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## <sup>1</sup> Interpreting response time effects in functional imaging studies

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#### ABSTRACT

It has been suggested that differential neural activity in imaging studies is most informative if it is independent of 16 response time (RT) differences. However, others view RT as a behavioural index of key cognitive processes, which 17 is likely linked to underlying neural activity. Here, we reconcile these views using the effort and engagement 18 framework developed by Taylor, Rastle, and Davis (2013) and data from the domain of reading aloud. We pro- 19 pose that differences in neural engagement should be independent of RT, whereas, differences in neural effort 20 should co-vary with RT. We illustrate these different mechanisms using data from an fMRI study of neural activity 21 during reading aloud of regular words, irregular words, and pseudowords. In line with our proposals, activation 22 revealed by contrasts designed to tap differences in neural engagement (e.g., words are meaningful and therefore 23 engage semantic representations more than pseudowords) survived correction for RT, whereas activation for 24 contrasts designed to tap differences in neural effort (e.g., it is more difficult to generate the pronunciation of 25 pseudowords than words) correlated with RT. However, even for contrasts designed to tap neural effort, activity 26 remained after factoring out the RT-BOLD response correlation. This may reveal unpredicted differences in neu- 27 ral engagement (e.g., learning phonological forms for pseudowords > words) that could further the development 28 of cognitive models of reading aloud. Our framework provides a theoretically well-grounded and easily imple-29 mented method for analysing and interpreting RT effects in neuroimaging studies of cognitive processes. 30 © 2014 Published by Elsevier Inc.

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#### Q10 Introduction

A key experimental method in both cognitive psychology and cognitive neuroscience involves asking participants to perform specific tasks on selected stimuli and collecting behavioural (accuracy, response time) and/or haemodynamic outcome measures. Statistical comparisons of these measures allow researchers to draw increasingly specific inferences concerning the underlying cognitive and neural processes that contribute to task performance.

However, despite this similarity in approach, psychologists and neuroscientists often differ in their treatment of a behavioural outcome
measure – response time (RT) – that is routinely collected in these experiments. Neuroscientists have sometimes argued that RT differences
confound comparisons of brain activity between conditions, and have
thus employed a variety of approaches to exclude these apparently

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http://dx.doi.org/10.1016/j.neuroimage.2014.05.073 1053-8119/© 2014 Published by Elsevier Inc. 'uninteresting' RT-associated neural responses (Binder et al., 2005; 50 Christoff et al., 2001; Crittenden and Duncan, 2012; Graves et al., 51 2010) or used passive perception designs to minimise the influence of 52 task performance (Ben-Shachar et al., 2011; Pulvermüller et al., 2012; 53 Vinckier et al., 2007; Wright et al., 2011). In contrast, since the time of 54 Donders (1969/1868), behavioural studies have used RT as a key depen-55 dent measure to support the inference that different types of stimuli are 56 represented and/or processed in different ways. 57

In this paper we propose a framework to explain which between- 58 condition differences in neural activity should be independent of RT. 59 We then set out a method for both regressing out and including RT- 60 associated variance when analysing functional magnetic resonance im- 61 aging (fMRI) data. We demonstrate the effectiveness of this approach in 62 analysing neuroimaging data collected during reading aloud. 63

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Response time effects in brain imaging and behavioural studies

Evoked haemodynamic responses often increase with the duration 65 of stimulation (Boynton et al., 1996; Horner and Andrews, 2009), and 66 hence should also increase with the time spent on task. This observation 67 has led to concerns regarding the appropriate treatment of neuroimag- 68 ing contrasts between conditions that differ in RT. The nature of the con- 69 cern is that two conditions may produce differential activation not 70 because of a qualitative difference in their underlying neural mecha- 71 nisms, but because stimulus processing in one condition takes longer 72

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than that in the other. Researchers have approached this potential prob-73 74 lem in a variety of ways. For example, Crittenden and Duncan (2012) explicitly modelled event duration (RT), allowing them to examine 7576 multiple demand network (fronto-parietal cortices) activity under various manipulations of task difficulty, independent of RT. Taking a dif-77 ferent approach, Yarkoni et al. (2009) included trial-by-trial RT as a 78 79parametric modulator and found that activity in frontal and parietal cor-80 tices was positively correlated with RT across several different tasks 81 (working memory, emotional processing, decision making). They sug-82 gested that, "RT variability may explain a considerable amount of vari-83 ance in frontal activation in most tasks" and that this may account for "fMRI effects previously attributed to qualitative differences between 84 experimental conditions" (p. e2457). Yet a different method was used 85 86 by Binder et al. (2005); a conjunction analysis revealed brain regions in which activity correlated with RT for all item types during reading 87 aloud of regular words, irregular words, and pseudowords. It was pro-88 posed that RT correlated brain activity within stimulus type must arise 89 90 from "domain general" processing demands. Activation differences between stimulus types were therefore only regarded as interesting if 91 they occurred outside of these domain general brain regions. A similar 9293 interpretation, although a different method of modelling RT, was ap-94 plied by Graves et al. (2010) who included multiple psycholinguistic 95variables, along with RT, as parametric modulators in their analysis of neural activity in an fMRI study of reading. The authors argued that ef-96 fects of the psycholinguistic variables were of greatest interest if they 97 occurred in areas that did not show positive correlations with RT. 98 Thus, in all these discussed cases it is assumed that differential neural 99 100 activity only provides evidence of neural specialisation if activation differences cannot be explained by differences in RT. 101

