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¹ Human olfactory lateralization requires trigeminal activation

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6 ARTICLE INFO ABSTRACT

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 Medicinal stead, Source Unit, Onerindotyngelage, Free Rats are able to lateralize odors. This ability involves specialized neurons in the orbitofrontal cortex which are 17 able to process the left, right and bilateral presentation of stimuli. However, it is not clear whether this function 18 is preserved in humans. Humans are in general not able to differentiate whether a selective olfactory stimulant 19 has been applied to the left or right nostril; however exceptions have been reported. 20 Following a screening of 152 individuals with an olfactory lateralization test, we identified 19 who could lateral- 21 ize odors above chance level. 15 of these "lateralizers" underwent olfactory fMRI scanning in a block design and 22 Q3 were compared to 15 controls matched for age and sex distribution. As a result, both groups showed comparable 23 activation of olfactory eloquent brain areas. However, subjects with lateralization ability had a significantly en- 24 hanced activation of cerebral trigeminal processing areas (somatosensory cortex, intraparietal sulcus). In contrast 25 to controls, lateralizers furthermore exhibited no suppression in the area of the trigeminal principal sensory nu- 26 Q4 cleus. An exploratory study with an olfactory change detection paradigm furthermore showed that lateralizers 27 oriented faster towards changes in the olfactory environment. 28

Taken together, our study suggests that the trigeminal system is activated to a higher degree by the odorous stim- 29 uli in the group of "lateralizers". We conclude that humans are not able to lateralize odors based on the olfactory 30 input alone, but vary in the degree to which the trigeminal system is recruited. 31

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3637Q5 Introduction

 Like most animals, humans have the ability to track odors and follow their route [\(Porter et al., 2007\)](#page--1-0). However, when movements are prevented, most humans are not able to localize whether an odor was presented to the left or right nostril (Kobal et al., 1989; Schneider and [Schmidt, 1967](#page--1-0)). We aimed to understand what distinguishes people with and without the ability to lateralize olfactory stimuli.

 As with other senses, the sense of smell is organized as a pairwise organ. Odors reach olfactory receptors in the left or right nasal cleft which are separated by the nasal septum. In contrast to other senses, at least initially olfactory activation is processed ipsilaterally to the side of stimulation (Lascano et al., 2010). The pairwise organization of the sense of smell has some consequences: First, the brain hemispheres develop specialized functions in early childhood (Chiron et al., 1997) so that activation of both hemispheres results in optimum processing of the information. While there is a left sided dominance for speech [\(Binder et al., 1997](#page--1-0)) it is assumed, that processing of emotional

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information is predominantly taking place in the right hemisphere 54 (Schwartz et al., 1975). Accordingly, for odors, it has been reported 55 that they are rated more pleasant when sniffed through the right nostril 56 and identified more correct when applied through the left ([Herz et al.,](#page--1-0) 57 1999). Second, paired input helps to locate the stimulus; this ability to 58 localize stimuli is potentially relevant for orientation. For visual, audito- 59 ry and tactile stimuli, differential input to the left and right side allows 60 to localize the source of the stimulus. For olfactory stimuli, it has been 61 shown, that sniffing through both nostrils enhances the chance of olfac- 62 tory scent tracking under natural conditions [\(Porter et al., 2007\)](#page--1-0). 63

Rats are able to lateralize odors. This ability involves specialized neu- 64 rons in the orbitofrontal cortex which are able to process stimuli pre- 65 sented to the left nostril, right nostril or both nostrils ([Wilson, 1997](#page--1-0)). 66 Sharks on the other hand seem to recruit additional peripheral informa- 67 tion from the lateral line organ in order to locate odors [\(Gardiner and](#page--1-0) 68 [Atema, 2007\)](#page--1-0). Because the nasal mucosa is innervated by the trigeminal 69 nerves [\(Daiber et al., 2013; Schaefer et al., 2002](#page--1-0)), most odors activate 70 not only the olfactory but, to a certain degree, also the trigeminal sys- 71 tem. Trigeminal stimulation leads to different brain activation patterns 72 compared to olfactory stimulation ([Iannilli et al., 2013](#page--1-0)). Because trigem- 73 inal information is transported via myelinated fibers, while olfactory 74 information is transported via unmyelinated fibers, it is no surprise 75 that brain potentials are obtained faster for trigeminal activation 76 [\(Iannilli et al., 2013](#page--1-0)). 77

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 In order to study the human ability to lateralize odors, odorous stim- uli are of interest which do not only activate the trigeminal system but also specifically produce olfactory activation. Odors are generally be- lieved to be purely olfactory, if they cannot be detected by anosmic peo- ple. This is the case, for example, for vanillin and hydrogen sulfide, and, also to a relatively high degree, for phenyl ethyl alcohol [\(Doty et al.,](#page--1-0) [1978; Hummel et al., 1991](#page--1-0)).

 The majority of humans seem to be unable to lateralize selective ol- factory stimuli. Frasnelli et al. published two studies showing that humans on average lack olfactory lateralization ability ([Frasnelli et al.,](#page--1-0) [2010\)](#page--1-0), irrespective whether stimuli are actively sniffed or passively ap- plied ([Frasnelli et al., 2009\)](#page--1-0). However, their data also indicate that some people are able to lateralize above chance [\(Frasnelli et al., 2010](#page--1-0)) and that an increased numbers of molecules enhances localization ability even for relatively selective olfactory stimuli, like phenyl ethyl alcohol [\(Frasnelli et al., 2011](#page--1-0)). Olfactory lateralization ability depends on tri- geminal input ([Kobal et al., 1989; Lundstrom et al., 2012](#page--1-0)) and mixed chemicals, activating both receptor types typically can be localized without problems (Frasnelli et al., 2010, 2011; Hummel et al., 2003; [Kleemann et al., 2009; Schneider and Schmidt, 1967; von Békésy,](#page--1-0) [1964; Wysocki et al., 2003](#page--1-0)).

