feasibility of pharyn-5354geal instillation of surfactants and the laryngeal-mask method has not been proven to be efficacious in the prevention and treatment of RDS 55 [4]. Prepartum intra-amniotic instillation of surfactants prior to preterm 5657delivery could be a promising option in preventing RDS and initial 58animal studies have demonstrated the efficacy and feasibility of intra-59amniotic surfactants in preventing RDS during preterm delivery [5,6].

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There are very few data from humans available for this method in the 60 literature [7–9]. Consequently, the aim of the present study was to 61 assess the effects of intra-amniotic surfactants on the incidence and 62 severity of RDS during preterm delivery, and on the need for postnatal 63 endotracheal surfactant treatment. 64

2. Materials and methods

The present prospective, randomized pilot study was conducted at 66 the Department of Obstetrics and Gynecology at the All India Institute of 67 Medical Sciences, New Delhi, India, between July 1, 2013 and December 68 31, 2014. Patients attending the study institution experiencing preterm 69 labor or for a preterm cesarean delivery were recruited. Patients aged 70 22–40 years at 28–34 weeks of pregnancy who were experiencing active 71 labor (labor pains and cervical dilatation ≥ 3 cm) or were scheduled to 72 undergo an emergency cesarean delivery were eligible for recruitment. 73 Patients were excluded if they had preterm premature rupture of 74 membranes, chorioamnionitis, or congenital fetal anomalies. The study 75 was approved by the All India Institute of Medical Sciences ethics commit- 76 tee and all participants provided written informed consent to participate. 77

Participants were randomized using a random number table in a 1:1 78 ratio into two groups using Random Allocation Software version 2 [10]; 79 participants in the study group received intra-amniotic surfactants and 80 the other group was a control group. Both investigators and participants 81 were unmasked to the group allocations. 82

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83 Participants in the study group received intra-amniotic surfactants 84 within 2-8 hours of expected delivery. Prior to surfactant instillation, a 3-mL amniotic-fluid sample was aspirated to assess fetal lung status 85 86 using the foam stability test; if a positive foam stability test result was recorded, the participant was excluded from the study. Surfactant 87 administration was performed using ultrasonography guidance with a 88 22-G spinal needle. An appropriate amniotic fluid pocket was selected, 89 90 preferably near the fetal face, to facilitate entry of surfactant into the 91 fetal respiratory tract; 3 mL of natural bovine lipid extract surfactant 92 (Neosurf; Cipla Inc, Mumbai, India) was administered. According to 93 the routine protocol at the study institution, prenatal corticosteroids 94were administered to participants in both groups.

Following delivery, neonatal-outcome parameters were recorded by 95the pediatric team. The primary outcomes were the incidence of RDS, 96 the incidence of severe RDS, and the need for postnatal endotracheal 97 surfactants. Severe RDS was assessed using the Silverman-Andersen 98 scoring system [11], an objective 10-point scale for assessing RDS in 99 preterm neonates; a score of 0 indicated no RDS while a score of 10 100 indicated the most severe form of RDS. Silverman-Andersen scores 101 above seven imply impending respiratory failure [11] and in the present 102 study, scores of at least seven were considered to indicate severe RDS. 103 RDS was diagnosed and Silverman-Andersen scores were assessed 104 105 within the first 24 hours of delivery. The secondary outcomes were Apgar score, the use of and duration of continuous positive airway 106 pressure (CPAP), the fractional inspiration of O₂ (FiO₂) during CPAP, 107 and the need for mechanical ventilation. 108

In addition to the primary analyses, outcomes were also considered 109using two subgroups (28-31 weeks and 31⁺¹-34 weeks, coded A and B, 110 respectively) within each participant group (the study and control 111 groups, coded 1 and 2, respectively). The outcomes were also compared 112 based on the location of surfactant administration (either near to the 113 114 fetal face or away from the face).

115A sample size of 50 participants in each group was calculated to yield 80% power with 95% confidence; however, owing to the trial being a pilot 116 study, a total target sample size of 20 patients in each group was selected. 117 Data analyses were performed by intention to treat using SPSS version 118 119 19 (IBM, Armonk, NY, USA). The χ^2 and Fisher exact tests were used for the analyses and P < 0.05 was considered statistically significant. 120

1213. Results

Patient recruitment proceeded until 20 patients had been random-122 ized to each of the two study groups (Fig. 1). The baseline data did not 123differ between the two groups (Table 1) and none of the participants 124reported any history of cigarette smoking. In the study group, the 125mean time interval between surfactant instillation and delivery was 126 177 ± 77 minutes (range 120–420 minutes). Across the complete 127study population, 36 (90%) participants underwent cesarean deliveries, 128with all 4 (10%) of the participants who underwent vaginal delivery 129originating from the control group. There was no statistically significant 130

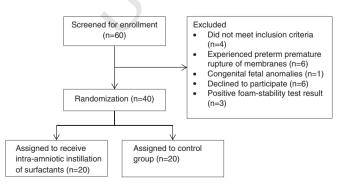


Fig. 1. Flow of participants through the study.

