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Heritability of brain volume change and its relation to intelligence

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ABSTRACT

Human brain volumes change throughout life, are highly heritable, and have been associated with general 12 cognitive functioning. Cross-sectionally, this association between volume and cognition can largely be attributed 13 to the same genes influencing both traits. We address the question whether longitudinal changes in brain volume 14 or in surface area in young adults are under genetic control and whether these changes are also related to general 15 cognitive functioning. We measured change in brain volume and surface area over a 5-year interval in 176 mono- 16 zygotic and dizygotic twins and their non-twin siblings aged 19 to 56, using magnetic resonance imaging. Results 17 show that changes in volumes of total brain (mean = -6.4 ml; -0.5% loss), cerebellum (1.4 ml, 1.0% increase), 18 cerebral white matter (4.4 ml, 0.9% increase), lateral ventricles (0.6 ml; 4.8% increase) and in surface area 19 (-19.7 cm², -1.1% contraction) are heritable ($h^2 = 43\%$; 52%; 29%; 31%; and 33%, respectively). An association 20 between IQ (available for 91 participants) and brain volume change was observed, which was attributed to genes 21 involved in both the variation in change in brain volume and in intelligence. Thus, dynamic changes in brain 22 structure are heritable and may have cognitive significance in adulthood.

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Introduction

Human brain volume is highly heritable in children (Lenroot et al., 2009; Peper et al., 2009; Wallace et al., 2006) and in adults (Baaré et al., 2001; Pfefferbaum et al., 2000; Thompson et al., 2001; Wright et al., 2001), with heritability estimates exceeding 90% (Peper et al., 2007). This suggests that genetic influences on overall brain size are present early in childhood and remain important in adulthood. However, it is well known that brain volume is far from static and changes throughout life. Having reached approximately 90% of its adult size around the age of six (Giedd et al., 1999), dynamic changes in brain structure continue to take place in children and adolescents (Giedd et al., 1999; Gogtay et al., 2004; Shaw et al, 2006; van Soelen et al., 2013), and on the other side of the age spectrum in older adults over 60 years of age (Bartzokis et al., 2001; Liu et al., 2003; Pfefferbaum et al., 2004; Raz et al., 2005; for review see Hedman et al., 2012). At both extremes of the age spectrum, changes in brain structure were found to be heritable (Pfefferbaum et al, 2004; van Soelen et al, 2012, 2013). In young adulthood, and particularly between 20 and 40 years of age, a period or relative stability in total brain volume is found (for review see Hedman et al., 2012), despite heritable focal changes in cortical thickness (Brans et al, 2010). At the individual level however, there is 49 variation in the extent to which total brain volume and surface area 50 changes in this period and it is not known whether genes are implicated 51 in this process.

Several studies have shown that level of intelligence is positively 53 correlated with total brain volume (Posthuma et al., 2002; Thompson 54 et al., 2001), focal grey (Frangou et al., 2004; Haier et al., 2004; 55 Hulshoff Pol et al., 2006; Thompson et al., 2001) and white (Hulshoff 56 Pol et al., 2006) matter densities, and that these associations are medi- 57 ated by common genetic factors (Boomsma et al., 2002; Hulshoff Pol 58 et al., 2006; Posthuma et al., 2002; Toga and Thompson, 2005; Wright Q3 et al., 2001). Moreover, young adults with a higher intelligence show at- 60 tenuated cortical thinning and more pronounced cortical thickening 61 over time than subjects with average or below average intelligence 62 (Brans et al., 2010). In adolescents, cortical maturation has been associ- 63 ated with intelligence (Brouwer et al., 2013; Shaw et al., 2006), as well 64 as with changes in intelligence over time (Burgaleta et al., 2014; 65 Ramsden et al., 2011). Thus, genes involved in individual variations in 66 intelligence (Plomin and Spinath, 2004) overlap with those for individ- 67 ual variations in brain volume (Posthuma et al., 2002), and with local 68 cortical thickness change (Brans et al, 2010).

However, it is unknown whether genes influence brain volume 70 change in adulthood. Moreover, it is unclear whether brain volume 71 change is associated with intelligence and if common genes are impli-72 cated in the association. Therefore, we examined whether changes in 73 brain volume and surface area over time are related to intelligence 74

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and if so, whether genes common to both influence this relationship. This was investigated in a large longitudinal magnetic resonance imaging (MRI) study in 176 adult individuals from 86 twin families between 19-56 years of age, with a 5-year interval between scans.

Methods

Subjects

Twins and their siblings were from the twin-pair cohort at the University Medical Centre Utrecht and from the Netherlands Twin Registry, VU University Amsterdam, as described in Brans et al. (2010). At baseline assessment, a total of 242 participants from 106 twin families were assessed. Average age was 29 years. After 5-years 176 participants from 86 families (51 monozygotic (MZ) males, 41 dizygotic (DZ) males, 23 MZ females, 39 DZ females, and 22 siblings (11 males and 11 females)) participated again (Table 1; return rate 75%; 6 participants dropped out at follow-up due to poor scan quality). All participants gave written informed consent. DNA testing using polymorphic markers determined zygosity. Except for one twin pair, all twins and their siblings were reared together. Two twin pairs were born by caesarean section delivery. The study was carried out according to the directives of the "declaration of Helsinki" (amendment of Edinburg, 2000) and was approved by the medical ethics committee for research in humans (METC) of the University Medical Centre Utrecht, the Netherlands.

