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- Can diffusion kurtosis imaging improve the sensitivity and specificity of
- ² detecting microstructural alterations in brain tissue chronically after
- ³ experimental stroke? Comparisons with diffusion tensor imaging
- 4 and histology

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ABSTRACT

Imaging techniques that provide detailed insights into structural tissue changes after stroke can vitalize develop- 21 ment of treatment strategies and diagnosis of disease. Diffusion-weighted MRI has been playing an important 22 role in this regard. Diffusion kurtosis imaging (DKI), a recent addition to this repertoire, has opened up further 23 possibilities in extending our knowledge about structural tissue changes related to injury as well as plasticity. 24 In this study we sought to discern the microstructural alterations characterized by changes in diffusion tensor 25 imaging (DTI) and DKI parameters at a chronic time point after experimental stroke. Of particular interest was 26 the question of whether DKI parameters provide additional information in comparison to DTI parameters in 27 Q3 understanding structural tissue changes, and if so, what their histological origins could be. Region-of-interest 28 analysis and a data-driven approach to identify tissue abnormality were adopted to compare DTI- and DKI- 29 based parameters in post mortem rat brain tissue, which were compared against immunohistochemistry of 30 various cellular characteristics. The unilateral infarcted area encompassed the ventrolateral cortex and the lateral striatum. Results from region- 32 of-interest analysis in the lesion borderzone and contralateral tissue revealed significant differences in DTI and 33 DKI parameters between ipsi- and contralateral sensorimotor cortex, corpus callosum, internal capsule and stri- 34 atum. This was reflected by a significant reduction in ipsilateral mean diffusivity (MD) and fractional anisotropy 35 (FA) values, accompanied by significant increases in kurtosis parameters in these regions. Data-driven analysis to 36 identify tissue abnormality revealed that the use of kurtosis-based parameters improved the detection of tissue 37 changes in comparison with FA and MD, both in terms of dynamic range and in being able to detect changes to 38 which DTI parameters were insensitive. This was observed in gray as well as white matter. Comparison against 39

immunohistochemical stainings divulged no straightforward correlation between diffusion-based parameters40and individual neuronal, glial or inflammatory tissue features.41Our study demonstrates that DKI allows sensitive detection of structural tissue changes that reflect post-stroke42tissue remodeling. However, our data also highlights the generic difficulty in unambiguously asserting specific43causal relationships between tissue status and MR diffusion parameters.44

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- Q4 Introduction

51 Discovery of curative treatments for chronic-phase stroke patients 52 may benefit immensely from improved understanding of post-stroke 53 structural tissue (re)organization. For the past few years, diffusion ten-54 sor imaging (DTI) has been a popular method to assess changes in tissue 55 structure after stroke (Dijkhuizen et al., 2012; Sotak, 2002; Sundgren

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http://dx.doi.org/10.1016/j.neuroimage.2014.04.013 1053-8119/© 2014 Published by Elsevier Inc. et al., 2004), e.g., in an experimental stroke model, it has been shown Q5 that fractional anisotropy (FA) in the corpus callosum and ipsilesional 57 corticospinal tracts has a significant correlation with functional recovery 58 (van Meer et al., 2012). 59

Recently, diffusion kurtosis imaging (DKI), an extension to DTI that 60 can capture the non-Gaussian nature of water diffusion in tissues, has 61 been shown to exhibit enhanced sensitivity to microstructural changes 62 in comparison with the conventional DTI parameters, FA and mean dif-63 fusivity (MD) (Gooijers et al., 2013; Hui et al., 2008; Jensen et al., 2005; 64 Lu et al., 2006; van Cauter et al., 2012; Wu and Cheung, 2010). While DTI 65

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has played a significant role in understanding (changes in) tissue
architecture, especially in cerebral white matter studies, DKI parameters
can potentially be more effective in elucidating cerebral gray matter
changes (Jensen et al., 2005).

The advantages offered by DKI over DTI have been reported 70 in several recent studies. In an experimental traumatic brain injury 71 72model in rats, Zhuo et al. (2012) observed the continued sensitivity of 73mean kurtosis (MK) to structural tissue changes even into sub-acute 06 stages, when DTI parameters had re-normalized. Raab et al. (2010) 75reported that gliomas of two different grades could be separated only 76by using MK values. In an experimental stroke study on the spatiotemporal evolution of kurtosis parameters (Hui et al., 2012a), the differ-77 78 ences in MK between healthy and injured tissue areas were found to 07 persist up to seven days post stroke, when MD values had normalized. Hui et al. (2012b) also reported the distinct patterns on kurtosis and 80 MD maps and the greater dynamic range of kurtosis parameters in 81 comparison with MD. Recently, Vanhoutte et al. (2013) reported the 82 suitability of DKI metrics to detect amyloid deposition in a mouse 83 model of Alzheimer's disease, when plain DTI metrics failed to do so. 84 These studies indicate that kurtosis parameters bring in original infor-85 mation, which DTI parameters may not be able to provide. Furthermore, 86 studies on brain maturation (Cheung et al., 2009), temporal lobe epilep-87 88 sy (Gao et al., 2012) and stroke lesion visualization (Grinberg et al., 2012) have reported the enhanced sensitivity of DKI parameters over 89 DTI parameters in discerning differences in tissue status. In other stud-90 ies (Blockx et al., 2012; Cheung et al., 2012; Grossman et al., 2012), a 91combined use of kurtosis and DTI parameters proved to be advanta-9293 geous in detecting tissue changes. These observations indicate that kurtosis parameters can discriminate healthy from abnormal tissue 9495 under various pathophysiological conditions. Thus, given that perilesional 96 white and gray matter undergo significant changes following stroke 97 (Floyd, 2012; Schaechter et al., 2006), DKI holds promise in furthering 98 our understanding of restructuring in both cerebral tissue types.

