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NEURAL CORRELATES OF VISUO-TACTILE CROSSMODAL PAIRED-ASSOCIATE LEARNING AND MEMORY IN HUMANS 3

PENG GUI,^{a†‡} YIXUAN KU,^{a,b†} LEI LI,^a XIAOJIN LI,^c 4 MARK BODNER, ^d FRED A. LENZ, ^e LIPING WANG^{a,b*‡} AND YONG-DI ZHOU^{b,e,f} 5

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- 7 ^a Key laboratory of Brain Functional Genomics (MOE &
- STCSM). Institute of Cognitive Neuroscience, School of 8
- 9 Psychology and Cognitive Science, East China Normal
- 10 University, Shanghai 200062, China
- 11 ^b NYU-ECNU Institute of Brain and Cognitive Science at
- NYU Shanghai and Collaborative Innovation Center for 12
- 13 Brain Science, Shanghai 200062, China
- 14 ^c Department of Electronic Engineering, East China Normal
- 15 University, Shanghai 200062, China
- 16 ^d MIND Research Institute. Irvine. CA 92617. USA
- 17 ^e Department of Neurosurgery, School of Medicine, Johns
- 18 Hopkins University, Baltimore, MD 21287, USA
- 19 ^f Krieger Mind/Brain Institute. Johns Hopkins University.
- 20 Baltimore, MD 21218, USA
- 21 Abstract-Studies have indicated that a cortical sensory system is capable of processing information from different sensory modalities. However, it still remains unclear when and how a cortical system integrates and retains information across sensory modalities during learning. Here we investigated the neural dynamics underlying crossmodal associations and memory by recording event-related potentials (ERPs) when human participants performed visuotactile (crossmodal) and visuo-visual (unimodal) pairedassociate (PA) learning tasks. In a trial of the tasks, the participants were required to explore and learn the relationship (paired or non-paired) between two successive stimuli. EEG recordings revealed dynamic ERP changes during participants' learning of paired-associations. Specifically, (1) the frontal N400 component showed learning-related changes in both unimodal and crossmodal tasks but did not show any significant difference between these two tasks, while

*Corresponding author. Address: Old Library 303, Institute of Cognitive Neuroscience, East China Normal University, 3663 N. Zhongshan Road, Shanghai 200062, China.

E-mail addresses: lipingwng@gmail.com, liping.wang@ion.ac.cn (L. Wang).

[‡] Present address: Institute of Neuroscience, Chinese Academy of Sciences, 320 Yue Yang Road, 200031 Shanghai, China.

Abbreviations: ANOVA, analysis of variance; CI, crossmodal learning I session; CII, crossmodal learning II session; CL, crossmodal learned CNV negative session. contingent variation. FFG electroencephalogram; EOG, electro-oculogram; ERP(s), eventrelated potential(s); fMRI, functional magnetic resonance imaging; LPC, late positive component; LPN, late posterior negative slow wave; PA, paired-associate; ROI(s), region(s) of interest; RT, reaction time; S1, stimulus-1; S2, stimulus-2; SOA, stimulus onset asynchrony; UI, unimodal learning I session; UII, unimodal learning II session; UL, unimodal learned session; VT, visuo-tactile; VV, visuo-visual.

the central P400 displayed both learning changes and task differences; (2) a late posterior negative slow wave (LPN) showed the learning effect only in the crossmodal task; (3) alpha-band oscillations appeared to be involved in crossmodal working memory. Additional behavioral experiments suggested that these ERP components were not relevant to the participants' familiarity with stimuli per se. Further, by shortening the delay length (from 1300 ms to 400 ms or 200 ms) between the first and second stimulus in the crossmodal task, declines in participants' task performance were observed accordingly. Taken together, these results provide insights into the cortical plasticity (induced by PA learning) of neural networks involved in crossmodal associations in working memory. © 2017 IBRO. Published by Elsevier Ltd. All rights reserved.

