



Pharmaceutical Nanotechnology

Effects of organic solvents on drug incorporation into polymeric carriers and morphological analyses of drug-incorporated polymeric micelles

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ABSTRACT

We incorporated an anticancer agent, camptothecin (CPT), into polymeric micelle carriers by using two different solvents (TFE and chloroform) in the solvent-evaporation drug incorporation process. We observed significant differences in the drug-incorporation behaviors, in the morphologies of the incorporated drug and the polymeric micelles, and in the pharmacokinetic behaviors between the two solvents' cases. In particular, the CPT-incorporated polymeric micelles prepared with TFE as the incorporation solvent exhibited more stable circulation in blood than those prepared with chloroform. This contrast indicates a novel technological perspective regarding the drug incorporation into polymeric micelle carriers. Morphological analyses of the inner core have revealed the presence of the directed alignment of the CPT molecules and CPT crystals in the micelle inner core. This is the first report of the morphologies of the drug incorporated into the polymeric micelle inner cores. We believe these analyses are very important for further pharmaceutical developments of polymeric micelle drug-carrier systems.

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1. Introduction

Polymeric micelles have attracted much attention as a nano-sized drug carrier in drug delivery systems (DDS) (Yokoyama, 2005; Aliabadi and Lavasanifar, 2006; Yokoyama, 2007). Polymeric micelles are macromolecular assemblies that, formed from block copolymers or graft copolymers, have a spherical inner core and an outer shell (Tuzar and Kratochvil, 1976). Most typically, polymeric micelle drug carrier systems form from an AB type of block copolymer possessing a hydrophobic block and a hydrophilic block (Bader et al., 1984; Yokoyama et al., 1989). Hydrophobic drugs are physically incorporated into the micelles' hydrophobic inner cores by means of hydrophobic interactions (Kwon et al., 1994a; Yokoyama et al., 1994; Molavi et al., 2008; Shin et al., 2009). Owing to their advantages such as very small size in a range of 10–100 nm and high structural stability, polymeric micelle carriers have been actively applied to drug targeting (Yokoyama et al., 1991; Yokoyama, 2005; Aliabadi and Lavasanifar, 2006). In particular, polymeric micelle

systems have achieved successful tumor targeting (Kwon et al., 1994b; Yokoyama et al., 1999; Nishiyama et al., 2003; Kawano et al., 2006) through the enhanced permeability and retention (EPR) effect (Matsumura and Maeda, 1986; Maeda et al., 1992), which enables nano-sized carriers to deliver anti-cancer drugs selectively to solid tumor sites. Presently, five clinical trials are underway for tumor targeting with polymeric micelle systems (Matsumura et al., 2004; Hamaguchi et al., 2005; Koizumi et al., 2006; Hamaguchi et al., 2007; Nakajima et al., 2008a,b).

Among the several types of nano-sized carrier systems including liposomes, nano-spheres, antibodies, and water-soluble synthetic polymers, the polymeric micelle has exhibited strong advantages in applications to hydrophobic low-molecular-weight drugs owing to the micelle's large drug-loading capacity and the micelle's ability to maintain the water solubility of the given carrier system. Previous studies of polymeric micelle drug-carrier systems have indicated that the stable incorporation of drugs into the hydrophobic inner cores is essential for successful *in vivo* targeting (Yokoyama et al., 1999; Yokoyama, 2005). If the stability is low, the drug is very rapidly released (within a range of only several minutes) from the carrier, resulting in unsuccessful targeting. Kwon et al. reported that extremely low diffusion constant

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values in a 10^{-19} to 10^{-20} cm²/s order were necessary for stable drug incorporation because the size of micelle inner cores is very small, being approximately 10 nm in diameter (Forrest et al., 2006a,b). Yokoyama et al. reported that a slight change in the chemical structures of inner-core-forming hydrophobic polymer chains had substantial effects on incorporation stability (Yokoyama et al., 2004; Watanabe et al., 2006; Yamamoto et al., 2007). However, little is known about key factors for stable incorporation. Furthermore, physico-chemical characterizations of the incorporated drug molecules have never been conducted even though these characterizations would no doubt be useful both for the elucidation and the achievement of incorporation stability. These characterizations may concern, for example, types of drug distribution (uniformly distributed or localized at specific sites such as a boundary with an outer shell), aggregation status (the dispersed individual drug molecules or the aggregation of drug molecules into a cluster), and drug molecules' polarity (randomly directed drug molecules or molecules aligned to a specific direction owing to intermolecular interactions). Researchers have reported that two successful polymeric micelle systems physically incorporated drug molecules possessing planar chemical structures, doxorubicin (Yokoyama et al., 1991, 1999) and camptothecin (CPT) (Watanabe et al., 2006; Yamamoto et al., 2007). Doxorubicin possesses a planar anthracycline ring, and CPT molecules have a planar five-membered-ring structure. Planar molecules can exhibit strong polarity if they are aligned in one direction through their intermolecular associations. Therefore, the polarity of incorporated drug molecules is a candidate for determining factors that underlie stable incorporation.

