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# Operation of Challenges to quantitative applications of Landsat observations 2 for the urban thermal environment

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## ABSTRACT

Since the launch of its first satellite in 1972, the Landsat program has operated continuously 18 for more than forty years. A large data archive collected by the Landsat program sig- 19 nificantly benefits both the academic community and society. Thermal imagery from 20 Landsat sensors, provided with relatively high spatial resolution, is suitable for monitoring 21 urban thermal environment. Growing use of Landsat data in monitoring urban thermal 22 environment is demonstrated by increasing publications on this subject, especially over the 23 last decade. Urban thermal environment is usually delineated by land surface temperature 24 (LST). However, the quantitative and accurate estimation of LST from Landsat data is still a 25 challenge, especially for urban areas. This paper will discuss the main challenges for urban 26 LST retrieval, including urban surface emissivity, atmospheric correction, radiometric 27 calibration, and validation. In addition, we will discuss general challenges confronting the 28 continuity of quantitative applications of Landsat observations. These challenges arise 29 mainly from the scan line corrector failure of the Landsat 7 ETM+ and channel differences 30 among sensors. Based on these investigations, the concerns are to: (1) show general users 31 the limitation and possible uncertainty of the retrieved urban LST from the single thermal 32 channel of Landsat sensors; (2) emphasize efforts which should be done for the quantitative 33 applications of Landsat data; and (3) understand the potential challenges for the continuity 34 of Landsat observation (i.e., thermal infrared) for global change monitoring, while several 35 climate data record programs being in progress. 36

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## 66 Introduction

67 The Landsat program, jointly operated by the National Aeronautics and Space Administration (NASA) and the U.S. 68 69 Geological Survey (USGS), has been collecting space-based imagery with moderate spatial resolution of the Earth's 70 surface since the launch of its first satellite in 1972. This 71 series of land-observing satellites has created a historical 7273 archive that is unmatched in quality, spatial coverage, and length. Landsat 8, launched on 11 February 2013, is the newest 74satellite in the Landsat program. Furthermore, NASA and 7576 USGS have started work on Landsat 9, which is planned to be launched in 2023. Landsat 9 will extend the Landsat program 77 78 to half a century (NASA, 2015a). Furthermore, the Landsat 79 program will potentially be sustained operationally further by 80 Landsat 10 (Loveland and Dwyer, 2012).

81 The spatial resolution of Landsat observation is important. On the one hand it is coarse enough for continuous global 82 83 coverage, and on the other hand it is detailed enough to characterize human-scale processes. With the use of Landsat 84 85 observations, it is possible to investigate human interactions with the environment in a global scale (NASA, 2015b). At 86 87 present, the data collected for over more than forty years by the 88 Landsat program provide a unique database for global change research. The continuity characterized with consecutive, tem-89 90 porally overlapping observations and cross-sensor calibration, makes Landsat observation an important asset for climate 91 studies (Trenberth et al., 2013). Furthermore, thanks to the free 92 data policy (Woodcock et al., 2008; Loveland and Dwyer, 2012) 93 the Landsat data have been adopted in a wide range of studies 94 and applications. Accordingly, the Landsat program has signif-95 96 icantly benefited both the academic community and societal applications such as the management of water, land, forest, 97 wildlife, and natural hazards. The annual economic benefits 98 in 2011 obtained from the Landsat imageries were estimated to 99 be \$2.19 billion, although this estimate may be conservative 100 (Loomis et al., 2015). 101

So far, the thermal images acquired by Landsat sensors, 102 including the Thematic Mapper (TM) on-board Landsat 4/5, 103 the Enhanced Thematic Mapper Plus (ETM+) on-board Landsat 104 1057, and the Thermal Infrared Sensor (TIRS) on-board Landsat 8, have high spatial resolution (Table 1) for monitoring urban 106 thermal environment (Sobrino et al., 2012; Xiao et al., 2007), 107 108 especially when compared with the coarser-resolution ther-109 mal images collected by AVHRR (or Advanced Very High Resolution Radiometer) and MODIS (or MODerate Resolution 110 Imaging Spectroradiometer). Landsat 4 was operated over 111 10 years from 1982 to 1993. Landsat 5 successfully collected 112 data from its launch in 1984 until the communication system 113 failures in November 2011, and finally went out of commission 114

