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## MEG source reconstruction based on identification of directed source interactions on whole-brain anatomical networks

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### Variational Bayes

#### ABSTRACT

We present an MEG source reconstruction method that simultaneously reconstructs source amplitudes and iden-18 tifies source interactions across the whole brain. In the proposed method, a full multivariate autoregressive 19 (MAR) model formulates directed interactions (i.e., effective connectivity) between sources. The MAR coeffi- 20 cients (the entries of the MAR matrix) are constrained by the prior knowledge of whole-brain anatomical net- 21 works inferred from diffusion MRI. Moreover, to increase the accuracy and robustness of our method, we 22 apply an fMRI prior on the spatial activity patterns and a sparse prior on the MAR coefficients. The observation 23 process of MEG data, the source dynamics, and a series of the priors are combined into a Bayesian framework 24 using a state-space representation. The parameters, such as the source amplitudes and the MAR coefficients, 25 are jointly estimated from a variational Bayesian learning algorithm. By formulating the source dynamics in 26 the context of MEG source reconstruction, and unifying the estimations of source amplitudes and interactions, 27 we can identify the effective connectivity without requiring the selection of regions of interest. Our method is 28 quantitatively and qualitatively evaluated on simulated and experimental data, respectively. Compared with 29 non-dynamic methods, in which the interactions are estimated after source reconstruction with no dynamic con- 30 straints, the proposed dynamic method improves most of the performance measures in simulations, and provides 31 better physiological interpretation and inter-subject consistency in real data applications. 32

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#### 37 Introduction Q3

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There are two fundamental functional principles of the brain: 40 functional specialization and functional integration (Tononi et al., 41 421994; Friston, 1994). Identifying functionally specialized brain regions (e.g., for sensory processing, motor control, and cognitive processing) 43has been a long-term focus of neuroimaging studies. However, for a 44 true understanding of the mechanisms underlying brain function, eluci-4546dating the scheme of dynamic integration between these functionally specialized brain regions is indispensable. This topic has received grow-47 ing interest in recent years (Hutchison et al., 2013). 48

49 Magnetoencephalography (MEG) and electroencephalography (EEG) provide ways to investigate such dynamic integration of brain 50functions (Schoffelen and Gross, 2009; Palva and Palva, 2012), because 5152of their high temporal resolution and large reflection of neuronal elec-53trical activity (Hämäläinen et al., 1993; Nunez and Srinivasan, 2006). 54The richness of the temporal information in MEG/EEG allows capturing temporal propagation, or event-related dynamics, of neuronal activity 55

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occurring over millisecond time scales, which cannot be easily achieved 56 by functional magnetic resonance imaging (fMRI). In contrast to the ex- 57 cellent temporal resolution, the spatial resolutions of MEG and EEG are 58 limited: the spatial distribution of neuronal current sources cannot be 59 uniquely determined from the measurements, unless a priori knowl- 60 edge or assumptions are imposed as constraints on current sources 61 (Baillet et al., 2001).

Numerous source reconstruction methods have been developed 63 over the past three decades. These methods can be categorized into 64 three approaches; the equivalent current dipole approach, the linear 65 distributed source approach, and the spatial filtering approach. In 66 the equivalent current dipole approach, a small number of focal 67 sources are pre-determined and their locations and amplitudes are 68 estimated by non-linear optimization algorithms (Scherg and Von 69 Cramon, 1985; Mosher et al., 1992). The linear distributed source ap-70 proach allocates a large number of sources to grid points over the 71 whole brain volume or surface. The amplitude of all sources is simul-72 taneously estimated by solving a system of linear equations. Since 73 the linear equations are underdetermined, additional constraints or 74 prior information are necessary to obtain a unique solution. Prior as-75 sumptions used in linear distributed solvers include a spatial prior 76 forming minimum l2 norm regularization (MNE; Hämäläinen and 77

