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# Historical anthropogenic footprints in the distribution of threatened plants in China

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## ABSTRACT

A large proportion of Earth's plant species diversity is threatened with extinction. Unprecedented anthropogenic activities are the main drivers, with habitat loss due to land transformation and unsustainable use being the most important factors. These anthropogenic activities are not just a contemporary phenomenon, but also have a long history, and their historical dynamics may shape distributions of threatened plants. However, the relative roles of historical and current changes in anthropogenic activities in determining the distribution of threatened plant species across large geographic regions have hitherto been rarely studied. In this study, for the first time, we linked the distribution of threatened species across China to current and historical changes in human population densities, cropland area, and pasture area since 1700 (at a 100 km × 100 km resolution). We find that variables describing historical changes in human impacts were consistently more strongly associated with proportions of threatened plants than variables describing current changes in human impacts. Notably, threatened plant species in China tend to be concentrated where historical anthropogenic impacts were relatively small, but anthropogenic activities have intensified relatively strongly since 1700. Hence, threatened species are likely to be concentrated in areas that have only recently come under anthropogenic pressure.

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## 1. Introduction

Intensifying human activities may already have caused half of the world's flora to be threatened with extinction, a trend that is likely to continue across the 21st century (Pitman and Jørgensen, 2002; Pereira et al., 2010). Therefore, to inform conservation planning it is important to gain a better understanding of the generality and geography of these dynamics of plant diversity loss and the underlying mechanisms. Anthropogenic activities have been widely found to strongly correlate with species and population declines, with climate change also beginning to play a role (Lewison et al., 2004; Scharlemann et al., 2005; Davies et al., 2006; Linares et al., 2009). However, few studies have considered the historical legacies of human activities on the distributions of threatened species, especially across broad scales.

Environmental changes driven by anthropogenic activities may not only have immediate effects on species ranges and abundances, but could also cause delayed extinction debts (Diamond, 1972; Tilman et al., 1994; Kuussaari et al., 2009). Notably, long-lived species may persist for a long time after anthropogenic disturbance has created

unsuitable environmental conditions or reduced habitat area too much for their equilibrium persistence (Kuussaari et al., 2009; Svenning and Sandel, 2013). Sizes and connectivity among patches will also affect species responses to land-use changes, with larger area and better connection may have stronger extinction debt (Gonzalez, 2000; Ferraz et al., 2003).

A recent study across Europe at the country scale reports a delayed effect of anthropogenic activities on numbers of threatened species (Dullinger et al., 2013). Several studies in Europe also have found that forest and grassland biodiversity is related to past anthropogenic activities dating back to hundreds years ago, at local or regional scales (Vellend et al., 2006; Dambrine et al., 2007; Pärtel et al., 2007). Nevertheless, to what extent these relations can be generalized to other spatial scales and regions remains to be seen. Further, the main existing broad-scale study (Dullinger et al., 2013) focuses on legacies of socioeconomic pressures across the last century, while anthropogenic activities have shaped many areas for much longer periods (Ge et al., 2008; Goldewijk et al., 2011).

China has a long history of dense human populations and agriculture and thus also a long history of deforestation and other anthropogenic land cover transformations (Ge et al., 2008; Goldewijk et al., 2011). China also has a highly diverse flora, composed of 35,112 native high plant species (López-Pujol et al., 2006; Wang et al., 2015). A recent

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evaluation of the conservation status of 34,450 Chinese higher plants following to the IUCN Red List Categories and Criteria (Version 3.1) lists 3819 species as vulnerable or more threatened, 2723 species as near-threatened, and 3612 species as data deficient (Chinese Academy of Sciences and Ministry of Environmental Protection of the People's Republic of China, 2013).

Here, for the first time, we assessed the relative roles of historical and recent anthropogenic activities (cropland area, pasture area and human population density) in determining the geographic distribution of threatened plants across China, considering pre-1700 anthropogenic activities and changes in anthropogenic activities between 1700 and 1950 and between 1950 and 2000. We hypothesize that proportions of threatened plant species may have higher positive associations with historical human land use than with current and recent human land use, reflecting extinction debts or cumulative losses effect in areas with high historical human impacts (Dullinger et al., 2013).

## 2. Methods

### 2.1. Threatened species

Recently, a red list for higher plants in China following the IUCN Red List Categories and Criteria (Version 3.1) has been published (Chinese Academy of Sciences and Ministry of Environmental Protection of the People's Republic of China, 2013), based on contributions from 294 experts on plant taxonomy. After the evaluation of 34,450 higher plants, 27 species were found to be extinct, 10 extinct in the wild, 15 regionally extinct, 583 critically endangered, 1297 endangered, 1887 vulnerable, 2723 near-threatened, 24,296 least concern, and 3612 data deficient (Qin and Zhao, 2014).

