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# On finite free Fisher information for eigenvectors of a modular operator $\stackrel{\bigstar}{\approx}$



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#### A R T I C L E I N F O

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#### ABSTRACT

Suppose M is a von Neumann algebra equipped with a faithful normal state  $\varphi$  and generated by a finite set  $G = G^*$ ,  $|G| \geq 2$ . We show that if G consists of eigenvectors of the modular operator  $\Delta_{\varphi}$  with finite free Fisher information, then the centralizer  $M^{\varphi}$  is a II<sub>1</sub> factor and M is either a type III<sub>1</sub> factor or a type III<sub> $\lambda$ </sub> factor,  $0 < \lambda \leq 1$ , depending on the eigenvalues of G. Furthermore,  $(M^{\varphi})' \cap M = \mathbb{C}, M^{\varphi}$  does not have property  $\Gamma$ , and M is full provided it is type III<sub> $\lambda$ </sub>,  $0 < \lambda < 1$ .

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#### 0. Introduction

Given random variables  $x_1, \ldots, x_n$  in a non-commutative probability space  $(M, \varphi)$ , it is natural to ask what information about the distribution of a polynomial  $p \in \mathbb{C}[x_1, \ldots, x_n]$  can be gleaned from the distributions of  $x_1, \ldots, x_n$ . If  $p = x_1 + x_2$  or  $p = x_1 x_2$  with  $x_1$  freely independent from  $x_2$ , the theory of free additive and multi-

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plicative convolutions tells us everything about the distribution of p, but (until recently) without the strict *regularity condition* of free independence little could be deduced about the distribution of a general polynomial.

Shlyakhtenko and Skoufranis studied the distributions of matrices of polynomials in freely independent random variables  $x_1, \ldots, x_n$  and their adjoints, and in particular showed that if  $x_1, \ldots, x_n$  were semicircular random variables, then any self-adjoint polynomial has diffuse spectrum [21]. Mai, Speicher, and Weber later improved upon this result by showing that if  $x_1, \ldots, x_n$  are self-adjoint random variables, not necessarily freely independent or having semicircular distributions but instead having finite free Fisher information, then  $x_1, \ldots, x_n$  are algebraically free, any non-constant self-adjoint polynomial  $p \in \mathbb{C}[x_1, \ldots, x_n]$  has diffuse spectrum, and  $W^*(x_1, \ldots, x_n)$  contains no zero divisors for  $\mathbb{C}[x_1, \ldots, x_n]$  [15]. Charlesworth and Shlyakhtenko further improved on this result by weakening the assumption of finite free Fisher information to having full free entropy dimension, and showed that under stronger assumptions on  $x_1, \ldots, x_n$  one can assert that the spectral measure of  $p \in \mathbb{C}[x_1, \ldots, x_n]$  is non-singular [3]. These techniques have since been applied by Hartglass to show that certain elements in  $C^*$ -algebras associated to weighted graphs have diffuse spectrum [14]. In this paper, these techniques are brought to bear on non-tracial von Neumann algebras.

We consider a von Neumann algebra M with a faithful normal state  $\varphi$ , and a finite generating set G. We will further assume that G has finite free Fisher information with respect to the state  $\varphi$ , and that each  $y \in G$  is an "eigenoperator"; that is, scaled by the modular automorphism group:  $\sigma_t^{\varphi}(y) = \lambda_y^{it} y$  for some  $\lambda_y > 0$ . Under these assumptions, we obtain a criterion for when polynomials  $\mathbb{C}[G]$  in the centralizer  $M^{\varphi}$  have diffuse spectrum (*cf.* Corollary 5.10). Our context is inspired by Shlyakhtenko's free Araki–Woods factors, which are non-tracial von Neumann algebras generated by *generalized circular elements* (operators scaled by the action of the modular automorphism group, *cf.* [18, Section 4]).

Regularity conditions on  $x_1, \ldots, x_n$  can also have consequences on the von Neumann algebra generated by these operators. Indeed, Dabrowski [12] showed that if  $x_1, \ldots, x_n$ in a tracial non-commutative probability space have finite free Fisher information, then these operators generate a factor without property  $\Gamma$ . The non-tracial analogue of this result, which considers the centralizer  $M^{\varphi}$  as well as M, is the content of the two main results of this paper. The first is concerned with factoriality:

**Theorem A.** Let M be a von Neumann algebra with a faithful normal state  $\varphi$ . Suppose M is generated by a finite set  $G = G^*$ ,  $|G| \ge 2$ , of eigenoperators of  $\sigma^{\varphi}$  with finite free Fisher information. Then  $(M^{\varphi})' \cap M = \mathbb{C}$ . In particular,  $M^{\varphi}$  is a II<sub>1</sub> factor and if  $H < \mathbb{R}^+_+$  is the closed subgroup generated by the eigenvalues of G then

$$M \text{ is a factor of type} \begin{cases} III_1 & \text{if } H = \mathbb{R}_+^{\times} \\ III_{\lambda} & \text{if } H = \lambda^{\mathbb{Z}}, \ 0 < \lambda < 1 \\ II_1 & \text{if } H = \{1\}. \end{cases}$$

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