in June 2013 (USGS, 2013b). Landsat 7, launched in April 1999, 115 has acquired observations for more than 17 years so far. 116 Actually, a thermal channel was firstly embedded in Landsat 3 117 MSS; however, its poor performance and few data-acquisition 118 make application of its data impossible (Arvidson et al., 2013). 119 Compared with its predecessors, Landsat 8 has two separate 120 push-brooms scanners, including the Operational Land Imager 121 (OLI) and the TIRS. Specifically, the OLI has two additional 122 channels provided with narrower bandwidths (i.e., Band 1 and 123 Band 9), while the TIRS has two thermal channels in the range 124 of 10.0-13.0 µm (Table 1). Relative spectral responses (RSRs) for 125 the channels are shown in Figs. 1 and 2. The two new bands of 126 Landsat 8 OLI are not shown in Fig. 1. Effective wavelengths 127 for thermal channels given in Fig. 2 are 11.154  $\mu$ m (Landsat 4 128 TM), 11.457 µm (Landsat 5 TM), 11.269 µm (Landsat 7 ETM+), 129 10.904 µm (Landsat 8 TIRS1), and 12.003 µm (Landsat 8 TIRS2). 130 The effective wavelengths are obtained through a "Trapezoid" 131

Table 1 – Ge	neral informatio	n about the La	ndsat sensors.	Q1
	Landsat 4/5 TM	Landsat 7 ETM+	Landsat 8 OLI/TIRS	ŧ1:
Visible (µm)	0.45–0.52 (30 m)	0.45–0.52 (30 m)	0.45–0.51 (30 m)	t1.
	0.52–0.60 (30 m)	0.52–0.60 (30 m)	0.53–0.59 (30 m)	t1.
	0.63–0.69 (30 m)	0.63–0.69 (30 m)	0.64–0.67 (30 m)	t1.
Near infrared	0.76–0.90 (30 m)	0.77–0.90 (30 m)	0.85–0.88 (30 m)	t1.
Short-wave	1.55–1.75 (30 m)	1.55–1.75 (30 m)	1.57–1.65 (30 m)	t1.
infrared	2.08–2.35 (30 m)	2.09–2.35 (30 m)	2.11–2.29 (30 m)	t1.
Panchromatic		0.52–0.90 (15 m)	0.50–0.68 (15 m)	t1.
Thermal	10.40-12.50	10.40-12.50	10.60–11.19	t1.
infrared	(120 m <sup>a</sup> )	(60 m <sup>b</sup> )	(100 m°)	
			11.50–12.51	t1.
			(100 m)	
[Band 1]			0.43–0.45 (30 m)	t1.
[Band 9]			1.36–1.38 (30 m)	t1.

[] Compared with its predecessors, Landsat 8 has two new bands, t1 16 including a band (Band 1, 0.43-0.45 µm) useful for coastal and t1.17 aerosol studies and a band (Band 9, 1.36–1.39  $\mu m)$  useful for cirrus t1.18 cloud detection. Values in parentheses in Table 1 are spatial t1.19resolutions of the specific thermal images. The resolution for ETM+ t1 20 and OLI panchromatic bands of is 15 m, and the spatial resolution t1.21 for other spectral bands located within visible, near infrared, and t1.22 t1.23short-wave infrared regions is 30 m. Information in Table 1 is obtained from http://landsat.usgs.gov/band \_designations\_landsat\_ t1 24 satellites.php. t1.25 t1.26

<sup>a</sup> TM thermal band was originally acquired at 120 m resolution. But, products processed before February 25, 2010 are resampled to 60 m pixels, while products processed after February 25, 2010 are resampled to 30 m pixels.

<sup>b</sup> ETM+ thermal band is acquired at 60 m resolution. Products processed after February 25, 2010 are resampled to 30 m pixels.
<sup>c</sup> TIRS thermal bands are acquired at 100 m resolution, but are resampled to 30 m in delivered data product.

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