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aseline demographics of study participants ($n = 40$). ^a	t1.2

Variable	Study group $(n = 20)$	Control group $(n = 20)$	P value
Age, y	30.4 ± 5.8 (22–45)	27.8 ± 3.5 (23–35)	0.090
Parity	1.2 ± 1.1 (0-4)	1.3 ± 1.6 (0-6)	0.820
Duration of pregnancy at delivery, wk	31.9 ± 1.7 (28.1–34.0)	30.9 ± 2.0 (28.0-34.0)	0.110
Hemoglobin, g/L	113 ± 19 (80-145)	$110 \pm 14 (80 - 136)$	0.500
Hypertension	13 (65)	10 (50)	0.337
Gestational diabetes mellitus	9 (45)	5 (25)	0.185
Thyroid disorder	7 (35)	3 (15)	0.273
Oligohydramnios	8 (40)	5 (25)	0.311
Intrauterine growth restriction	6 (30)	4 (20)	0.465

^a Values are given as mean \pm SD (range) or number (percentage), unless indicated t1.14 otherwise.

difference in the cesarean/vaginal delivery rate between the two groups 131 (P = 0.106). The indications for cesarean deliveries are detailed in 132 Table 2. The mean neonatal weight at delivery was comparable between 133 the study and control groups (1295 \pm 475 g [range 566–2195 g] vs 134 1300 ± 388 g [range 762–2052 g], respectively; P = 0.970). 135

There was no difference in the incidence of RDS between the two 136 groups but the incidence of severe RDS and requiring postnatal endotra- 137 cheal surfactants were higher in the control group (Table 3). Apgar scores 138 at 1 minute and 5 minutes, the use and duration of CPAP, and the need for 139 mechanical ventilation did not differ between the two study groups. The 140 FiO₂ during CPAP was higher in the control group (Table 3). 141

Within the study group, 7 (35%) participants were at 28–31 weeks of 142 pregnancy and 13 (65%) were at 31^{+1} -34 weeks of pregnancy; in the 143 control group, there were 10 (50%) participants in each of these 144 subgroups (Fig. 2). Among participants experiencing preterm labor at 145 28–31 weeks of pregnancy, the incidence of severe RDS was higher in 146 the control group; a higher incidence of requiring postnatal endotracheal 147 surfactants was also observed in the control group among participants 148 experiencing preterm labor at 31^{+1} -34 weeks of pregnancy (Table 4). 149

Among the participants in the study group, the location of surfactant 150 instillation was recorded as near the fetal face for 17 (85%) participants 151 and away from the fetal face for 3 (15%) participants. There was no 152 difference between the "near fetal face" subgroup and the "away from 153 fetal face" subgroup in the incidence of RDS (7/17 [41%] vs 2/3 [67%]; 154 P = 0.566), the incidence of severe RDS (0/17 vs 1/3 [33%]; 155 P = 0.150), and the need for postnatal endotracheal surfactants 156 (2/17 [12%] vs 1/3 [33%]; P = 0.404).157

Among participants in the study group, no neonates had Silverman- 158 Andersen scores of 10, compared with two neonates in the control 159 group. Among the neonates who experienced mild RDS (Silverman- 160 Andersen scores <7), all of them had scores of 3-6. The development 161 of bronchopulmonary dysplasia was recorded for four neonates from 162 each group. In the study group, there was one infant death (weight at 163 delivery 566 g); this occurred at 50 days after delivery and was caused 164 by late-onset neonatal sepsis, refractory shock, and cardiac arrest. This 165

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ndication	Study group $(n = 20)$	Control group $(n = 16)$	P value
Fetal Doppler anomaly	12 (60)	7 (44)	0.113
Non-reassuring fetal heart rate	4 (20)	3 (19)	>0.99
Poor biophysical profile	1 (5)	0	>0.99
Prepartum hemorrhage	0	2 (13)	0.231
Maternal indication	3(15)	8 (50)	0.155

Values are given as number (percentage) unless indicated otherwise.

^b The difference in the cesarean delivery rate between the two groups was not significant (P = 0.106). t2.11

t2.10

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