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## <sup>1</sup> MEG source reconstruction based on identification of directed source interactions on whole-brain anatomical networks

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IS O[N](#page--1-0) Whole-brain antatomical networks<br>
Shima<sup>a,b</sup>, Okito Yamashita <sup>b.34</sup>, Thomas R, Knösche <sup>c</sup>, Masa-aki Sato <sup>b</sup><br>
Shima<sup>a,b</sup>, Okito Yamashita <sup>b.34</sup>, Thomas R, Knösche <sup>c</sup>, Masa-aki Sato <sup>b</sup><br>
Manusia Shemes Show Ngan We present an MEG source reconstruction method that simultaneously reconstructs source amplitudes and iden- 18 tifies source interactions across the whole brain. In the proposed method, a full multivariate autoregressive 19 (MAR) model formulates directed interactions (i.e., effective connectivity) between sources. The MAR coeffi- 20 cients (the entries of the MAR matrix) are constrained by the prior knowledge of whole-brain anatomical net- 21 works inferred from diffusion MRI. Moreover, to increase the accuracy and robustness of our method, we 22 apply an fMRI prior on the spatial activity patterns and a sparse prior on the MAR coefficients. The observation 23 process of MEG data, the source dynamics, and a series of the priors are combined into a Bayesian framework 24 using a state-space representation. The parameters, such as the source amplitudes and the MAR coefficients, 25 are jointly estimated from a variational Bayesian learning algorithm. By formulating the source dynamics in 26 the context of MEG source reconstruction, and unifying the estimations of source amplitudes and interactions, 27 we can identify the effective connectivity without requiring the selection of regions of interest. Our method is 28 quantitatively and qualitatively evaluated on simulated and experimental data, respectively. Compared with 29 non-dynamic methods, in which the interactions are estimated after source reconstruction with no dynamic con- 30 straints, the proposed dynamic method improves most of the performance measures in simulations, and provides 31 better physiological interpretation and inter-subject consistency in real data applications.

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## 356 37

3839Q3 Introduction

 There are two fundamental functional principles of the brain: functional specialization and functional integration (Tononi et al., [1994; Friston, 1994\)](#page--1-0). Identifying functionally specialized brain regions (e.g., for sensory processing, motor control, and cognitive processing) has been a long-term focus of neuroimaging studies. However, for a true understanding of the mechanisms underlying brain function, eluci-46 dating the scheme of dynamic integration between these functionally specialized brain regions is indispensable. This topic has received grow-ing interest in recent years (Hutchison et al., 2013).

 Magnetoencephalography (MEG) and electroencephalography (EEG) provide ways to investigate such dynamic integration of brain functions [\(Schoffelen and Gross, 2009; Palva and Palva, 2012](#page--1-0)), because of their high temporal resolution and large reflection of neuronal elec- trical activity ([Hämäläinen et al., 1993; Nunez and Srinivasan, 2006](#page--1-0)). The richness of the temporal information in MEG/EEG allows capturing temporal propagation, or event-related dynamics, of neuronal activity

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occurring over millisecond time scales, which cannot be easily achieved 56 by functional magnetic resonance imaging (fMRI). In contrast to the ex- 57 cellent temporal resolution, the spatial resolutions of MEG and EEG are 58 limited; the spatial distribution of neuronal current sources cannot be 59 uniquely determined from the measurements, unless a priori knowl- 60 edge or assumptions are imposed as constraints on current sources 61 (Baillet et al., 2001).

Numerous source reconstruction methods have been developed 63 over the past three decades. These methods can be categorized into 64 three approaches; the equivalent current dipole approach, the linear 65 distributed source approach, and the spatial filtering approach. In 66 the equivalent current dipole approach, a small number of focal 67 sources are pre-determined and their locations and amplitudes are 68 estimated by non-linear optimization algorithms [\(Scherg and Von](#page--1-0) 69 [Cramon, 1985; Mosher et al., 1992](#page--1-0)). The linear distributed source ap- 70 proach allocates a large number of sources to grid points over the 71 whole brain volume or surface. The amplitude of all sources is simul- 72 taneously estimated by solving a system of linear equations. Since 73 the linear equations are underdetermined, additional constraints or 74 prior information are necessary to obtain a unique solution. Prior as- 75 sumptions used in linear distributed solvers include a spatial prior 76 forming minimum l2 norm regularization (MNE; [Hämäläinen and](#page--1-0) 77

