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A proposal for refining the forced swim test in Swiss mice

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ABSTRACT

The forced swim test (FST) is a preclinical test to the screening of antidepressants based on rats or mice be- 23 haviours, which is also sensitive to stimulants of motor activity. This work standardised and validated a 24 method to register the active and passive behaviours of Swiss mice during the FST in order to strength the 25 specificity of the test. Adult male Swiss mice were subjected to the FST for 6 min without any treatment or 26 after intraperitoneal injection of saline (0.1 ml/10 g), antidepressants (imipramine, desipramine, or fluoxe- 27 tine, 30 mg/kg) or stimulants (caffeine, 30 mg/kg or apomorphine, 10 mg/kg). The latency, frequency and 28 duration of behaviours (immobility, swimming, and climbing) were scored and summarised in bins of 6, 4, 29 2 or 1 min. Parameters were first analysed using Principal Components Analysis generating components pu- 30 tatively related to antidepressant (first and second) or to stimulant effects (third). Antidepressants and stim- 31 ulants affected similarly the parameters grouped into all components. Effects of stimulants on climbing were 32 better distinguished of antidepressants when analysed during the last 4 min of the FST. Surprisingly, the ef- 33 fects of antidepressants on immobility were better distinguished from saline when parameters were scored 34 in the first 2 min. The method proposed here is able to distinguish antidepressants from stimulants of motor 35 activity using Swiss mice in the FST. This refinement should reduce the number of mice used in preclinical 36 evaluation of antidepressants. 37

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1. Introduction 43

The forced swim test (FST) in rats or mice is pre-clinically employed 44 to evaluate drugs being screened for putative antidepressant activity 45(Castagné et al., 2009; Porsolt et al., 1977, 1978). The FST is quick test 46 47 to run, very reliable across laboratories, sensitive, and relatively selective for antidepressant drugs (Cryan et al., 2005; Petit-Demouliere et 48 al., 2005). The original protocol of the FST (Porsolt et al., 1977, 1978) 49consisted of placing the animal into a receptacle filled with water 5051once (mice) or twice (rats) while recording the amount of time spent in a posture of immobility. Mice or rats, after 2 min of vigorous struggle, 52adopted a typical posture of immobility (floating in the water making 53

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only the slight movements necessary to keep the head above the 54 water), alternated with swimming or paddling movements (Porsolt et 55 al., 1977, 1978). This stress-induced failure in escape performance was 56 named "behavioural despair" and has been consistently prevented by 57 the treatment of rats or mice with different types of antidepressants 58 (Porsolt et al., 1977, 1978).

Modifications of the catalogued behaviours, scoring methods and 60 protocols have improved the predictive validity of the FST in rats 61 (Borsini et al., 1989; Cryan et al., 2005; Dal-Zotto et al., 2000; Detke et 62 al., 1997) and could suggest an approach to develop a more robust FST 63 in mice. A main modification of the FST in rats was the analysis of active 64 behaviours in addition to immobility (Cryan et al., 2005; Detke et al., 65 1997; Lucki, 1997; Vieira et al., 2008). Indeed, it was reported that anti- 66 depressants in general reduced rats' immobility posture, whereas nor- 67 epinephrine selective reuptake inhibitors of (NSRIs) increased climbing 68 and selective serotonin reuptake inhibitors (SSRIs) increased swimming 69 (e.g. Detke et al., 1997). These changes of the FST in rats enabled discrim- 70 ination between different types of antidepressants (Cryan et al., 2005; 71 Detke et al., 1997; Lucki, 1997). Moreover, in rats a tricyclic antidepres- 72 sant increased the duration of climbing, whereas a stimulant of motor ac-73 tivity (caffeine) increased the frequency of climbing (Lino-de-Oliveira et 74 al., 2005; Vieira et al., 2008). These changes of the FST in rats enabled ex- 75 clusion of the confounding effects of motor stimulants in FST itself 76 (Kitada et al., 1981; Lino-de-Oliveira et al., 2005; Vieira et al., 2008). In 77

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Abbreviations: ANOVA, Analysis of Variance; CD-1, Outbred Strain of mice from Charles River Laboratory: CEUA. Ethics Committee on Animal Use: C57BI6/I. Inbred Strain of mice from The Jackson Laboratory; EV, Eingenvectors; FST, Forced Swimming Test; MANOVA, Multivariate Analysis of Variance; NMRI, Outbred strain of mice from Charles River Laboratory; NSRIs, Norepinephrine Selective Reuptake Inhibitors; PCA, Principal Component Analysis; S.E.M., Standard Error of the Mean; SSRIs, Selective Serotonin Reuptake Inhibitors.