Key words: crossmodal, ERP, paired-associate learning, visuo-tactile, working memory.

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INTRODUCTION

Formation of associations between items is a crucial 24 human ability to adapt to environmental dynamics. 25 These associations can be quickly acquired by human 26 beings through either explicit or implicit learning. within 27 or across sensory modalities (Miyashita and Hayashi, 28 2000). In crossmodal associative learning and memory, 29 it is essential that information about an object can be 30 transferred from one cortical sensory system to another 31 via cortical associations (Calvert, 2001; Fuster, 2001; 32 Bavelier and Neville, 2002). Numerous studies in humans 33 and non-human primates have shown that cortical neural 34 networks responsible for crossmodal associations and 35 memory consist of neurons from different cortical areas, 36 including both association cortices and "modality-specific" 37 sensory regions (Sakai and Miyashita, 1991; Watanabe, 38 1992; Gibson and Maunsell, 1997; Fuster et al., 2000; 39 Saito et al., 2003; Tanabe and Sadato, 2009; Kassuba 40 et al., 2013; Pillai et al., 2013; Zhang et al., 2014; Ku 41 et al., 2015; Wang et al., 2015). Zhou and Fuster (1997, 42 2000) have shown that cells in the monkey primary 43 somatosensory cortex are part of neural networks that 44 are essential for visuo-tactile crossmodal associations 45 and working memory. A functional magnetic imaging 46 (fMRI) study in humans has also clarified neural sub-47 strates underlying auditory-visual crossmodal associative 48 learning (Tanabe et al., 2005), in which, participants were 49 required to identify predefined audio-visual or visuo-visual 50 pairs of stimuli through the learning process based on 51

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Peng Gui and Yixuan Ku contributed equally to this work.

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trial-by-trial feedback in delayed matching-to-sample 52 tasks. Several areas, including the occipitotemporal junc-53 tion and parahippocampal gyrus, showed an increase in 54 the fMRI signal as learning proceeded only in the audio-55 visual crossmodal task, suggesting that widely distributed 56 neural networks comprising both primary sensory and 57 association cortices were involved in crossmodal associ-58 59 ations and memory.

Earlier electroencephalographic (EEG) studies have 60 shown ERP (event-related potential) components 61 associated with retention and retrieval of stimulus 62 information in unimodal (visuo-visual) paired-associate 63 (PA) learning paradigms (Peters et al., 1977; Honda 64 et al., 1996; Rose et al., 2001). A posterior positive com-65 ponent (390–1100 ms after the onset of the first stimulus) 66 and a late frontal negative component (1100-2000 ms) 67 have been identified, and they are assumed to be related 68 to neural activity underlying retrieval and maintenance of 69 PA memory respectively (Honda et al., 1996). In our pre-70 vious studies, the EEG was recorded from participants 71 performing tactile-visual or tactile-auditory crossmodal 72 delayed matching-to-sample tasks (Ohara et al., 2006, 73 2008; Ku et al., 2007). The participants were required to 74 75 respond to a second stimulus in the tasks immediately 76 after its onset, indicating whether this stimulus matched 77 the first one (sample). This pair of stimuli was preas-78 signed and had been learned by the participants before the EEG recording. Sequential ERP components found 79 in the study were two late positive components (LPC-1 80 and LPC-2, occurring around 300-700 ms after the onset 81 of the first stimulus) related to crossmodal associations, 82 and a late negative component (LNC, occurring around 83 1000–1500 ms) related to crossmodal working memory 84 (Ohara et al., 2006, 2008; Ku et al., 2007). However, it still 85 remains unknown how those cortical activities (ERPs) are 86 modulated and correlated with neural processes during 87 88 learning.