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 [Ilmoniemi, 1994](#page--1-0)), spatial smoothness priors (LORETA and its variant; [Pascual-Marqui et al., 1994; Pascual-Marqui, 2002](#page--1-0)), spatial sparseness priors [\(Matsuura and Okabe, 1995; Uutela et al., 1999; Sato et al.,](#page--1-0) [2004; Friston et al., 2008; Wipf et al., 2010](#page--1-0)), temporal smoothness priors [\(Baillet and Garnero, 1997; Schmitt et al., 2001; Daunizeau](#page--1-0) [et al., 2006\)](#page--1-0), temporal basis function priors [\(Trujillo-Barreto et al.,](#page--1-0) [2008; Ou et al., 2009; Bolstad et al., 2009](#page--1-0)), and fMRI-based spatial priors [\(Dale et al., 2000; Sato et al., 2004; Daunizeau et al., 2007; Henson et al.,](#page--1-0) [2010; Ou et al., 2010](#page--1-0)). In the spatial filtering approach, an optimal spa- tial filter, which maps the sensor measurements to the current source amplitude at each single grid point in the brain, is computed. A popular method for this purpose is the linear constrained minimum variance (LCMV) beamformer [\(Van Veen et al., 1997\)](#page--1-0). LCMV is used to identify resting-state MEG functional connectivity for neuroscience research [\(Brookes et al., 2011; Hipp et al., 2012](#page--1-0)). Wipf and Nagarajan (2009) have recently proposed a framework unifying the beamformer method and some distributed source methods.

(Valit vectorials, 1992) Lawy is heat to method state of the mention and with the constrained the state of the state of the constrained with the state of th In source reconstruction from the linear distributed source ap- proach, introducing prior constraints on the spatiotemporal dynam- ics of source activities is of particular interest; this type of constraint complements other commonly used constraints (typically spatial) and introduces additional knowledge into the source reconstruction process, for example, on dynamic properties of neuronal popula- tions, anatomical connections between brain areas, and transmission delays of neuronal activities. This knowledge potentially facilitates the extraction of information on directed interactions (i.e., effective connectivity) between sources, while reconstructing spatial source distributions from MEG/EEG data. The spatiotemporal dynamics reflects the generative nature of neuronal current sources, and is readily incorporated into a state-space representation. To formulate such dynamics, previous state-space methods have adopted linear autoregressive models with spatially local interactions (Galka et al., [2004; Lamus et al., 2012\)](#page--1-0) and self-interactions (Yamashita et al., [2004; Daunizeau and Friston, 2007; Fukushima et al., 2012](#page--1-0)). These methods extend an approach that imposes a simple prior assump-113 tion (such as a temporal smoothness prior in Schmitt et al., 2001) on the source dynamics (the effectiveness of imposing simple tem- poral smoothness is critically evaluated by Dannhauer et al., 2013). Nevertheless, these methods still cannot elucidate the long-range in- teractions across brain areas. This problem was first solved by Olier [et al. \(2013\)](#page--1-0), who represented these interactions using the full mul- tivariate autoregressive (MAR) model. However, in this model, the spatiotemporal dynamics was formulated in a low-dimensional la-121 tent space rather than in the source space.

 To allow the long-range interactions to be directly estimated in the source space, we extend the previous state-space methods into a new MEG source reconstruction method. To achieve this goal, the full MAR model is implemented in the high-dimensional source space. The struc- ture of the MAR model is informed by whole-brain anatomical net- works inferred from diffusion MRI (dMRI). More specifically, the MAR coefficients (entries of the MAR matrix) associated with pairs of ana- tomically connected sources according to dMRI, are estimated from the data, while the others are fixed at zero. The time lags of the MAR model are determined from the mean fiber lengths between pairs of source locations. The anatomical long-range connectivity has been used as a constraint in forward modeling of neuronal dynamics [\(Honey et al., 2007; Ghosh et al., 2008; Deco et al., 2009](#page--1-0)), and in esti- mating the effective connectivity from fMRI data [\(Stephan et al., 2009;](#page--1-0) [Woolrich and Stephan, 2013\)](#page--1-0). The a priori knowledge of anatomical connectivity also reduces the prohibitively large number of model pa-138 rameters (in our scenario, from order  $10^6$  to order  $10^5$  at minimum), thereby improving the feasibility of the estimation. Using this prior in- formation, we can simultaneously estimate the current sources and the source-space effective connectivity. This joint estimation frame- work distinguishes our method from existing approaches [\(David et al.,](#page--1-0) [2006; Owen et al., 2009; Hui et al., 2010; Brookes et al., 2011; Hipp](#page--1-0) [et al., 2012; de Pasquale et al., 2012\)](#page--1-0) in which the source time courses 144 and the source connectivity are sequentially estimated. With a low- 145 dimensional MAR model, it was demonstrated that the joint approach 146 yielded better connectivity estimates than the sequential approach 147 [\(Cheung et al., 2010\)](#page--1-0). 148