 Recently we could show that training improves the lateralization ability of selective olfactory stimuli (Negoias et al., 2013). If there are some persons with lateralization ability and this can even be trained, the question arises, how the processing of olfactory information differs between people who can, and the many other people who cannot later- alize odors? We followed this question by comparing brain activation for selective olfactory stimuli in people with and without lateralization ability. As trigeminal stimuli can be lateralized, we assumed that the tri- geminal system is involved in lateralization. Therefore, we focused the analysis not only on primary and secondary olfactory areas, but also on regions known to be related to trigeminal processing.

Herenzia are prisonality proposition of the theorem is a located their central behavior in the prediction of the singular central behavior in a located chief proposition of the singular central behavior proposition of the Those include primary regions involved in intranasal trigeminal per- ception (midbrain and pons, compare (Boyle et al., 2007; Hummel [et al., 2005\)](#page--1-0)) and regions typically activated after cutaneous stimulation of the trigeminal nerves (primary and secondary somatosensory cortices [Eickhoff et al., 2007](#page--1-0)). Furthermore, there is a reason to assume, that the intraparietal sulcus is involved in localization of chemosensory stimuli. Frasnelli and colleagues recently compared brain activations from a local- izable olfactory-trigeminal mixture with activations of a non-localizable odor [\(Frasnelli et al., 2012](#page--1-0)). They found the intraparietal sulcus to be in- volved in odor localization, while areas associated with chemosensory processing, such as insular cortex and orbitofrontal cortex showed no dif- ference in activation. In the same line, Boyle et al. found the intraparietal sulcus to be involved in the processing of combined olfactory/trigeminal stimuli, but not in the processing of selective olfactory stimuli (Boyle [et al., 2007](#page--1-0)). We therefore hypothesize, that persons with lateralization 125 ability exhibit enhanced activation of the intraparietal sulcus.

 Besides the fMRI study, an olfactory attention experiment was per-127 formed with a subsample of the participants. This study aimed to explore, whether lateralization ability enhances attention towards odors. Humans are typically rather poor in detecting changes of the ol- factory environment spontaneously. The lack of egocentric spatial infor- mation is discussed as one of the reasons for this [\(Sela and Sobel, 2010\)](#page--1-0). Consequently, one would expect that persons with lateralization ability, who have more egocentric spatial information, are more aware of the olfactory environment. We created an "olfactory change detection test" (see below), to test whether olfactory lateralization facilitates orientation towards odors.

137 Methods

138 Ethics statement

139 The study followed the Declaration of Helsinki on Biomedical Re-140 search Involving Human Subjects and was approved by the Ethics Committee of the TU Dresden. All participants provided written in- 141 formed consent. 142

Participants 143

A total of 152 people between 18 and 40 years of age were screened 144 for olfactory lateralization [\(Frasnelli et al., 2011\)](#page--1-0). The test device con- 145 sists of two squeezable bottles that are pressed simultaneously and de- 146 liver an airstream into both nostrils of the participant. Only one of the 147 bottles contains an odor and therefore only one side of the nose receives 148 olfactory input. Phenyl ethyl alcohol (20% v/v; dissolved in propylene 149 glycol) was used for selective olfactory stimulation. The participant's 150 task was to answer in a forced choice paradigm which side had been 151 stimulated. The task was repeated 20 times with a random order of 152 the side of odor presentation. Using the binominal distribution formula 153 the likelihood to answer correctly \geq 15 times or to answer incorrectly 154 \leq 5 times can be calculated to 4.2%. 155

Nineteen of the 152 people tested showed lateralization ability 156 above chance (\geq 15 or \leq 5); they were invited to participate in the 157 fMRI study ("lateralizers"). Data is presented for those 17 lateralizers, 158 who exhibited a score \geq 15 (compare Fig. 1). Two of them and one 159 of the controls were excluded because of technical problems with data 160 acquisition or brain abnormalities. The remaining groups consisted 161 of 15 participants in the lateralization group (9 women, 6 men, 162 22–36 years, mean age 25.5 \pm 3.5 years) and 15 in the control group 163 (7 women, 8 men, 24–30 years, mean age 25.9 ± 1.9 years). According 164 to the inclusion criteria, the participants differed significantly with re- 165 spect to their lateralization ability (t[28] = 12,3; p < 0.001). There 166 were no significant group differences in age or sex. Although partici- 167 pants with lateralization ability were slightly better in olfactory thresh- 168 olds, the effect was not significant (t[28] = 1.9; $p = 0.07$; compare 169 **Table 1).** 170

fMRI Procedure 171

A 3 Tesla MR scanner (Trio; Siemens Medical, Erlangen, Germany) 172 was used for data acquisition. Each participant was scanned in two func- 173 tional sessions in a block design. In both sessions phenyl ethyl alcohol 174 (PEA; dissolved in propylene glycol at 20% v/v; total flow per nostril 175 1 l/min; the off condition was solvent only) was presented in 12 on/ 176 off blocks to the right and in 12 on/off blocks to the left nostril (see 177 Fig. 2). After each of the two sessions, participants were asked to rate 178 the intensity of the odor on a scale from 0 (not intense at all) to 10 179 (extremely intense). Order of stimulus presentation in each session 180 and order of sessions was randomized. In total, each participant re- 181 ceived 24 on/off blocks for left and 24 for right sided stimulation. Each 182

Fig. 1. Lateralisation scores of participants selected for the control and for the lateralizer group. The dashed line shows the distribution of the binominal curve. Participants within a range below 6 and above 14 are included in the control group. The area under the curve for this range is at 95.8%.

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