In this paper, we carry out the first physico-chemical examination of the incorporated drug molecules inside micelle inner cores by means of fluorescence spectroscopy and AFM. Furthermore, we evaluate effects that solvents used in drug-incorporation procedures can have on both the morphologies of inner cores and the morphologies of micelle structures. Then, we compare two polymeric micelle formulations that are different from each other only in the solvent used in the drug incorporation process, while the other factors, drug molecules and block copolymer structures, are the same between the two formulations. We observed a substantial difference in pharmacokinetic behaviors. This indicates that solvents can be an important factor in successful drug incorporation through the control of morphologies, whether in relation to incorporated drugs or polymeric micelles.

2. Materials and methods

2.1. Materials

(s)-(+)-Camptothecin and 1,1,1-trifluoro-2-propanol were purchased from Sigma-Aldrich (Tokyo branch, Japan) and were used as received. Reagent-grade solvents, chloroform, 2,2,2-trifluoroethanol (TFE), tetrahydrofuran (THF), dimethylsulfoxide (DMSO), N,N-dimethylformamide (DMF), N,N-dimethylacetamide (DMAc), 1,4-dioxane, 1,1,1,3,3,3-hexafluoro isopropanol were purchased from Wako Chemicals (Tokyo, Japan) and were used as received. Poly(ethylene glycol)-b-poly(aspartic acid-co-benzyl aspartate) (PEG-P(Asp(Bzl 74))) was synthesized as previously reported (Opanasopit et al., 2004; Yokoyama et al., 2004; Yamamoto et al., 2007), and its chemical structure is shown in Fig. 1. The average molecular weight of PEG was 5200 ($n=118$ in Fig. 1), and the average number of Asp units (m) was 27. The current study converted 74% of the aspartic-acid units into benzyl-aspartate units through an esterification reaction of poly(ethylene glycol)-b-poly(aspartic acid). Our group has investigated variations of this type of block polymer by, for example, changing the percentage of benzyl aspartate units or using hydrophobic ester groups

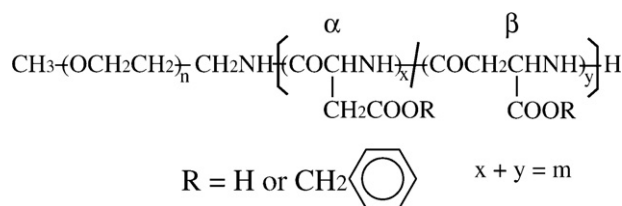


Fig. 1. Chemical structure of a block copolymer for micelle carriers.

other than benzyl ester, and so far PEG-P(Asp(Bzl 74)) has worked well for our efforts to incorporate camptothecin into polymeric micelles (Yokoyama et al., 2004; Yamamoto et al., 2007) and to incorporate retinoids into polymeric micelles (Chansri et al., 2008; Okuda et al., 2008, 2009). The aspartate amide bond can be either α or β , and our group previously had reported that PEG-P(Asp(Bzl)) with all α aspartate amide bonds did not result in the formation of stable CPT-incorporated micelles. Therefore, in the current study, we used a polymer that has the aspartate amide bond with an α/β ratio of 1/3.

In some measurements, block copolymers possessing the same chain lengths and similar benzyl-esterification degrees (70% and 82%) were used.

2.2. Preparation of camptothecin-incorporated polymeric micelles

Camptothecin (CPT)-incorporated polymeric micelles were prepared through a solvent evaporation method (Yokoyama et al., 2004; Watanabe et al., 2006). In this method, 5 mg of PEG-P(Asp(Bzl 74)) and an appropriate amount of 1 mg/mL CPT solution (in TFE or chloroform) were mixed in a 9 mL glass vial, followed by evaporation of the solvent under a dry nitrogen-gas flow with stirring at 40–50 °C. After complete evaporation, a dried film was obtained. To this film was added 4 mL of water, and the mixture was sonicated with a probe type ultrasonication instrument (VCX-750 equipped with a 5 mm tapered micro tip, Sonics & Materials, Newtown, CT, USA). For removal of possible precipitates and large particles, the obtained solution was centrifuged at 10,000 rpm ($12,000 \times g$) for 10 min by the use of a centrifuge Himac CR21G equipped with an R20A2 rotor (Hitachi Koki Co., Ltd., Tokyo, Japan) at 20 °C, and then was filtered through a 0.45 μm Millex-HV PVDF filter (Millipore Corp., Billerica, MA, USA), resulting in an aqueous solution of CPT-incorporated polymeric micelles. Polymeric micelles prepared by the use of TFE are denoted as “micelle A”, and those prepared by the use of chloroform as “micelle B”. A blank experiment was conducted in the absence of the block copolymer for estimating the amount of free CPT that would not be incorporated into polymeric micelles but that would be included in the solution. As the blank controls in which no polymer was used, CPT in a 1 mg/mL solution was added to an empty vial so that the total mass of CPT would be 0.5, 1.0, 2.0, or 5.0 mg, corresponding to 10, 20, 40, or 100 wt.% CPT with respect to polymers if they were present. The solvent was removed by evaporation, followed by an addition of water, sonication, centrifugation, and filtration according to the same approach as that adopted in the preparation of the CPT micelle solution. The concentration of CPT was measured with a UV–vis spectrometer. The procedure for UV–vis measurements is described in the UV–vis spectroscopy Section 2.3.2.

2.3. Measurements

2.3.1. Dynamic light scattering (DLS)

Particle sizes of micelles were measured with a dynamic light scattering (DLS) instrument DLS-7000 (Otsuka Electronics, Tokyo,

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