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## Visual, auditory and tactile stimuli compete for early sensory processing capacities within but not between senses

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### ABSTRACT

We investigated whether unattended visual, auditory and tactile stimuli compete for capacity-limited early 17 sensory processing across senses. In three experiments, we probed competitive audio-visual, visuo-tactile 18 and audio-tactile stimulus interactions. To this end, continuous visual, auditory and tactile stimulus streams 19 ('reference' stimuli) were frequency-tagged to elicit steady-state responses (SSRs). These electrophysiological 20 oscillatory brain responses indexed ongoing stimulus processing in corresponding senses. To induce competition, 21 we introduced transient frequency-tagged stimuli in same and/or different senses ('competitors') during refer- 22 ence presentation. Participants performed a separate visual discrimination task at central fixation to control for 23 attentional biases of sensory processing. A comparison of reference-driven SSR amplitudes between 24 competitor-present and competitor-absent periods revealed reduced amplitudes when a competitor was pre- 25 sented in the same sensory modality as the reference. Reduced amplitudes indicated the competitor's suppres- 26 sive influence on reference stimulus processing. Crucially, no such suppression was found when a competitor 27 was presented in a different than the reference modality. These results strongly suggest that early sensory com- 28 petition is exclusively modality-specific and does not extend across senses. We discuss consequences of these 29 findings for modeling the neural mechanisms underlying intermodal attention. 30

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#### Introduction 36

Imagine standing in a particularly crowded place while listening to 37 someone on your phone. People are walking all over the place; talking 38 out loud; perhaps even bumping into you. You will have to try hard to 39 focus on the caller's voice. This situation illustrates how in everyday life 40 41 limited neural processing capacities force the human brain to actively select a specific source of information among a manifold of unrelated sen-42sory events (Broadbent, 1952; Kastner and Ungerleider, 2000; Neisser 04 03 and Becklen, 1975). In our example a distinction can be made between 44 45selecting the voice over background noise - a selection within the auditory modality - and selecting auditory information over visual and 46 tactile information - a selection between sensory modalities. 47

48 The neural mechanisms underlying attentional selection within a sensory modality have been formalized in the biased competition model 49 (Kastner et al., 1998; Moran and Desimone, 1985). Biased competition 5051rests on two central assumptions: (I) Two or more concurrent stimuli 52enter a competition for limited processing capacity that leads to mutual 53suppression. Although primarily established in visual attention research, 54modality-specific inter-stimulus competition can also be inferred from

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findings of suppressive effects between auditory (Kawase et al., 2012; 55 Ross et al., 2012) and tactile stimuli (Severens et al., 2010). (II) Selective 56 attention to one stimulus releases it from mutual suppression and biases 57 the competition in favor of the selected stimulus' processing. Following 58 these assumptions, inter-stimulus competition poses a necessary prereq-59 uisite for the attentional bias in modality-specific sensory processing.

Attentional selection between sensory modalities, i.e. 'intermodal' 61 attention (Alho et al., 1992; Boulter, 1977; Eimer and Schröger, 1998; 62 Porcu et al., 2013), is less well understood. It stands to question, whether 63 the attentional mechanisms that constitute the biased competition 64 framework also account for intermodal attention. Recent neuroimaging 65 studies have investigated crossmodal<sup>2</sup> interactions in early sensory pro- 66 cessing while participants attended to one sensory modality (Johnson 67 and Zatorre, 2005; Langner et al., 2011; Laurienti et al., 2002; Shomstein 68 and Yantis, 2004). A consistent finding of these studies was that neural 69 activity that corresponded to the processing of input from unattended 70 modalities decreased. This reduction might have been the consequence 71

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<sup>&</sup>lt;sup>2</sup> The terms intermodal and crossmodal are sometimes used interchangeably in the literature to reference attention-related influences on multisensory processing. Here, we use intermodal to circumscribe higher order processes that control attentional allocation in modality-specific early sensory processing according to actual task demands. The term crossmodal, in turn, is used to reference low-level stimulus-driven influences that may occur task-independently.

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of a reallocation of processing capacities from unattended to attended
sensory modalities. Importantly, such a push-pull mechanism necessarily
implies (I) that sensory modalities share common processing capacities
and (II) that sensory modalities compete for these common capacities.

76However, in the studies described above, participants always 77 attended to stimulation in at least one sensory modality. These situations 78 must have imposed a strong bias on any crossmodal competition when 79we assume that a biased-competition-like mechanism governs inter-80 modal attention. Participants' attention to visual stimulation, for exam-81 ple, imposed a strong bias towards visual processing while suppressing 82 auditory and/or tactile processing. As a consequence, it has not been addressed to date whether (and how) visual, auditory and tactile pro-83 cessing interacts when neither stimulation is attended. An unbiased 84 85 crossmodal competition that influences sensory stimulus processing per se has yet to be observed. As laid out above, such crossmodal compet-86 itive stimulus interactions would be the vital foundations of a biased-87 competition-like account of intermodal attention. 88