To further improve the reliability of source reconstruction, we apply 149 an fMRI prior on the spatial patterns of source activity. While the fMRI 150 prior is used as a spatial constraint frequently in non-dynamic (or not 151 temporally constrained) reconstruction methods [\(Dale et al., 2000;](#page--1-0) 152 [Sato et al., 2004; Daunizeau et al., 2007; Henson et al., 2010; Ou et al.,](#page--1-0) 153 [2010](#page--1-0)), it has yet to be applied in the above-mentioned dynamic (or 154 state-space) methods. The fMRI prior in the proposed method is imple- 155 mented similarly to the hierarchical variational Bayesian (hVB) method 156 (Sato et al., 2004; Yoshioka et al., 2008). In forming this prior, the 157 variance of the current noise (an input term driving the spatiotemporal 158 dynamics of the MAR model) is weighted by the fMRI t-values. If all 159 MAR coefficients are fixed at zero, this prior becomes identical to the 160 fMRI prior proposed in Sato et al. (2004) and [Yoshioka et al. \(2008\)](#page--1-0). 161

The present study unifies the MAR model, prior knowledge on the 162 model parameters, and the measurement process of the current 163 sources into a Bayesian framework. To improve stability of the estimat- 164 ed source dynamics, this framework also includes a sparse prior on the 165 MAR coefficients. All hidden parameters in the unified probabilistic 166 model (such as source amplitudes and the MAR coefficients) are jointly 167 estimated by a variational Bayesian algorithm ([Attias, 1999; Sato,](#page--1-0) 168 2001). The update rules are similar to those proposed in [Fukushima](#page--1-0) 169 et al. (2012), enabling inference of a high-dimensional dynamic model 170 within a reasonable computation time.  $171$ 

Our method estimates the effective connectivity in the source space 172 without requiring the selection of regions of interest (ROIs). To this end, 173 the source dynamics are formulated using the full MAR model, and the 174 source amplitudes and interactions are estimated simultaneously over 175 the whole brain. These extensions allow exploratory analysis of the in- 176 tegration of brain functions, which complements the confirmatory ap- 177 proach of dynamic causal modeling (DCM; [Friston et al., 2003; David](#page--1-0) 178 et al., 2006). In contrast to our method, DCM initially assigns a small 179 number of ROIs as network nodes, and then examines the validity of 180 the network solutions by post hoc comparison of the model evidence. 181

The proposed method is quantitatively and qualitatively evaluated 182 on simulation and experimental data, respectively. The results are com- 183 pared with those of the hVB method, and of MNE and LCMV as bench- 184 mark methods. First, we examine the identification accuracy of the 185 MAR model, using data generated from the adopted dynamic source 186 model. We then investigate the estimation performance under more re- 187 alistic conditions by mimicking stimulus-evoked responses by a net- 188 work of neural mass models [\(Jansen and Rit, 1995; David and Friston,](#page--1-0) 189 2003; David et al., 2005). Finally, we examine the physiological plausi- 190 bility of the estimates by application to a publicly available experimen- 191 tal dataset on face perception [\(Henson et al., 2011](#page--1-0)). Since the proposed 192 method is a dynamic extension of the hVB method, we refer to it as the 193 dynamic hVB method when comparing the methods.

This paper is organized as follows. The Theory section explains the 195 model formulation and the adopted estimation algorithm. Model con- 196 struction from the data and schemes for evaluating the estimation per- 197 formance are described in the [Methods](#page--1-0) section. The next two sections 198 present the settings and results of the evaluation studies. Next, we in- 199 vestigate whether the free energy can be used for model comparison. Fi- 200 nally, we summarize the significance of the present study and discuss 201 the advantages and limitations of the proposed method. 202

## Theory 203

Notation 204

The following notations are used throughout this paper.  $P(x)$  de- 205 notes the probability distributions of x and  $P(x | y)$  denotes the 206

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