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1 Full Length Articles

Representational similarity encoding for fMRI: Pattern-based synthesis to predict brain activity using stimulus-model-similarities

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ABSTRACT

Patterns of neural activity are systematically elicited as the brain experiences categorical stimuli and a major chal-13 lenge is to understand what these patterns represent. Two influential approaches, hitherto treated as separate 14 analyses, have targeted this problem by using model-representations of stimuli to interpret the corresponding 15 neural activity patterns. Stimulus-model-based-encoding synthesizes neural activity patterns by first training 16 weights to map between stimulus-model features and voxels. This allows novel model-stimuli to be mapped 17 into voxel space, and hence the strength of the model to be assessed by comparing predicted against observed 18 neural activity. Representational Similarity Analysis (RSA) assesses models by testing how well the grand struc- 19 ture of pattern-similarities measured between all pairs of model-stimuli aligns with the same structure comput- 20 ed from neural activity patterns. RSA does not require model fitting, but also does not allow synthesis of neural 21 activity patterns, thereby limiting its applicability. We introduce a new approach, representational similarity- 22 encoding, that builds on the strengths of RSA and robustly enables stimulus-model-based neural encoding with- 23 out model fitting. The approach therefore sidesteps problems associated with overfitting that notoriously con- 24 front any approach requiring parameter estimation (and is consequently low cost computationally), and 25 importantly enables encoding analyses to be incorporated within the wider Representational Similarity Analysis 26 framework. We illustrate this new approach by using it to synthesize and decode fMRI patterns representing the 27 meanings of words, and discuss its potential biological relevance to encoding in semantic memory. Our new 28 similarity-based encoding approach unites the two previously disparate methods of encoding models and RSA, 29 capturing the strengths of both, and enabling similarity-based synthesis of predicted fMRI patterns. 30

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43 Introduction

The brain represents different categories as spatially distributed 44 45and overlapping activity patterns, and a major challenge is to crack this representational code (Haxby et al., 2001; Haxby et al., 2014). 46Neural activity can be elicited by presenting participants with various 47 stimuli (e.g. words, images, sounds) and recorded by neuroimaging tech-48 49 niques such as functional Magnetic Resonance Imaging (fMRI). Two approaches targeting the problem of explaining the resultant neural 50codes are stimulus-model-based-encoding and Representational Simi-5152larity Analysis (RSA). Stimulus-model-based-encoding forms models of stimuli as vectors of feature-weights. For pictorial stimuli, model-53 features may correspond to visual filters (e.g. Kay et al., 2008; Naselaris 5455et al., 2009), for words, features may be the association of the word with senses used to experience the word's referent (e.g. Mitchell 5657et al., 2008; Fernandino et al., 2015; Anderson et al., submitted for 58publication). Synthesized neural activity patterns corresponding to 59new model-stimuli are predicted by a mapping from model-

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http://dx.doi.org/10.1016/j.neuroimage.2015.12.035 1053-8119/© 2015 Published by Elsevier Inc. features to voxels trained by fitting weights to features with super- 60 vised learning. In contrast, RSA assesses models by comparing the 61 grand structure of similarities between all pairs of stimulus-model 62 feature-vectors and neural activity patterns, and does not require 63 model fitting but cannot synthesize predicted voxel-space activation 64 patterns. 65

We present a new approach, similarity-encoding, that bridges be- 66 tween stimulus-model-based-encoding and RSA. The new method is 67 illustrated in Fig. 1. This approach achieves similar accuracy in syn- 68 thesizing predicted neural activity patterns to standard regression- 69 based strategies, but without model fitting. Hence unlike standard 70 regression we observe that similarity-encoding robustly manages 71 situations where there are many more stimulus-model dimensions 72 than stimuli. We also show how this new approach enables stimulusmodel-based-decoding of novel fMRI data to be entirely abstracted to 74 representational-similarity space (Fig. 2). Thus, like regression there is 75 generalization from trained to untrained stimuli. However, the general-76 ization here stems from exploiting the structure of similarity-space. 77

Encoding and decoding (discussed in detail in the context of fMRI by 78 Naselaris et al., 2011) are of broad relevance to assess the value of 79 models/and or neural data to making practical decisions, e.g., clinically 80

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Similarity-encoding #1 problem: We have stimulus-model featurevectors and matching neural activity patterns for a set of words. We want to predict the neural activity pattern for a new word *leg* for which we only have a stimulus-model feature-vector.



