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Developing zebrafish models relevant to PTSD and other trauma- and stressor-related disorders

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26 25 ABSTRACT

While post-traumatic stress disorder (PTSD) and other trauma- and stress-related disorders (TSRDs) represent a 15 serious societal and public health concern, their pathogenesis is largely unknown. Given the clinical complexity of 16 TSRD development and susceptibility, greater investigation into candidate biomarkers and specific genetic path-17 ways implicated in both risk and resilience to trauma becomes critical. In line with this, numerous animal models 18 have been extensively used to better understand the pathogenic mechanisms of PTSD and related TSRD. Here, we 19 discuss the rapidly increasing potential of zebrafish as models of these disorders, and how their use may aid researchers in uncovering novel treatments and therapies in this field. 21

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27 1. Introduction: clinical PTSD

28Post-traumatic stress disorder (PTSD) and other trauma- and stressrelated disorders (TSRDs, Table 1) are severely debilitating stress-29indiced neuropsychiatric illnesses characterized by dysregulation in 30the processing of stimuli associated with trauma. TSRDs are primarily 31 defined by three clusters of symptoms: re-experiencing trauma, robust 32 33 avoidance behavior and hyperarousal (Chavez, 2006; Heinzelmann and Gill, 2013; Qureshi et al., 2011). PTSD affects approximately 1-8% of the 34 general population, and represents a serious societal and public health 35 concern (Chavez, 2006; Kessler et al., 1995). Exposure to a severe stress-36 37 or or trauma, such as violence or combat stress, is a common trigger of 38 PTSD and other TSRDs (Alisic et al., 2014; Pietrzak et al., 2014) (also see (Kang et al., 2003)). While not all impacted individuals develop 39 40PTSD, susceptible individuals demonstrate a set of clear symptoms (Table 1) currently recognized as PTSD (Chavez, 2006; Pietrzak et al., 41422014; Warner et al., 2013).

In addition to clinical PTSD and other TSRDs, affected patients
often show high comorbidity with various other neuropsychiatric
disorders, such as depression, anxiety, drug abuse and psychoses

(Fig. 1) (Anderson et al., 2014; Rojas et al., 2014). Vulnerability to 46 PTSD also demonstrates a high heterogeneity, as only 18–36% of 47 trauma-exposed individuals develop PTSD (Heinzelmann and Gill, 48 2013), and its symptoms are expressed in a sex-specific manner (Jin 49 et al., 2014; Shvil et al., 2014). However, the pathogenesis underlying 50 the vulnerability and development of PTSD and other TSRDs is largely 51 unknown (Sherin and Nemeroff, 2011), and merits further translational 52 analyses. 53

Mounting evidence suggests that biological dysregulation of the 54 neuromediator (e.g., opioid-, glutamate-, noradren-, seroton- and 55 oxytocine-ergic) pathways and neuroendocrine cascades is involved 56 in the pathophysiology of PTSD and TSRD (Hageman et al., 2001). In ad- 57 dition to environmental triggers, clinical PTSD also has genetic risk fac- 58 tors (Brewin et al., 2000; Domschke, 2012). For example, the genes 59 catechol-O-methyltransferase (COMT), tryptophan hydroxylase (TPH) 60 1, TPH2, and serotonin transporter (SERT) have been associated with 61 higher risks of developing PTSD in various clinical cohorts (Goenjian 62 et al., 2012; Kolassa et al., 2010; Valente et al., 2011). Epigenetic influ- 63 ences have also been suggested to mediate PTSD vulnerability in genet- 64 ically susceptible individuals (Koenen et al., 2011; Ressler et al., 2011; 65 Rusiecki et al., 2012; Smith et al., 2011; Uddin et al., 2010, 2011). 66 Thus, given the high complexity driving the disorder's development 67 and susceptibility, greater investigation into candidate biomarkers and 68 specific genetic pathways implicated in both risk and resilience to trau- 69 ma becomes critical (Heinzelmann and Gill, 2013). 70

2. Animal models of PTSD

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Recognizing the growing clinical importance of PTSD and related 72 TSRD, various experimental animal models have been developed to 73

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Abbreviations: BDNF, brain-derived neurotrophic factor; COMT, catechol-O-methyltransferase; CRF, corticotropin releasing factor; HTS, high-throughput screens; HPA, hypothalamic-pituitary-adrenal; HPI, hypothalamic-pituitary-interrenal; LSD, lysergic acid diethylamide; MDMA, 3.4-methylenedioxymethamphetamine; MAOIs, monoamine oxidase inhibitors; NPY, neuropeptide Y; OT, oxytocin; PTSD, post-traumatic stress disorder; PP1, protein phosphate-1; SSRIs, selective serotonin reuptake inhibitors; SERT, serotonin transporter; SAA, serum amyloid A; TPH, tryptophan hydroxylase; TSRDs, trauma- and stress-related disorders; TCAs, tricyclic antidepressants.