89 Studies have suggested that in memory tasks, the N400 or N400-like ERP component is related to memory 90 encoding and retrieval (Friedman and Johnson, 2000; 91 Kutas and Federmeier, 2011), and the late posterior neg-92 ativity (LPN) around the Pz electrode is involved in mem-93 ory retrieval as it has been observed in retrieving both the 94 95 associative and the organizational color sources of verbal 96 stimuli (Cycowicz et al., 2001; Cycowicz and Friedman, 2003; Johansson and Mecklinger, 2003; Herron, 2007; 97 Nie et al., 2013). Studies have also reported the involve-98 ment of contingent negative variation (CNV) in PA learn-99 ing and memory (Peters et al., 1977; Honda et al., 100 1996). In addition, alpha-band oscillation has been 101 102 reported to play an important role in mental processes related to attention and memory (Klimesch et al., 2007; 103 Jensen et al., 2012; Klimesch, 2012). 104

Using scalp EEG recording on human participants, the 105 present study aimed to examine when and how brain 106 activities representing crossmodal associations in 107 working memory were progressively modulated during 108 PA learning. We hypothesized that a series of ERP 109 components (e.g. N400, LPN) reflecting sequential 110 information processing in working memory would show 111 dynamic changes along with the learning process, if 112

they were truly involved in stimulus-stimulus paired 113 associations. In addition, if the paired-associations to be 114 learned were under the crossmodal condition, the ERP 115 components would be different from those under the 116 unimodal condition. To address the above hypotheses, 117 we firstly examined EEG data recorded while 118 participants were asked to perform two PA learning 119 tasks, a visuo-tactile (VT) crossmodal task and a visuo-120 visual (VV) unimodal task. Dynamic changes of three 121 ERP components (N400, LPN, CNV) and alpha-band 122 oscillation during the delay period of the crossmodal PA 123 learning task were focused. Since stimulus familiarity 124 per se might also become enhanced along with PA 125 learning, which could have effects on learning-related 126 EEG components, the potential effects of familiarity 127 behaviorally were investigated as well. Thirdly. 128 additional behavioral tests were performed to investigate 129 whether a set of delay lengths corresponding to the 130 latencies of specific ERP components would have 131 different effects on crossmodal and unimodal PA learning. 132

EXPERIMENTAL PROCEDURES

The study protocol was approved by the Committee on134Human Research Protection at East China Normal135University, and informed consent was obtained136individually from all participants.137

EEG recordings during visuo-tactile crossmodal and138visuo-visual unimodal PA learning139

Participants. Twenty-nine healthy volunteers were 140 recruited for this experiment (11 males and 18 females; 141 mean age, 22.1 years; range, 19-26 years). All of them 142 were right-handed with normal or corrected-to-normal 143 visual acuity, according to the Edinburgh handedness 144 inventory (Oldfield, 1971) and E chart. Nine additional 145 participants were recruited in the pre-experiment group 146 to test whether stimuli and experimental procedures were 147 appropriate for the study (without recording EEG). 148

Stimuli. Tests were conducted in a sound-attenuated, 149 dimly illuminated chamber. Twelve slides of different 150 amorphous texture patterns were used as visual stimuli, 151 and four different frequencies of tactile vibrations were 152 used as tactile stimuli (Fig. 1A, B). The visual stimuli 153 were downloaded from the Internet and modified so that 154 they were of identical size (256 \times 256 pixels) and black-155 white contrast. These patterned pictures were also used 156 in our previous study (Ku et al., 2015). A 17-inch CRT dis-157 play (IBM C220P CRT; resolution ratio = 800×600 pix-158 els; refresh rate = 60 frames per second) was used to 159 present the visual stimuli. Participants sat on a chair fac-160 ing the CRT display that was situated 1 m away. The 161 visual stimuli were displayed in the central area of the 162 screen at eye level and were within 5° of visual angle. 163 Based on equal sensation contours and just noticeable 164 difference for vibrations (Goff, 1967; Pongrac, 2008), fre-165 quencies of vibrotactile stimuli were set at 30, 80, 180, 166 and 300 Hz. Vibrations were delivered to the tip of each 167 participant's left index finger by a permanent magnetic 168

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