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¹ Cortical activation to object shape and speed of motion during the

² first year

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ABSTRACT

A great deal is known about the functional organization of cortical networks that mediate visual object processing 17 in the adult. The current research is part of a growing effort to identify the functional maturation of these pathways in the developing brain. The current research used near-infrared spectroscopy to investigate functional ac-19 tivation of the infant cortex during the processing of featural information (shape) and spatiotemporal 20 information (speed of motion) during the first year of life. Our investigation focused on two areas that were im-21 plicated in previous studies: anterior temporal cortex and posterior parietal cortex. Neuroimaging data were col-22 lected with 207 infants across three age groups: 3–6 months (Experiment 1), 7–8 months (Experiment 2), and 310–12 months (Experiments 3 and 4). The neuroimaging data revealed age-related changes in patterns of acti-24 vation to shape and speed information, mostly involving posterior parietal areas, some of which were predicted 25 and others that were not. We suggest that these changes reflect age-related differences in the perceptual and/or 26 cognitive processes engaged during the task. 27

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33 Introduction

There is a substantial body of research suggesting that in the human 34 brain, information about the spatiotemporal and featural properties of 35 objects are processed by different cortical systems, similar to those 36 first identified in the non-human primate (Mishkin et al., 1983; 37 Ungerleider and Mishkin, 1982). The ventral system extends from the vi-38 sual cortex through the temporal cortex and mediates processing of the 39 featural attributes of objects. For example, areas in the primary visual 40 41 cortex respond to specific features, such as lines, orientation, or color (Bartels and Zeki, 2000: Orban et al., 2004: Tootell et al., 2003), whereas 42areas in the occipito-temporal cortex integrate these features and code 43objects as wholes, independent of visual perspective (Grill-Spector, 44 45 2003; Kourtzi and Kanwisher, 2001). Finally, more anterior areas in temporal cortex are important for higher level object processing, such 46 as object recognition, identification, and naming (Devlin et al., 2002; 47 48 Humphreys et al., 1999; Malach et al., 1995). The dorsal system extends from the visual cortex through the parietal cortex and mediates pro-49cessing of the spatiotemporal attributes of objects. For example, area 5051MT/V5 responds selectively to moving (as compared to static) stimuli 52and is sensitive to coherent motion of randomly distributed dots 53(Kolster et al., 2010; Murray et al., 2003, 2004; Paradis et al., 2000), 54whereas the angular gyrus mediates attention to and analysis of speed

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http://dx.doi.org/10.1016/j.neuroimage.2014.04.082 1053-8119/© 2014 Published by Elsevier Inc. and path of object motion (Chambers et al., 2007; Nagel et al., 2008) 55 and the inferior parietal cortex mediates the extraction of 3-D object 56 structure from coherent motion displays (Denys et al., 2004; Murray 57 et al., 2003, 2004; Paradis et al., 2000; Peuskens et al., 2004). These 58 and related findings (for reviews see Bell et al., 2013; Orban, 2011) sup- 59 port the functional distinction proposed by Ungerleider and colleagues 60 that the ventral stream is dedicated to the recognition, identification, 61 and categorization of objects, or the "what" of objects, whereas the dor- 62 sal stream is dedicated to the processing of information about motion, 63 depth, and location, or the "where" of objects (for an alternative view- 64 point see Milner and Goodale, 1995). Claims about the functional spec- 65 ificity of these two pathways have been tempered somewhat, however, 66 by evidence that ventral and dorsal cortical areas may be less special- 67 ized (or at least more interactive) than originally proposed (Borst 68 et al., 2011; Konen and Kastner, 2008; Kravitz et al., 2010; Zachariou Q2 et al., 2014). 70

What has remained unspecified is the functional development of 71 these visual object-processing pathways, largely because of a lack of 72 neuroimaging techniques that can be used successfully with infants. 73 With the introduction of functional near-infrared spectroscopy (fNIRS) 74 into the experimental setting, however, developmental scientists now 75 have the opportunity to investigate functional organization of the infant 76 cortex. A growing number of studies (for a review see Lloyd-Fox et al., 77 2010) have focused on identifying cortical substrates that mediate processing of distinct types of objects and/or object properties, many of 79 which are theoretically important to cognitive and developmental neuroscientists. The outcome of such studies have allowed us to better 81

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understand how the human brain is functionally organized from the 82 83 early days of life (Honda et al., 2010; Lloyd-Fox et al., 2009; Watanabe et al., 2008; Wilcox et al., 2010) and provide insight into how this 84 85 might change with time and experience (Wilcox et al., 2012). Most relevant to the present research are studies that have focused on the 86 cortical substrates that support infants' emerging capacity to use 87 featural and spatiotemporal information to track the identity of objects 88 89 (Wilcox et al., 2009, 2012, 2014).