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Ilmoniemi, 1994), spatial smoothness priors (LORETA and its variant; 78 79 Pascual-Margui et al., 1994; Pascual-Margui, 2002), spatial sparseness priors (Matsuura and Okabe, 1995; Uutela et al., 1999; Sato et al., 80 81 2004; Friston et al., 2008; Wipf et al., 2010), temporal smoothness priors (Baillet and Garnero, 1997; Schmitt et al., 2001; Daunizeau 82 et al., 2006), temporal basis function priors (Trujillo-Barreto et al., 83 2008; Ou et al., 2009; Bolstad et al., 2009), and fMRI-based spatial priors 84 85 (Dale et al., 2000; Sato et al., 2004; Daunizeau et al., 2007; Henson et al., 86 2010; Ou et al., 2010). In the spatial filtering approach, an optimal spa-87 tial filter, which maps the sensor measurements to the current source 88 amplitude at each single grid point in the brain, is computed. A popular method for this purpose is the linear constrained minimum variance 89 (LCMV) beamformer (Van Veen et al., 1997). LCMV is used to identify 90 91resting-state MEG functional connectivity for neuroscience research (Brookes et al., 2011; Hipp et al., 2012). Wipf and Nagarajan (2009) 92 have recently proposed a framework unifying the beamformer method 93 and some distributed source methods. 94

95 In source reconstruction from the linear distributed source approach, introducing prior constraints on the spatiotemporal dynam-96 ics of source activities is of particular interest; this type of constraint 97 complements other commonly used constraints (typically spatial) 98 and introduces additional knowledge into the source reconstruction 99 100 process, for example, on dynamic properties of neuronal populations, anatomical connections between brain areas, and transmission 101 delays of neuronal activities. This knowledge potentially facilitates 102 the extraction of information on directed interactions (i.e., effective 103 connectivity) between sources, while reconstructing spatial source 104 105distributions from MEG/EEG data. The spatiotemporal dynamics reflects the generative nature of neuronal current sources, and is 106 readily incorporated into a state-space representation. To formulate 107 such dynamics, previous state-space methods have adopted linear 108 109autoregressive models with spatially local interactions (Galka et al., 2004; Lamus et al., 2012) and self-interactions (Yamashita et al., 110 2004; Daunizeau and Friston, 2007; Fukushima et al., 2012). These 111 methods extend an approach that imposes a simple prior assump-112tion (such as a temporal smoothness prior in Schmitt et al., 2001) 113 on the source dynamics (the effectiveness of imposing simple tem-114 115 poral smoothness is critically evaluated by Dannhauer et al., 2013). Nevertheless, these methods still cannot elucidate the long-range in-116 teractions across brain areas. This problem was first solved by Olier 117 et al. (2013), who represented these interactions using the full mul-118 tivariate autoregressive (MAR) model. However, in this model, the 119 spatiotemporal dynamics was formulated in a low-dimensional la-120tent space rather than in the source space. 121

122To allow the long-range interactions to be directly estimated in the source space, we extend the previous state-space methods into a new 123124MEG source reconstruction method. To achieve this goal, the full MAR model is implemented in the high-dimensional source space. The struc-125ture of the MAR model is informed by whole-brain anatomical net-126works inferred from diffusion MRI (dMRI). More specifically, the MAR 127coefficients (entries of the MAR matrix) associated with pairs of ana-128129tomically connected sources according to dMRI, are estimated from 130the data, while the others are fixed at zero. The time lags of the MAR model are determined from the mean fiber lengths between pairs of 131source locations. The anatomical long-range connectivity has been 132used as a constraint in forward modeling of neuronal dynamics 133134(Honey et al., 2007; Ghosh et al., 2008; Deco et al., 2009), and in estimating the effective connectivity from fMRI data (Stephan et al., 2009; 135Woolrich and Stephan, 2013). The a priori knowledge of anatomical 136 connectivity also reduces the prohibitively large number of model pa-137 rameters (in our scenario, from order 10<sup>6</sup> to order 10<sup>5</sup> at minimum), 138 thereby improving the feasibility of the estimation. Using this prior in-139formation, we can simultaneously estimate the current sources and 140 the source-space effective connectivity. This joint estimation frame-141 work distinguishes our method from existing approaches (David et al., 142 143 2006; Owen et al., 2009; Hui et al., 2010; Brookes et al., 2011; Hipp et al., 2012; de Pasquale et al., 2012) in which the source time courses 144 and the source connectivity are sequentially estimated. With a low- 145 dimensional MAR model, it was demonstrated that the joint approach 146 yielded better connectivity estimates than the sequential approach 147 (Cheung et al., 2010). 148