89 The present study aimed to test for crossmodal competition in the absence of an attentional bias. To this end, we conducted three experi-90 ments, all employing similar paradigms, yet, each featuring a unique 91 combination of stimuli from two sensory modalities: visual and audito-9293 ry stimuli in Experiment 1, visual and tactile stimuli in Experiment 2 94 and tactile and auditory stimuli in Experiment 3. In each experiment 95 we frequency-tagged sensory stimulus streams ('reference stimuli') that were presented for several seconds. Frequency-tagged stimuli 96 elicited oscillatory brain responses, phase-locked to stimulation that 97 indexed the ongoing sensory processing in corresponding sensory 98 05 modalities. These so-called steady-state responses (SSRs; Regan, 1989) have been shown to decrease in amplitude when a competing stimulus 100 was presented in the same sensory modality in vision (Fuchs et al., 101 2008; Keitel et al., 2010), audition (Kawase et al., 2012; Ross et al., 102 103 2012) and touch (Severens et al., 2010). During reference stimulus presentation, we therefore introduced frequency-tagged 'competitors', 104i.e. stimuli of the same and/or different sensory modality, to induce 105competition. We compared amplitude changes of reference-driven SSRs 106 between competitor-absent and competitor-present periods. 107

In all three experiments, participants were engaged in a visual discrimination task at central fixation. Participants were instructed to count brief contractions of the fixation cross while ignoring elongations. The task was designed to withdraw participant's attention from taskirrelevant peripheral visual, auditory and tactile reference stimuli and competitors in order to prevent attentional biases of inter-stimulus competition.

We hypothesized that, if, on the one hand, stimuli of different senso-115 ry modalities entered a crossmodal competition we would observe 116 effects of suppression. SSR amplitudes during the competitor-present 117 118 period would be lower than during the competitor-absent period. On the other hand, if no suppression occurred, SSR amplitudes would 119 remain constant. Additionally, in line with previous studies on intra-120modal competition, we expected reduced SSR amplitudes during 121competitor-present periods to indicate suppression between stimuli 122123within senses.

Consistently, across all combinations of stimuli, we found that suppression only occurred between stimuli of the same sensory modality but not between stimuli of different sensory modalities. Therefore, while well in line with biased competition governing processing within senses, our results challenge the notion of a biased competition for common processing capacities between senses.

## 130 General methods

## 131 Participants

Participants gave informed written consent prior to experi ments. None reported a history of neurological diseases or injury.
The experiments were conducted in accordance with the Declaration

of Helsinki and the guidelines of the ethics committee of the University 135 of Leipzig. 136

Stimuli

In each of the three experiments stimuli from two sensory modalities 138 were presented. Experiment 1 employed visual and auditory stimuli. 139 Experiment 2 featured the presentation of visual and tactile stimuli. In 140 Experiment 3, we presented auditory and tactile stimuli. Detailed de- 141 scriptions of stimuli are given below (see respective Methods sections). 142

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### Experimental procedure and task

Participants were seated comfortably in an acoustically dampened 144 and electromagnetically shielded chamber in front of a 19 in. cathode 145 ray tube (CRT) screen. The screen was set to a refresh rate of 120 frames 146 per second and a resolution of  $800 \times 600$  pixels (width  $\times$  height). 147 A white fixation cross (0.64° of visual angle in width and height, 148 luminance =  $110 \text{ cd/m}^2$ ) was presented in the center of the screen at 149 a viewing distance of 80 cm. Participants were instructed to fixate the 150 cross and to perform a demanding task, namely to discriminate be- 151 tween brief changes in length of one of the two bars of the fixation 152 cross. Length increased or decreased by 20, 40 or 60%. These changes 153 lasted 8 frames (67 ms) and occurred up to three times in every trial 154 with a minimum interval of 600 ms between subsequent onsets. Partic- 155 ipants had to count contractions in either one of the beams (=targets). 156 Corresponding elongations had to be ignored (=distracters). Responses 157 were given after each trial by pressing one of four buttons indicating 158 zero, one, two or three counted targets. Response button layout was 159 reassigned randomly for each trial to control for effects of motor prepa- 160 ration during trials. 161

All experiments formed full-factorial designs with two factors: In 162 each trial, the reference stimulus was presented in one of two sensory 163 modalities (factor *reference modality*). Additionally, a competitor was 164 presented either in the same sensory modality as the reference stimulus, in the second sensory modality or competitors from both sensory 166 modalities were presented in combination (factor *competitor modality*). 167 For example, the first experiment investigated audio-visual stimulus interactions. Thus, in one half of all trials we presented a visual reference 169 stimulus. In the other half we presented an auditory reference stimulus. 170 Both stimuli could either be paired with a visual, an auditory or a combined audio-visual competitor yielding a total of six experimental 172 conditions. 173

In each experiment, we presented 420 trials divided into 6 blocks of 174 70 trials each (~5 min duration) with trials of all six conditions 175 intermingled randomly across blocks. Participants started blocks by a 176 button press. Prior to the experiment, they performed a short training 177 session of at least one block (~5 min). After each training and experimental block, they received feedback about their average correctresponse rate. 180

As illustrated in Fig. 1A, each trial began with the presentation of the 181 fixation cross for 800 ms followed by the onset of the reference stimulus, which was presented for 3000 ms. Competitor presentation started 183 with a randomly chosen lag of 200 or 400 ms after reference stimulus 184 onset. Both stimuli were presented simultaneously for a randomly 185 chosen interval of 1200, 1400 or 1600 ms (see Fig. 1B). After competitor 186 offset, the reference stimulus remained presented until trials ended. 187

Task-relevant changes of the fixation cross only occurred during the188presentation of reference stimuli in the presence and absence of com-189petitors. At the end of each trial, the fixation cross was replaced by a190graphical scheme of the current button layout for 1700 ms prompting191participants for a response (see Fig. 1 for a trial schematic). Additionally,192participants were instructed to blink during the response prompt period193to minimize eye movements during trials. The target count in corre-194sponding trials was considered incorrect when participants missed to195press a button. Any button press started the next trial immediately.196

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