Similarity-encoding #3 synthesis of predicted activity: The model-similarity-code-vector for leg from #2 is transferred to weight a superposition of respective words' neural activity patterns, thus synthesizing a predicted neural activity pattern for *leg'*.



Similarity-encoding #2 similarity-code generation: We correlate the stimulus-model feature-vector of *leg* with all the other stimulus-model feature-vectors, giving the model-similarity-code for *leg*.



Similarity-decoding to contrast with encoding: A new word *leg* is coded in parallel as a model-similarity-code-vector and neural-similarity-code-vector. The two can subsequently be matched at an interface between similarity-code-vectors (see Figure 2).



Fig. 1. The three stages of similarity-based neural-activity-pattern encoding. Separate to this the fourth panel illustrates similarity-based-decoding for contrast with encoding in the other three panels (see Fig. 2 for further details of the new similarity-based decoding algorithm).

81 in distinguishing healthy and unhealthy samples (e.g., Just et al., 2014; Matthews et al., 2006), in brain-computer-interfaces and 82 neuroprosthetics (e.g. Sulzer et al., 2013; deCharms, 2008), or from 83 an ecological perspective to estimate whether measured neural ac-84 tivity patterns could actually be the grounds of decision making 85 86 within an individual. As such whilst RSA and neural encoding and decoding have tended to be treated as separate analyses with different 87 properties and benefits (e.g. Haxby et al., 2014), the extension intro-88 89 duced here provides a means for all types of analyses to be easily undertaken within the same similarity based framework. Where previous 90 91 analyses have decoded neural activity patterns using representationalsimilarity methods (e.g. Raizada and Connolly, 2012, Nili et al., 2014; 92Anderson et al., 2015; Zinszer et al., 2015), none have considered 93 encoding (synthesis of predicted neural activity patterns from 94stimulus-models). 95

96 Methodologically, the new similarity-encoding strategy is a natu-97 ral development to the Representational Similarity Analysis (RSA) framework (Kriegeskorte et al., 2008a,b; Kriegeskorte and Kievit, Q3 99 2013; Nili et al., 2014), building on theories that visual-object categories are partially represented in terms of similarities in the brain 100 101 (Edelman, 1998, Edelman et al., 1998) and (as we will return to in the Discussion) follows a computational architecture reminiscent 102 of distributed associative memory neural networks (e.g. Willshaw 103 et al., 1969). RSA takes a matching set of stimulus-feature-vectors 104 and neural activity patterns and measures the degree of association 105between the stimulus models and neural modalities by (1) inter-106 correlating all pairs of stimulus-feature-vectors to produce a square 107 model-correlation matrix; (2) likewise inter-correlating all pairs of 108 neural activity patterns to produce an equivalent square neural-109 110correlation matrix. (3) Quantifying the association between the model-correlation matrix and the neural-correlation matrix by 111 extracting the lower below diagonal triangle (or upper) of unique 112 pairwise comparisons from each matrix, vectorizing both to produce 113 similarity-structure-vectors, and correlating model and neural- 114 similarity-structure-vectors to quantify the association. By 115 vectorizing the similarity-structure, conventional RSA treats an en- 116 tire data set holistically. This strategy has proved extremely success- 117 ful e.g. in interpreting pictorially induced representations in the 118 brain, as in Kriegeskorte et al. (2008a,b) and Connolly et al. (2012), 119 and demonstrating that the semantic structure embedded within 120 neural activity patterns associated with comprehending concrete 121 nouns matches sets of semantic models of those nouns (e.g. Q4 Bruffaerts et al., 2013; Carlson et al., 2014; Anderson et al., 2013, 123 2015). However this holistic comparison does not allow synthesis 124 of predicted voxel-space activation patterns, and it is here that our 125 approach introduces new capabilities. 126

As opposed to manipulating the representational similarity- 127 structure holistically, we use inter-correlations between stimulus- 128 model feature-vectors as a secondary code to represent stimuli. 129 Therefore under our approach a stimulus is modeled with two codes, 130 the first is the standard stimulus-model feature-vector, the second – 131 the similarity-code – is a vector of correlations with other stimulusmodel feature-vectors. The similarity-code is an independent representation that defines the similarity between one stimulus and other 134 stimuli and adheres to theories that consider similarities to underpin object categories in the brain (Edelman, 1998; Edelman et al., 1998). 136

Encoding – the synthesis of a predicted neural activity pattern – is 137 achieved by: taking a new stimulus-model feature-vector for which 138 we would like to predict the associated neural activity; generating 139 a new similarity-code for that stimulus-model feature-vector; 140

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