In this study, we only considered species that are threatened (critically endangered, endangered and vulnerable) or near-threatened. To check if these levels of threatened species have different response to anthropogenic activities, we analyzed the two groups separately. Distributions of proportions of these species were mapped on a 100 km × 100 km grid, using the Chinese Vascular Plant Distribution Database (CVPDD), which includes plant occurrence records at county level, mainly compiled from *Flora Reipublicae Popularis Sinicae*, provincial and regional floras in China, and some spatial distribution data of specimen. The proportion of threatened/near-threatened species was computed as the number of threatened/near-threatened species in a given grid cell divided by all seed plant species number in that grid cell.

### 2.2. Anthropogenic activities

Areas of cropland and pasture, as well as population density were extracted from the History Database of the Global Environment (HYDE 3.1; Goldewijk et al., 2011). Because there were strong increases in population and cropland after year 1700 and especially after the establishment of People's Republic of China in 1949 (Peng, 2011; He et al., 2013), we focused on anthropogenic activities pre 1700, change in anthropogenic activities between 1700 and 1950 (values in 1950 minus values in 1700), and between 1950 and 2000 (values in 2000 minus values in 1950) (Fig. 1).

### 2.3. Statistics

Random Forests is a non-linear method for classification and regression of high-dimensional data based on ensembles of regression trees (Breiman, 2001). The Random Forests algorithm bootstraps both cases and predictors to build different regression trees, the accuracy and error rate of which are evaluated using an out-of-bag sample (cases not used to calibrate the trees). The predicted value of a given case is computed as the statistical mode of the results for that case over all the trees of the Random Forests (500 by default). We have selected Random Forests because it overcomes known limitations of more

traditional methods like GLMs, which less easily capture interactions and non-linear relationships and require the data to follow stricter assumptions, e.g., normality in errors and homoscedasticity. Random Forests does not require any assumptions in the data and it is well suited to accommodate non-linear relationships and considers interaction among variables automatically.

We used Random Forests to model the relationship between the proportions of threatened and near-threatened plant species and the variables representing the changes in anthropogenic activities between different periods, repeating each model 1000 times on random splits of the data (50% training data and 50% evaluation data) and averaging the Pearson correlation between the predicted and the observed values (proportion of threatened and nearly threatened species) across all models.

Single-predictor simultaneous autoregressive (SAR) modelling was used in supplement to assess the association between the proportion of threatened and near-threatened plant species (square-root transformed to get a normalized distributed residual error) and each single predictor, while controlling for spatial autocorrelation in the response residuals. All variables were standardized before analyses to get standardized coefficients.

To further assess the relation between proportions of threatened/near-threatened species and the anthropogenic predictors, we divided the 100 km × 100 km grid cells into five groups according their proportions of threatened/near-threatened species, and then multiple comparisons (Tukey HSD) were conducted to compare the predictors among these groups. Random Forests, SAR modelling and multiple comparisons were performed in R (version 3.1.1, R Development Core Team, 2009).

## 3. Results

Both near-threatened and threatened species were non-randomly distributed across China and mainly concentrated in southwestern China (Yunnan, Guangxi, Hainan, Xizang, Sichuan and Guizhou provinces), which have relatively low historical, but high current anthropogenic activities (Fig. 1).

Although the correlations between the observed and predicted proportions of threatened and near-threatened plant species by Random Forests were not very high, the results still showed clear patterns of the relative importance of variables from different time periods in predicting proportions of near-threatened and threatened species. Pre-1700 anthropogenic activities contributed more to the overall fit of the model than changes between 1700 and 1950, which was again more important than changes between 1950 and 2000 (Fig. 2).

Single-predictor simultaneous autoregressive modelling showed that the two variables most associated with proportions of both threatened and near-threatened species all represented changes in cropland area (Table S1, Fig. 3). Importantly, the proportion of threatened and near-threatened species were negatively related to human activities prior to 1950, but positively related to more recent human activity increases (Table S1).

The group of grid cells with the highest proportions of threatened/near-threatened species tended to have the highest level of recent human activities, but relatively low levels of historical human activities (Fig. 4).

## 4. Discussion

Our results show that near-threatened and threatened plants are mainly concentrated in southwestern China, and that this concentration can be at least partly attributed to the relatively recent expansion of human populations and cropland in this region. Notably, we found that anthropogenic activities pre-1950 and in particular before 1700 consistently had stronger associations with proportions of near-threatened and threatened plants species than anthropogenic activity

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