90 Object Individuation: cortical activation to featural differences

Several studies have investigated the cortical basis of infants' capac-91 ity to use featural information to track the identity of objects through 92 occlusion. In one group of studies (Wilcox et al., 2012), infants 93 94 3-5 months (M age = 5, 8) and 11-12 months (M age = 11, 21) 95were shown occlusion events (Fig. 1) in which the objects that emerged 96 successively from behind the screen differed in shape (green ball-green 97 box), color (green ball-red ball) or were identical in appearance (green ball-green ball). The cortical areas targeted were anterior temporal, pos-98 terior temporal, posterior parietal, and occipital cortex. Two main find-99 100 ings relevant to the present research emerged. First, infants 3-5 months 101 of age, who use shape but not color information to individuate objects (Wilcox, 1999), showed activation in the anterior temporal cortex 102when viewing the shape difference but not the color difference test 103 104event (see also Wilcox et al., 2010). It is not until 11-12 months, 105when infants first individuate-by-color (Wilcox, 1999; Wilcox et al., 1062007), that infants showed activation in the anterior temporal cortex when viewing the different-color event. Neither age group showed acti-107 vation in anterior temporal cortex when viewing the control (green 108 109 ball-green ball) test event, an event that infants interpret as involving a single object that moves back and forth behind the screen (Wilcox, 110 1999). More recent studies (Wilcox et al., 2014) have revealed that in-111 fants younger than 11-12 months, if first primed to attend to color dif-112 ferences (i.e., given experiences that lead them to individuate-by-color), 113 show activation in anterior temporal cortex to the color difference test 114 115 event. These results provide converging evidence for the conclusion that in the infant the anterior temporal cortex is involved in the object 116 individuation process. 117

The second main finding was of age-related changes in patterns of 118 119 cortical activation to the different-shape event. One interesting characteristic of shape processing is that either ventral or dorsal cortical areas 120 can mediate it, depending on the visual cues that give rise to object 121 shape. For example, ventral areas extract object structure from contour 122 123 whereas dorsal areas extract object structure from motion-carried information (De Yoe and Van Essen, 1988; Denys et al., 2004; Desimone 124125et al., 1985; Kraut et al., 1997; Livingstone and Hubel, 1987, 1988; Murray et al., 2004; Paradis et al., 2000; Peuskens et al., 2004). On the 126basis of these findings, one might expect younger infants, whose visual 127128acuity is less well developed and hence depend more on motion-carried 129information to perceive object shape, to show greater activation in dorsal areas than older infants. Consistent with this hypothesis, 130 Wilcox et al. (2012) found that infants 3-5 months, but not infants 131 aged 11-12 months, evidence activation in posterior parietal cortex 132 during the different-shape event. Recall that from the early months of 133 life infants individuate on the basis of shape (Wilcox, 1999) and both 134 3-5-month-olds and 11-12-month-olds show activation in anterior 135 temporal cortex to the shape difference test event (Wilcox et al., 136 2012). Yet, only the 3-5-month-olds show activation in posterior pari- 137 etal cortex. (The younger infants did not show activation in the posteri- 138 or parietal cortex to the control event, indicating that this response was 139 specific to the shape difference and was not obtained for events involv- 140 ing moving objects more generally.) According to the visual acuity hy- 141 pothesis, at what age would we expect infants to no longer show 142 activation in parietal cortex to shape differences? Visual acuity matures 143 significantly during the first 6 months of life, and by 7-8 months 144 approaches that of an adult (Dobson and Teller, 1978; Norica and Q3 Tyler, 1985; Teller and Movshon, 1985). Hence, by this time we would Q4 expect infants to show cortical activation patterns similar to those of 147 the older (and not the younger) infants. The current research tested 148 this prediction. 149

Object Individuation: cortical activation to spatiotemporal discontinuities 150

Much less research has been conducted on the cortical basis of in- 151 fants' use of spatiotemporal information to individuate objects. In a re- 152 cent study conducted with infants 5-7 months (Wilcox et al., 2010), 153 participants were shown a speed discontinuity, path discontinuity, or 154 control event (Fig. 2). Previous behavioral studies conducted with 155 3.5-9.5-month-olds have demonstrated that infants interpret the 156 speed discontinuity and path discontinuity event (but not the control 157 event) as involving two distinct objects; that is, they use the spatiotem- 158 poral discontinuities to individuate the objects seen in the occlusion se- 159 quence (Schweinle and Wilcox, 2004; Wilcox and Schweinle, 2003). 160 During the test session, neural activation, as measured by changes in 161 HbO, was assessed at the same four locations as that of Wilcox et al. 162 (2012). Two main findings emerged. First, the infants in the speed and 163 path discontinuity conditions, but not the control condition, evidenced 164 significant activation in the anterior temporal cortex. When spatiotem- 165 poral information embedded in the occlusion sequence signaled the 166 presence of distinct objects, anterior temporal cortex was activated. 167 These results provide converging evidence, using a different type of 168 event - an event involving spatiotemporal discontinuities rather than 169 featural differences - that the anterior temporal cortex mediates the in- 170 dividuation process in the infant. Second, the infants in the speed and 171 path discontinuity conditions, but not the control condition, evidenced 172 activation in the posterior parietal cortex. This finding supports prevail- 173 ing hypotheses (Johnson and Mareschal, 2003; Kaufman et al., 2003) 05 that from an early age dorsal cortical areas mediate processing of the 175 spatiotemporal properties of objects. The extent to which cortical re- 176 sponses to spatiotemporal discontinuities change during the first year 177



Fig. 1. The shape difference, color difference, and control test events of Wilcox et al. (2012). Each cycle of the test event was 10 s and infants saw 2 complete cycles during each test trial.

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