To further improve the reliability of source reconstruction, we apply 149 an fMRI prior on the spatial patterns of source activity. While the fMRI 150 prior is used as a spatial constraint frequently in *non-dynamic* (or not 151 temporally constrained) reconstruction methods (Dale et al., 2000; 152 Sato et al., 2004; Daunizeau et al., 2007; Henson et al., 2010; Ou et al., 153 2010), it has yet to be applied in the above-mentioned *dynamic* (or 154 state-space) methods. The fMRI prior in the proposed method is imple-155 mented similarly to the hierarchical variational Bayesian (hVB) method 156 (Sato et al., 2004; Yoshioka et al., 2008). In forming this prior, the 157 variance of the current noise (an input term driving the spatiotemporal 158 dynamics of the MAR model) is weighted by the fMRI *t*-values. If all 159 MAR coefficients are fixed at zero, this prior becomes identical to the 160 fMRI prior proposed in Sato et al. (2004) and Yoshioka et al. (2008). 161

The present study unifies the MAR model, prior knowledge on the 162 model parameters, and the measurement process of the current 163 sources into a Bayesian framework. To improve stability of the estimated source dynamics, this framework also includes a sparse prior on the 165 MAR coefficients. All hidden parameters in the unified probabilistic 166 model (such as source amplitudes and the MAR coefficients) are jointly 167 estimated by a variational Bayesian algorithm (Attias, 1999; Sato, 168 2001). The update rules are similar to those proposed in Fukushima 169 et al. (2012), enabling inference of a high-dimensional dynamic model 170 within a reasonable computation time. 171

Our method estimates the effective connectivity in the source space 172 without requiring the selection of regions of interest (ROIs). To this end, 173 the source dynamics are formulated using the full MAR model, and the 174 source amplitudes and interactions are estimated simultaneously over 175 the whole brain. These extensions allow exploratory analysis of the integration of brain functions, which complements the confirmatory approach of dynamic causal modeling (DCM; Friston et al., 2003; David 178 et al., 2006). In contrast to our method, DCM initially assigns a small 179 number of ROIs as network nodes, and then examines the validity of 180 the network solutions by post hoc comparison of the model evidence. 181

The proposed method is quantitatively and qualitatively evaluated 182 on simulation and experimental data, respectively. The results are compared with those of the hVB method, and of MNE and LCMV as benchmark methods. First, we examine the identification accuracy of the MAR model, using data generated from the adopted dynamic source model. We then investigate the estimation performance under more realistic conditions by mimicking stimulus-evoked responses by a network of neural mass models (Jansen and Rit, 1995; David and Friston, 2003; David et al., 2005). Finally, we examine the physiological plausibility of the estimates by application to a publicly available experimental dataset on face perception (Henson et al., 2011). Since the proposed method is a dynamic extension of the hVB method, we refer to it as the dynamic hVB method when comparing the methods.

This paper is organized as follows. The Theory section explains the195model formulation and the adopted estimation algorithm. Model con-196struction from the data and schemes for evaluating the estimation per-197formance are described in the Methods section. The next two sections198present the settings and results of the evaluation studies. Next, we in-199vestigate whether the free energy can be used for model comparison. Fi-200nally, we summarize the significance of the present study and discuss201the advantages and limitations of the proposed method.202

## Theory

### Notation

The following notations are used throughout this paper. P(x) de- 205 notes the probability distributions of x and P(x | y) denotes the 206

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