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target different aspects of PTSD pathogenesis (Table 2, Fig. 2). This area 74 75of research is rapidly developing, and more new experimental genetic and pharmacological animal models of PTSD continue to emerge 76 77 (Caramillo et al., 2014; Daskalakis et al., 2013; Goswami et al., 2013; Matar et al., 2013; Ursano et al., 2007). Clearly, every animal model 78 has multiple limitations (McGonigle and Ruggeri, 2014; Stewart and 79 Kalueff, in press), and all these PTSD models have various degrees of 80 81 construct, face and predictive validity (Goswami et al., 2013; Matar 82 et al., 2013). Traditionally, the field strongly relied on rodent (and, to a 83 lesser extent, primate) models of PTSD, most of which have intuitively 84 been based on acute or prolonged exposure to stressors (Table 2, Fig. 2) – the same factor that triggers clinical TSRD (Alisic et al., 2014; 85 Pietrzak et al., 2014). Therefore, the availability of experimental para-86 87 digms mimicking PTSD pathogenesis (Table 2) and the possibility of developing sensitive in-vivo screens for anti-PTSD medication (see 88 further) are encouraging. (See Fig. 3.) 05

Numerous animal models have been extensively used to better 90 91 understand the pathogenic mechanisms of PTSD (Ursano et al., 2007). For example, many rodent models of PTSD commonly employ stressors 92to instill fear, reduce social interaction, and induce cognitive impair-93 ments that are implicated in behavioral abnormalities associated with 94 stress-related disorders. These models include several fear conditioning 95 96 models (e.g., the electric foot shock), adaptations of stress/restress paradigms, as well as genetically manipulated and psychosocial models, 97 such as maternal separation and exposure to predators or aggressors 98 (Adamec and Shallow, 1993; Caramillo et al., 2014; Cohen et al., 2004; 99 Garrick et al., 2001; Harvey et al., 2006). 100

101 Fear conditioned methods are widely used in rodents to assess molecular and genetic factors underlying stress-related behaviors 102(Zovkic and Sweatt, 2013). The exposure to the uncontrollable electric 103 foot shock in rodents conditions fear by amplifying the rodent's sense 104 105of helplessness, a common facet to human depression (Dunn and 106 Swiergiel, 2008). Recapitulating clinical PTSD symptoms, rodents 107(even in the absence of foot shocks) continue to exhibit deleterious effects, such as an increase of acoustic startle response when provoked 108 with contextual reminders (Rasmussen et al., 2008). Prognostic indica-109tors, such as the acoustic startle response, help to subdivide rodents into 110 111 categories of those predisposed to developing stress-like disorders and those more resilient. Importantly, several newly identified candidate 112 genes are involved in these fear responses, suggesting that behavioral 113 differences are due to predisposed genetic variation. For example, in 114 115 the absence of stathmin gene, rodents reveal a "fearless" phenotype, even following foot shocks (Martel et al., 2012). High levels of stathmin 116 protein are found in the amygdala of rodents with elevated anxiety-like 117 behavior, whereas manipulations in stathmin transcript levels can sig-118 119nificantly alter behavioral anxiety. Additionally, the corticotropin-120releasing factor 1 receptor antagonist, SSR125543, albeit not involved with the hypothalamic-pituitary-adrenal (HPA) axis, equally dimin-121ishes anxiety-like response following a shock exposure (Parker et al., 1222012). The opposite is observed in the absence of gastrin-related pep-123tide receptor genes, which invokes a more timid quality in rodents 124125(Martel et al., 2012). Moreover, various inbred mouse strains display 126different stress behaviors, some of which elevate or suppress startle response. For example, the SWR/I mouse strain appears as a promising 127model to study stress resilience (Szklarczyk et al., 2012), whereas the 128BALB/c strain displays increased sensitivity to stressors (Brinks et al., 1291302007), collectively suggesting that stress resiliency and sensitivity (highly relevant to PTSD) involves a complex set of genetic-phenotypic 131 interplay in rodents. 132

