### ARTICLE IN PRESS

NeuroImage xxx (2015) xxx-xxx



Contents lists available at ScienceDirect

### NeuroImage



YNIMG-12188; No. of pages: 10; 4C: 2, 5, 6, 8

journal homepage: www.elsevier.com/locate/ynimg

# Conflict awareness dissociates theta-band neural dynamics of the medial frontal and lateral frontal cortex during trial-by-trial cognitive control

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#### 7 ARTICLE INFO

Article history:
Received 11 November 2014
Accepted 29 April 2015
Available online xxxx

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#### ABSTRACT

Recent findings have refuted the common assumption that executive control functions of the prefrontal cortex exclusively operate consciously, suggesting that many, if not all, cognitive processes could potentially operate 14 unconsciously. However, although many cognitive functions can be launched unconsciously, several theoretical 15 models of consciousness assume that there are crucial qualitative differences between conscious and uncon- 16 scious processes. We hypothesized that the potential benefit of awareness in cognitive control mechanisms 17 might become apparent when high control has to be maintained across time and requires the interaction 18 between a set of distant frontal brain regions. To test this, we extracted oscillatory power dynamics from electro-19 encephalographic data recorded while participants performed a task in which conflict awareness was manipulat-20 ed by masking the conflict-inducing stimulus. We observed that instantaneous conflict as well as across trial 21 conflict adaptation mechanisms were associated with medial frontal theta-band power modulations, irrespective 22 of conflict awareness. However, and crucially, across-trial conflict adaptation processes reflected in increased 23 theta-band power over dorsolateral frontal cortex were observed after fully conscious conflict only. This suggests 24 that initial conflict detection and subsequent control adaptation by the medial frontal cortex are automatic and 25 unconscious, whereas the routing of information from the medial frontal cortex to the lateral prefrontal cortex 26 is a unique feature of conscious cognitive control. 27

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#### **30** 31

#### 33 Introduction

Many perceptual and cognitive functions can be influenced by 34 subliminal information and in recent years it has been shown that 35 36 even "high-level" executive functions (i.e. error/conflict processing, 37 task-set switching, response inhibition) can be launched unconsciously (for reviews, see Desender and Van Den Bussche, 2012; van Gaal and 38 Lamme, 2012). These findings contradict the commonly held assump-39 tion that executive functions of the prefrontal cortex exclusively operate 40 41consciously (for reviews, see Badgaiyan, 2000; Dehaene and Naccache, 2001; Eimer and Schlaghecken, 2003; Hommel, 2007; Jack and 42Shallice, 2001; Norman and Shallice, 1986). More speculatively, these 43 44 results might even suggest that all cognitive processes can potentially operate in two separate modes: a conscious one and an unconscious 45 one. 46

http://dx.doi.org/10.1016/j.neuroimage.2015.04.062 1053-8119/© 2015 Published by Elsevier Inc.

However, although unconscious processes seem very powerful, 47 there might be crucial differences between conscious and unconscious 48 executive processes. We hypothesize that these become evident when 49 increased levels of control have to be maintained for longer periods of 50 time and conflict adaptation requires the information exchange be- 51 tween distant prefrontal brain regions. Previous studies have shown 52 that subliminal information processing is fleeting (decaying within 53 ~500 ms Greenwald et al., 1996) and restricted to local processing mod- 54 ules (van Gaal and Lamme, 2012). According to the global neuronal 55 workspace theory (Dehaene and Naccache, 2001), conscious informa- 56 tion processing, on the other hand, is associated with strong and durable 57 neuronal firing and relies on the sharing and routing of information 58 among several high-level inter-connected cortical regions, among 59 which the dorsolateral prefrontal cortex (DLPFC) seems to play a crucial 60 role (Dehaene et al., 2014). 61

To test the potential benefit of awareness and the role of the DLPFC 62 in trial-by-trial executive control processes (as compared to online 63 transient control), we extracted oscillatory neural dynamics from 64 current-source density transformed EEG data recorded while human 65 participants performed a typical "conflict task" in which conflict aware- 66 ness was manipulated by masking (Fig. 1A). Conflict tasks (i.e. Stroop, 67 Flanker) are often performed to study conflict monitoring/detection 68 mechanisms on the current trial as well as trial-by-trial conflict- 69

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**Fig. 1.** Experimental design and behavioral results. (A) Schematic representation of the experimental task and the stimuli. Primes could be congruent or incongruent with the direction of the target (50/50 congruent/incongruent trials). Primes could be presented briefly (14 ms, strongly masked primes) or longer (129 ms, weakly masked primes). (B/C) Conflict adaptation effects for different levels of masking strength in the prior and current trial. Conflict effects in trial *n* for RTs (mean RT on incongruent trials–mean RT on congruent trials, B) and error rates (mean percentage of errors on incongruent trials–mean percentage of errors on congruent trials, C) as a function of prime-target correspondence in trial n - 1 (congruent vs. incongruent), masking strength in trial *n* (weak vs. strong masking) and masking strength in trial n - 1. Error bars reflect the standard error of the mean.

induced control adaptations on the next trial (the "Gratton" effect, 70Gratton et al., 1992). The underlying neural sources of conflict resolu-71 tion are studied extensively and there are several models trying to 72explain the phenomenon (Egner, 2007). The conflict-monitoring 73 model proposes that the medial frontal cortex (MFC) and the anterior 74 cingulate cortex (ACC) in particular, monitor or detect the presence of 75 76 conflict and then signal other regions, most prominently the DLPFC, 77 to subsequently implement cognitive control (Botvinick et al.,

2001; MacDonald et al., 2000). Others have argued for a control- 78 implementing or "regulatory" role, in contrast to a mere "monitoring" 79 role, of the ACC instead (Posner and DiGirolamo, 1998; Roelofs et al., 80 2006). Theta-band neural dynamics over midfrontal/dorsolateral frontal cortex are thought to be a candidate mechanism for the realization 82 of cognitive control (Cavanagh and Frank, 2014). It seems that it is 83 especially the DLPFC that has a mnemonic function in maintaining infor-84 mation about recently experienced conflict in order to modify the 85

Please cite this article as: Jiang, J., et al., Conflict awareness dissociates theta-band neural dynamics of the medial frontal and lateral frontal cortex during trial-by-trial c..., NeuroImage (2015), http://dx.doi.org/10.1016/j.neuroimage.2015.04.062

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