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mice, active and passive behaviours during the FST is currently utilised to
study several potential antidepressant compounds and to develop hypotheses about their mechanisms of action (Nguyen et al., 2013;
Perona et al., 2008; Sanmukhani et al., 2011; Szewczyk et al., 2009).
The specificity for the effects of antidepressants in the FST in mice has
been verified only by an additional open field test to discard motor confounds (David et al., 2003; Lucki, 1997; Petit-Demouliere et al., 2005).

85 Improvement of the FST in mice might facilitate the study of relevant 86 new molecular targets of potential antidepressants because genetically 87 engineered strains (transgenic or knockouts, e.g. Easton et al., 2003) are 88 more often available in this animal species than they are in rats. A comprehensive review of the FST in mice (Petit-Demouliere et al., 2005) men-89 tioned a number of procedural aspects that should be taken into account 90 91to accurately employ the test. Experimental alterations in cylinder diameter, water depth, water temperature, the interval between treatment 92 and test, the treatment schedule and scoring methods are all sources of 93 data variability and inter-laboratory variation (Petit-Demouliere et al., 94 95 2005). Housing conditions (enrichment or isolation (Guo et al., 2004; Voikar et al., 2005; Xu et al., 2009), circadian rhythm (Easton et al., 96 2003), dietary factors (restriction or ad libitum) or previous experience 97 in the cylinder (e.g. Alcaro et al., 2002) modify the behaviour of the 98 mice. In addition, animal-specific characteristics such as gender (Guo et 99 100 al., 2004), age (David et al., 2001) and strain (Alcaro et al., 2002; David 101 et al., 2003; Lucki et al., 2001) affect the amount of time spent immobile and therefore affect the evaluation of antidepressant effects. In contrast to 102the unpredictable responses across inbred strains, treatment with a range 103 of different antidepressants reduces the immobility of Swiss or 104 105Swiss-derived mice (see, e.g., CD-1 and NMRI) during the FST (David et al., 2003; Lucki et al., 2001; Petit-Demouliere et al., 2005), indicating 106 that this strain is valuable for the preclinical selection of monoaminergic 107antidepressants (Bourin et al., 2005). Indeed, applying the FST in Swiss 108 109 mice was suggested as the first step in investigating new potent antidepressant drugs before searching for downstream mechanisms of action 110111 (Bourin et al., 2005).

Hence, the main aim of the present work was to characterise the 112 temporal and factorial profile of active and passive behaviours of male 113 Swiss mice in the FST to identify variables useful in discriminating be-114 115tween different antidepressants and to distinguish them from stimulants of motor activity. If the scorings of active and passive behaviours 116 during the FST explained most of the variability in the response of 117 Swiss mice to drug treatment, it would be possible to use them to dis-118 criminate between drugs. Therefore, the effects of antidepressants 119 (imipramine, desipramine, and fluoxetine) and stimulants of motor ac-120 tivity (caffeine and apomorphine) on several passive and active behav-121 iours of Swiss mice during the FST were compared. In addition, 122 behavioural latencies were recorded because, in NMRI and C57BL6/J 123 124 mice, latency to immobility seems to be a useful parameter for differentiating antidepressants from stimulants of motor activity (Castagné et 125al., 2009). 126

127 **2. Methods**

128 2.1. Animals

Male Swiss mice, 90 days old, were kept in groups of 10 per cage 129 130 $(33 \text{ cm} \times 40 \text{ cm} \times 18 \text{ cm})$ under controlled lighting (12 h light/dark)cycle with lights on at 07:00 am) and temperature (22 \pm 2 C) condi-131 tions with free access to food and water, except during the behavioural 132test. The animals were provided by UFSC animal facilities. All experi-133 ments were carried out in accordance with the principles of ethics and 134animal welfare recommended by Brazilian Law (# 11.794 - 10/08/ 1352008) and the procedures approved by the local Ethics Committee on 136Animal Use (CEUA/UFSC # 23080.024594/2010-87). All efforts were 137 made to minimise suffering and to reduce the number of animals used 138 139 in the experiments.