Several rodent and primate models of maternal separation or loss are used to mimic early adversity (Monk et al., 2012), another clinically relevant PTSD-related phenotype. For example, rhesus monkeys of early social isolation showed characteristics of aggressive play and irregular reproductive activity (Feng et al., 2011). Early maternal separation in primate models often resulted in long-term alterations in neuroendocrine response to stress, such as augmented levels of cortisol and a pronounced frontal lobe area with more dependence to the right hemisphere (Davenport et al., 2008). This asymmetric activity of the frontal lobes is consistent to patterns found in human infants with developing anxiety personalities (Avram et al., 2010; Moscovitch et al., 2011). 143

Overall, various mammalian models of PTSD have been recently 144 comprehensively evaluated in the literature (Caramillo et al., 2014; 145 Daskalakis et al., 2013; Goswami et al., 2013; Matar et al., 2013; 146 Ursano et al., 2007), and will not be discussed here in-depth. However, 147 the importance of cross-species disease modeling has recently been rec- 148 ognized in biological psychiatry and translational neuroscience research 149 (Kas et al., 2007; Kas et al., 2011; Stewart and Kalueff, in press). For ex- 150 ample, critical and biologically meaningful information about shared 151 and evolutionarily conserved 'core' disordered circuits and molecular 152 pathways can only be obtained if several model organisms are used in 153 integrative disease modeling (Kalueff and Stewart, 2014; Stewart and 154 Kalueff, in press). From this point of view, among various other species, 155 the zebrafish (Danio rerio) becomes a particularly interesting organism 156 for modeling complex brain disorders (Kalueff et al., in press-a) and 157 their relation to stress (Kalueff et al., 2014a, 2014c; Stewart et al., 158 2014a). 159

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3. Zebrafish models relevant to PTSD

Can zebrafish be used to develop translational models relevant to 162 PTSD? Importantly, zebrafish have recently emerged as a promising 163 model to study complex neuropsychiatric illnesses (Kalueff et al., 164 2014a, 2014c; Stewart et al., 2014a). They possess an extensive array 165 of quantifiable behavioral phenotypes, many of which are bi- 166 directionally sensitive to a wide range of stress-inducing and anti- 167 stress drugs, generally paralleling rodent and clinical data for these 168 agents (Kalueff et al., in press-b). Moreover, zebrafish possess evolution- 169 arily conserved neural mechanisms and mediator systems with high ho- 170 mology to rodents and humans, as the same set of genes often regulates 171 critical aspects of stress responses in humans and in 'lower' species 172 (Kalueff et al., in press-b; Kaslin and Panula, 2001). Zebrafish exhibit 173 high genetic homology with humans as well, with 70-80% of shared nu- 174 cleotide sequence (Dooley and Zon, 2000). Perhaps even more impor- 175 tantly, the amino acid sequence of proteins (with 60–90% sequence 176 homology) and especially their functionally relevant catalytic or 177 ligand-binding domains (approaching 100% sequence homology) are 178 highly similar between zebrafish and humans (Renier et al., 2007). At 179 the practical level, zebrafish are easy to manipulate genetically, very 180 cost-efficient, easy to breed, and can be housed in large numbers in rel- 181 atively small space, rendering them an ideal species for high- 182 throughput genetic and pharmacological screening (Ali et al., 2012; 183 Kokel and Peterson, 2008, 2011; Laggner et al., 2012). The relative sim- 184 plicity of zebrafish (vs. rodents) CNS organization, although seemingly a 185 limitation of the model, can also be used advantageously - for example, 186 enabling a better isolation of shared, ancient 'core' biological variables 187 and molecular pathways associated with PTSD and other stress- 188 related disorders (Kalueff et al., 2014a). Collectively, this suggests that 189 zebrafish possess all major qualities necessary for an animal model of 190 PTSD (see (Caramillo et al., 2014) for a recent review). 191

3.2. Behavioral models

Zebrafish display a rich repertoire of complex and overt behavioral 193 responses to a wide variety of stimuli (including those inducing anxiety 194 or fear), which closely parallels that of mammals (Blaser et al., 2010; 195 Cachat et al., 2010c; Jesuthasan, in press; Stewart et al., 2012a). Because 196 abnormal human stress responses, such as fear underlying PTSD, are 197 linked to the malfunction of neurobiological mechanisms (i.e., neural 198 circuitry and molecular pathways) that originally evolved to subserve 199 the avoidance of predators or other harmful agents in nature, the 200

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