102 However, these approaches overlook the information provided by 103 RT variation in behavioural studies. For example, in the Stroop task, 104 patients suffering from psychological disorders are typically slower 105to name ink colours for words relevant to their clinical condition (Williams et al., 1996), and in the Implicit Association Test, white partic-106 ipants are typically slower to classify black faces and positive words 107 with the same key press than they are to classify black faces and nega-108 tive words with the same key press (Phelps et al., 2000). In both of 109 these cases, RT differences between conditions indicate underlying 110 processing differences, and we would thus expect differences in neural 111 activity in regions relevant to performing the task to correlate with these 112 RT effects, as explicitly demonstrated by Phelps et al. (2000) for the 113 amygdala. 114

This was acknowledged by Wilson et al. (2009) in their interpreta-115 tion of neural activity during picture naming. They argued that where 116 117 RT effects occurred in brain regions in which activity was sensitive to psycholinguistic variables of interest (such as word frequency and con-118 119cept familiarity) these brain regions were "presumably involved in the stages of word production identified by the other variables in question". 120However, RT effects outside of these regions were taken to reflect exec-121utive and attentional processes. Whilst this seems sensible, the psycho-122linguistic variables considered were by no means exhaustive, RT could 123124simply be functioning as a proxy for variables directly relevant to picture 125naming, but not included in the model, for example, initial phoneme, age-of-acquisition. Similar concerns were raised by Henson (2005) 126who stated that, as behavioural data (such as RT) and neuroimaging 127data are both dependent variables, one cannot cause the other. Instead, 128129both are better thought of as different indices of underlying cognitive processes. This was in fact the approach taken in two later studies by 130Wilson et al. (2010, 2014). RT was used as a proxy for syntactic complex-131 ity when examining activity in inferior frontal gyrus and anterior tempo-132ral lobe during syntactic processing in neuropsychological patients. 133

#### 134 The effort and engagement framework

We argue that separating informative from non-informative differ-ences in neural activity between conditions of interest is not as simple

as controlling for effects of RT, or examining the overlap and separation 137 of effects of RT and variables of interest. Instead, it is essential to have a 138 theory that specifies whether and why differences between conditions 139 should (or should not) be independent of RT in order to know how 140 best to treat RT in neuroimaging studies. One framework that provides 141 a way to relate cognitive processes to neural activity was set out by 142 Taylor et al. (2013). We proposed that two principles govern the rela- 143 tionship between cognitive processes and aggregate measures of neural 144 activity such as Blood Oxygenation Level Dependent (BOLD) fMRI: 145 1) engagement – stimuli that are represented by a model component 146 or brain region should activate it more than stimuli that are not repre- 147 sented by a component or region; and 2) effort – within a set of stimuli 148 that are represented by a model component or brain region; those that 149 fit the representations less well should be more effortful to process, and 150 thus produce greater activity, than those that fit the representations 151 extremely well. As discussed in Taylor et al., the framework critically 152 assumes that computational processes that are functionally separated 153 in cognitive models can be mapped onto separate brain processes 154 (Henson, 2005, 2006a, 2006b). 155

As illustrated in Fig. 1, this proposal implies an inverted u-shaped re- 156 lationship between the BOLD signal and the fit between stimuli and 157 neural representations. The upward going portion of the curve is driven 158 by greater engagement for stimuli which fit representations than for 159 stimuli that do not. This is consistent with the majority of 'subtraction' 160 studies in which differential neural activity is seen in regions that re- 161 spond more to a preferred stimulus type than to other stimulus types. 162 For example, a region in the right fusiform gyrus responds more strong- 163 ly to faces than to other visual stimuli such as houses (Kanwisher et al., 164 1997), reflecting greater neural engagement for represented than non- 165 represented stimuli. In contrast, the downward going portion of the 166 inverted u-shaped function is driven by reduced effort for stimuli that 167 fit the representations very well as compared to those that fit less 168 well. This is consistent with repetition suppression or familiarity effects 169 in functional imaging studies: highly familiar stimuli typically elicit re- 170 duced activity compared to less familiar stimuli (e.g., common versus 171 uncommon orientations of an object), potentially due to sharpening of 172 neural responses, or other mechanisms (Grill-Spector et al., 2006). 173

This inverted u-shaped relationship is thus needed to account for the 174 existing functional imaging literature (see Taylor et al., 2013 for further 175 details) and is related to other proposals of a non-linear relationship be-176 tween the BOLD signal and cognitive processing, e.g., Price and Devlin 177 (2011). A clear advantage of our proposal is that effort and engagement 178 readily map onto cognitive distinctions (e.g., represented vs. non-179 represented stimuli, processing-time differences) that can be used to 180 guide interpretation of neuroimaging contrasts, as detailed in the fol-181 lowing paragraph.

Our framework suggests that a stimulus type that is represented by a 183 particular brain region should engage that region more than another 184



**Fig. 1.** Inverted U-shaped function showing how engagement and processing effort relate to blood oxygenation level dependent (BOLD) signal.

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