2.2. Experimental design: descriptive behaviour analysis during the FST 140 (Experiment 1) and the effects of antidepressants on Swiss mice during 141 FST (Experiment 2) 142

In Experiment 1, the FST (Duarte et al., 2006, 2007; Gavioli et al., 143 2003a, 2003b; Hellion-Ibarrola et al., 2008; Herrera-Ruiz et al., 2006) 144 consisted of individually placing the mouse into a cylindrical tank 145 (height 18.5 cm, diameter 12.5 cm) containing clean water at 25 C 146 (13.5 cm deep). After the test (6 min), the mice were taken out of the 147 water and allowed to dry under a lamp (40 W, 15 min) before being 148 returned to their home cages. The experimental room was illuminated 149 by indirect red light (15 W). The FST took place between 1:00 and 150 6:00 p.m. All test sessions were videotaped using an infrared video 151 camera (GeoVision Inc. GV-800 system, Taipei, Taiwan) located 20 cm 152 above the tank, to enable subsequent evaluation of the latency, frequen- 153 cy of episodes and amount of time spent in swimming, climbing and im- 154 mobility. Latency was defined as the amount of time that elapsed 155 between placing the mouse in the tank and the first instance of each be- 156 havioural occurrence. Frequencies represent the number of incidences 157 of each type of behaviour, while duration reflects the total time spent 158 in all bouts of that behaviour within a given period. Immobility was de- 159 fined as a lack of motion of the whole body, when mice ceased strug- 160 gling and remained floating motionless in the water, making only 161 those movements necessary to keep the head above water. Swimming 162 was recorded when large and horizontal movements of the forepaws 163 were performed, leading to displacement of the body around the cylin- 164 der. Climbing was recorded when vigorous vertical movements of the 165 forepaws, directed against the wall of the tank, were displayed, leading 166 to displacement the body around the cylinder. These parameters were 167 recorded and summarised in either one block of 6 min (i.e., the total 168 time of the test), or in one block of 4 min (i.e. the last 4 of the test as pre-169 viously proposed, e.g. (Petit-Demouliere et al., 2005) or in the 170 remaining block of 2 min (the first 2 min of the test). An additional 171 minute-by-minute description provided a temporal distribution of 172 each parameter and was analysed using a MANOVA (p < 0.05). 173

In Experiment 2, mice were tested in the FST (as described before) 174 30 min after intraperitoneal (i.p.) treatment with saline (NaCl 0.9%, 175 0.1 ml/10 g of body weight), antidepressant drugs (imipramine, desipramine, and fluoxetine, 30 mg/kg) or caffeine (30 mg/kg) (n = 10 177 mice/group). These doses were chosen according to the literature 178 (Bernardi et al., 1989; Steru et al., 1987; O'Neill et al., 1996; Rodrigues 179 et al., 2002). The behavioural parameters were recorded by experi-180 menters blind to treatment and are summarised as follows: in one block of 6 min, in one block of the last 4 min, in one block of the first 2 min, or minute-by-minute.

2.3. Statistical analysis

2.3.1. Principal components analysis

Parameters summarised in one block of 6 min of Experiment 1 were 186 submitted to Principal Components Analysis (PCA) followed by orthog- 187 onal Varimax rotation (Statistical Package®, 1995) was performed as 188 published by (Espejo, 1997; Lino-de-Oliveira et al., 2005; Mezadri et 189 al., 2011). A component was defined by an Eigenvalue greater than 190 one. Eigenvectors (EVs) of ± 1 indicate a perfect correlation of the var- 191 iable with the component. EV values ranging from ± 0.40 to ± 0.60 indicate a moderate correlation, and values lower than ± 0.40 indicate a 193 poor correlation. On the basis of the EV values (EV $> \pm 0.4$), variables 194 were divided into groups of putatively similar parameters. Positive 195 EVs indicate that a behavioural parameter correlates with the corre-196 sponding component. A negative EV value indicates that the behaviour- 197 al variable is inversely correlated with the component. Multivariate 198 analysis requires the number of animals to be at least three times the 199 number of variables. Therefore, PCA was applied only on the data of Ex- 200 periment 1. Shapiro-Wilk's W and Levene's tests were used to check the 201 normality and the homogeneity of variance, respectively. 202

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