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Set families with a forbidden pattern



Ilan Karpas^a, Eoin Long^b

^a The Einstein Institute of Mathematics, The Hebrew University of Jerusalem, Jerusalem, Israel

^b School of Mathematical Sciences, Tel Aviv University, Tel Aviv, Israel

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ABSTRACT

A *balanced pattern* of order $2d$ is an element $P \in \{+, -\}^{2d}$, where both signs appear d times. Two sets $A, B \subset [n]$ form a P -pattern, which we denote by $\text{pat}(A, B) = P$, if $A \Delta B = \{j_1, \dots, j_{2d}\}$ with $1 \leq j_1 < \dots < j_{2d} \leq n$ and $\{i \in [2d] : P_i = +\} = \{i \in [2d] : j_i \in A \setminus B\}$. We say $\mathcal{A} \subset \mathcal{P}[n]$ is P -free if $\text{pat}(A, B) \neq P$ for all $A, B \in \mathcal{A}$. We consider the following extremal question: how large can a family $\mathcal{A} \subset \mathcal{P}[n]$ be if \mathcal{A} is P -free?

We prove a number of results on the sizes of such families. In particular, we show that for some fixed $c > 0$, if P is a d -balanced pattern with $d < c \log \log n$ then $|\mathcal{A}| = o(2^n)$. We then give stronger bounds in the cases when (i) P consists of $d+$ signs, followed by $d-$ signs and (ii) P consists of alternating signs. In both cases, if $d = o(\sqrt{n})$ then $|\mathcal{A}| = o(2^n)$. In the case of (i), this is tight.

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1. Introduction

A central goal in extremal set theory is to understand how large a set family can be subject to some restriction on the intersections of its elements. Given $\mathcal{L} \subset \mathbb{N} \cup \{0\}$, we say that a set family \mathcal{A} is \mathcal{L} -intersecting if $|A \cap B| \in \mathcal{L}$ for all distinct $A, B \in \mathcal{A}$. Taking $\mathcal{L}_t = \{s \in \mathbb{N} : s \geq t\}$, a fundamental theorem of Erdős, Ko and Rado [6] shows that \mathcal{L}_t -intersecting families $\mathcal{A} \subset \binom{[n]}{k}$ satisfy $|\mathcal{A}| \leq \binom{n-t}{k-t}$, provided $n \geq n_0(k, t)$. Another important theorem due to Frankl and Füredi [8] shows that if $\mathcal{L}_{\ell, \ell'} := \{s < \ell \text{ or } s \geq k - \ell'\}$, then any $\mathcal{L}_{\ell, \ell'}$ -intersecting family $\mathcal{A} \subset \binom{[n]}{k}$ satisfies

E-mail addresses: ilan.karpas@mail.huji.ac.il (I. Karpas), eoinlong@post.tau.ac.il (E. Long).

$|\mathcal{A}| \leq cn^{\max(\ell, \ell')}$, for some constant c depending on k, ℓ and ℓ' . See [2,3,7,10] for an overview of this extensive topic.

Here we are concerned with understanding the effect of restricting the *pattern* formed between elements of a set family. A *difference pattern* or *pattern* of order t is an element $P \in \{+, -\}^t$. Given such a pattern P , let $S_+(P) = \{i \in [t] : P_i = +\} \subset [t]$ and $s_+(P) = |S_+(P)|$. Define $S_-(P)$ and $s_-(P)$ analogously. Two sets $A, B \subset [n]$ form a *difference pattern* P if:

- (i) $A \Delta B = \{j_1, \dots, j_t\}$ with $j_1 < \dots < j_t$, and
- (ii) $\{i \in [t] : P_i = +\} = \{i \in [t] : j_i \in A \setminus B\}$.

We denote this by writing $\text{pat}(A, B) = P$. A family of subsets $\mathcal{A} \subset \mathcal{P}[n]$ is P -free if $\text{pat}(A, B) \neq P$ for all distinct $A, B \in \mathcal{A}$. In this paper we consider the following natural question: given a pattern P , how large can a family $\mathcal{A} \subset \mathcal{P}[n]$ be if it is P -free?