Brain imaging

Magnetic Resonance Imaging brain scans were acquired on a Philips NT (Best, the Netherlands) scanner on 1.5 T in all subjects. T1-weighted three-dimensional fast field echo (3D-FFE) scans with 160–180 contiguous coronal slices scans (TE = 4.6 ms, TR = 30 ms, flip angle = $30^\circ, 1\times1\times1.2~\text{mm}^3$ voxels), and T2-weighted dual-echo turbo-spinecho (DE-TSE) scans with 120 contiguous coronal slices (TE1 = 14 ms, TE2 = 80 ms, TR = 6350 ms, flip angle = $90^\circ, 1\times1\times1.6~\text{mm}^3$ voxels) of the whole head were used for quantitative measurements. In addition, T2-weighted dual-echo turbo-spin-echo (DE-TSE) scans (TE1 = 9 ms, TE2 = 100 ms, flip angle = $90^\circ, 0.98\times0.98~\text{mm}^2$) with 19 axial 5-mm slices and 1.2-mm gap of the whole head were used for clinical neurodiagnostic evaluation.

Processing was done on the neuroimaging computer network of the Department of Psychiatry at the University Medical Center Utrecht. All images were coded to ensure blindness for subject identification and diagnoses, scans were manually put into Talairach frame (no scaling) for segmentation purposes, and corrected for inhomogeneities in the magnetic field (Sled et al., 1998). Our automatic processing pipeline was used for segmentation of total brain, grey (GM) and white matter

(WM) of the cerebrum (Brouwer et al., 2010). In short, pure GM and 117 WM intensities were directly estimated from the image. The amounts 118 of pure and partial volume voxels were modeled in a non-uniform partial volume density, which is fitted to the intensity histogram. Expected 120 tissue fractions, based on the pure intensities and the partial volume 121 density, were subsequently computed in each voxel within the cerebrum. Intracranial, ventricle and cerebellum segmentations were 123 checked after measurement and corrected manually if necessary 124 (Schnack et al., 2001).

To estimate the cortical surface, we used the CLASP (Constrained 126 Laplacian Anatomic Segmentation Using Proximity) algorithm designed 127 at the McConnell Brain Imaging Centre of the Montréal Neurological In- 128 stitute (Kabani et al., 2001; Kim et al., 2005; MacDonald et al., 2000). A 129 3-dimensional surface consisting of 81,920 polygons was fitted to the 130 WM-GM interface. This defined the inner surface of the cortex, which 131 was then expanded to fit the GM-cerebrospinal fluid interface, thereby 132 creating the outer cortical surface (Kim et al., 2005; MacDonald et al., 133 2000). The surfaces of the participants were registered to an average 134 surface created from 152 individuals (International Consortium for 135 Brain Mapping: Lyttelton et al., 2007) allowing comparison of cortical 136 surface locally between subjects both at baseline as well as follow-up 137 measurement. The mid-areas between the white matter (i.e., inner surface) and the grey matter (i.e., outer surface) at baseline and follow-up 139 measurement were used as a measure of cortical surface area. These 140 were subtracted to obtain a measure of surface area change.

Cognitive assessment

Intelligence was estimated by the total Intelligence Quotient (IQ), 143 verbal IQ and performance IQ, as based on the Dutch 1997 experimental 144 version of the WAIS IIII. IQ was available at baseline for 119 participants 145 of the original sample (41 MZ (20 complete pairs), 59 DZ (27 complete 146 pairs), 19 siblings), of whom 91 had repeated MRI measurements 147 (43 twin families (26 monozygotic (11 complete pairs), 48 dizygotic 148 (21 complete pairs)), 17 siblings).

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Statistical analyses

To estimate the relative contribution of genetic and common and unique environmental factors on the variation of brain volume change 152 and surface area change, the extended twin-sibling model was applied. 153 This model is based on the fact that MZ twins are genetically identical 154 and DZ twins share on average 50% of their genes. Both types of twins 155 share their familial environment. Therefore, if MZ twins resemble each 156 other more than DZ twins, genetic factors are important for that trait. 157 The presence of shared environmental factors is suggested when correlations in DZ twins are larger than half the MZ correlation. Unique 159

Table 1Demographics of the twins and their siblings.

3	MZ	DZ	Siblings
Sex, male/female	51/23	41/39	11/11
Age at time of the first scan, ya [range]	31.09 (9.02)	28.21 (5.98)	28.01 (3.07)
5	[19.45 to 55.88]	[19.07 to 51.58]	[20.22 to 31.34]
Height, cm	177.12 (8.97)	177.14 (8.81)	174.32 (11.97)
Handedness, r/l/ambidextrous	57/15/2	67/7/6	17/4/1
Level of education, y	13.69 (12.90)	13.41 (2.56)	12.73 (3.18)
Parental level of education, y	12.22 (2.64)	12.08 (2.76)	12.05 (2.79)
10 Follow-up duration, y ^a	5.13 (0.56)	5.51 (0.69)	5.41 (0.55)
11 Full-scale IQ ^b	106.8 (15.3)	103.4 (8.3)	105.6 (12.3)
2 Verbal IQ ^b	107.2 (15.8)	104.0 (8.2)	108.9 (15.5)
3 Performance IQ ^b	103.1 (13.0)	101.7 (10.2)	98.9 (8.3)

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m t}_{1.14}$ Means and standard deviations (number of subjects for categorical variables).

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^a Age differed significantly between the groups (i.e., MZ, DZ and siblings); $F_{2,173} = 7.10$, p = 0.001, post-hoc analysis for multiple comparisons revealed that this was due to MZ twins having significantly higher age than DZ twins. F-u duration differed significantly between the groups: $F_{2,173} = 3.54$, p = 0.031, post hoc analysis for multiple comparisons revealed that this was due to DZ twins having significantly longer f-u duration than MZ twins.

^b Full-scale, Verbal and Performance IQ were available in 91 participants that had repeated MRI scans.

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