First note the following simple observation. If $s_+(P) \neq s_-(P)$ then large P -free families exist. Indeed, if $|s_+(P) - s_-(P)| = m > 0$ then the following families are P -free:

$$\mathcal{B}_1 = \{A \subset [n] : |A| \in [0, m - 1] \bmod 2m\}; \quad \mathcal{B}_2 = \{A \subset [n] : |A| \in [m, 2m - 1] \bmod 2m\}.$$

Clearly either $|\mathcal{B}_1| \geq 2^{n-1}$ or $|\mathcal{B}_2| \geq 2^{n-1}$. We will therefore focus on the case when $s_+(P) = s_-(P) = d$. We say that such patterns are d -balanced. For a balanced pattern P it is only possible that $\text{pat}(A, B) = P$ if $|A| = |B|$. Thus, our question on balanced patterns essentially reduces to a question for uniform families. Given $0 \leq k \leq n$, define

$$f(n, k, P) := \max\left\{|\mathcal{A}| : P\text{-free families } \mathcal{A} \subset \binom{[n]}{k}\right\}.$$

Let $f(n, k, d) = \max\{f(n, k, P) : P \text{ is } d\text{-balanced}\}$. We will also write $\delta(n, k, P)$ and $\delta(n, k, d)$ for the corresponding extremal densities, i.e. $\delta(n, k, P) := f(n, k, P) / \binom{n}{k}$, and $\delta(n, k, d) := f(n, k, d) / \binom{n}{k}$. Note also that if $\mathcal{A} \subset \binom{[n]}{k}$ is P -free then the family $\mathcal{A}^c = \{[n] \setminus A : A \in \mathcal{A}\} \subset \binom{[n]}{n-k}$ is also P -free. Therefore $f(n, k, P) = f(n, n - k, P)$ and it suffices to bound $f(n, k, P)$ for $k \leq n/2$.

Our first aim is to prove a density result for d -balanced patterns of small order. That is, we will show that for fixed d , any sequence of integers $\{k_n\}_{n=1}^\infty$ tending to infinity with n with $k_n \leq n/2$ satisfies $\lim_{n \rightarrow \infty} \delta(n, k_n, d) = 0$. The condition that k is not fixed and tends to infinity with n will be crucial. This is different from the case in the Frankl–Füredi Theorem, which tells us that we can take some fixed $k \geq 2d - 1, \ell = k - d$ and $\ell' = d - 1$, and if $\mathcal{A} \subset \binom{[n]}{k}$ with $|\mathcal{A}| = \omega(n^{k-d})$ then there are $A, B \in \mathcal{A}$ with $|A \Delta B| = 2d$, i.e. A and B form a P -pattern for *some* d -balanced pattern P . Indeed, take any fixed $k := k(d)$, and consider the family $\mathcal{A}_0 \subset \binom{[n]}{k}$ given by

$$\mathcal{A}_0 = \left\{A \subset [n] : \left|A \cap \left(\frac{(i-1)n}{k}, \frac{in}{k}\right]\right| = 1 \text{ for all } i \in [k]\right\}.$$

Then $|\mathcal{A}_0| \geq c_k n^k$ for some absolute constant $c_k > 0$, but it is easily seen that \mathcal{A}_0 does not contain the pattern $++--$. Therefore, there does not exist a density theorem for d -balanced patterns in subsets of $\binom{[n]}{k}$ with fixed k , as in the Frankl–Füredi theorem.

Our first result shows that such a density theorem does hold for k growing with n .

Theorem 1. Given $d, k, n \in \mathbb{N}$ with $2k \leq n$ and taking $a_d = (8d)^{5d}$ and $c_d = 6d8^{-d}$ we have

$$\delta(n, k, d) \leq a_d k^{-c_d}.$$

By our discussion above for fixed k we see that **Theorem 1** is in a sense a ‘high-dimensional’ result. Also note that **Theorem 1** shows there is a constant $c > 0$ with the property that if P is a d -balanced pattern with $d \leq c \log \log n$ and $\mathcal{A} \subset \mathcal{P}[n]$ which is P -free, then $|\mathcal{A}| = o(2^n)$.

Let $\text{IP}(d)$ denote the d -balanced pattern consisting of d plus signs, followed by d minus signs. We refer to these as *interval patterns*. Given the obstruction of $\text{IP}(2)$ above, it is natural to ask for bounds on $f(n, k, \text{IP}(d))$.

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