99 Although kurtosis parameters may provide additional information over DTI parameters in assessing microstructural changes after stroke 100 (Grinberg et al., 2012; Hui et al., 2012a, 2012b), there are few DKI 101 102 studies (Blockx et al., 2012; Zhuo et al., 2012) which empirically link 103 the changes in diffusion kurtosis-based contrasts to possible biological underpinnings, or ascertain their specificity to particular tissue alter-104 ations. These issues are central to the application of any contrast in 105imaging, and especially so in the case of diffusion-based contrasts, 106 107 since it is known that many different but concurrent biological processes can affect diffusion parameters in a similar manner (Beaulieu, 2002; 108 Wang et al., 2011). In addition, there are several other factors that 109 may further modulate diffusion metrics in a nontrivial way, such as the 110 tensor estimation approach (Veraart et al., 2013), partial volume effects 111 112 (Szczepankiewicz et al., 2013; Vos et al., 2011), and violations of model assumptions (Tournier et al., 2011; Vos et al., 2012). A better under-113 standing of the biological processes at play and how they can affect 114 diffusion contrasts is therefore crucial for evaluating the benefit of one 115contrast over the other and more importantly, in correctly interpreting 116 117 what is being observed.

118 In this work, we chose to apply a high-resolution post-mortem MRI approach, to elucidate the possible advantages of DKI parameters over 119DTI parameters in characterizing altered tissue structure chronically 120121after stroke, when injury and plasticity mechanisms are known to 122have induced tissue remodeling around a stroke lesion (Schaechter et al., 2006). We used discrepancies in the relative status of DTI and 123DKI parameters (in homologous ipsi- and contralateral regions) to 124deduce if DKI parameters provide enhanced sensitivity in comparison 125with DTI parameters under these circumstances. A data-driven ap-126proach was used to further identify regions with abnormal DKI 127 and DTI contrasts (in comparison to the values in the contralateral 128hemisphere), with the aim of detecting whether DKI provides informa-129tion unavailable from DTI parameters. To understand the histological 130131 underpinnings of the observed changes in diffusion parameters and thus discern what the specific information conveyed by DKI contrasts 132 could be, we performed immunohistochemistry and compared histological stainings with various MR diffusion parameters in the same 134 tissue samples. 135

Methods

Stroke model

Transient middle cerebral artery occlusion (MCAO) was induced in 138 adult male Wistar rats (320–400 g), housed under diurnal light condi- 139 tions as described in Memezawa et al. (1992). They were anesthetized 140 (initially with 4% Isoflurane, Intervet, Schering Plough in N2O/O2 141 (70:30), and during surgery with 2% Isoflurane in N_2O/O_2). The right 142 common carotid artery (CCA) and external carotid artery were occluded 143 permanently and the internal carotid artery (ICA) was exposed. A nylon 144 filament (top diameter 0.3–0.4 mm) was introduced into the ICA via a 145 small incision into the distal end of the CCA and advanced to occlude 146 the origin of the MCA. The subsequent decrease in cortical blood flow 147 during MCA occlusion was monitored with a laser-Doppler device and 148 arterial pO₂, pCO₂, pH and rectal temperatures were measured. After 149 occlusion of the MCA, the wounds were sutured and the rats were 150 allowed to wake up. At 90 min after occlusion, the neurological score 151 was assessed and only rats showing rotational asymmetry and dysfunc- 152 tional limb placement were included in the study. At 120 min after MCA 153 occlusion, the animals were reperfused under anesthesia by removing 154 the nylon filament. All wounds were sutured and the rats were allowed 155 to wake up. After a recovery period of eight weeks, the animals were 156 perfusion-fixed with paraformaldehyde, and decapitated. After over- 157 night post-fixation at 4 °C, brains inside intact skulls were cold-stored 158 in phosphate-buffered saline with sodium azide (0.5 g/l). Twenty-two 159 brain samples were collected in this manner and used for scanning 160 with MRI. 161

MRI data acquisition

Within 15 days after perfusion-fixation, DKI acquisitions of the 163 brains inside the intact skull were performed on a 9.4 T pre-clinical 164 MRI scanner (Varian Inc., Palo Alto, CA, USA), equipped with a gradient 165 insert capable of operating at 100 G/cm, with the following DKI scan 166 parameters: 2d-multislice echo-planar imaging (EPI) sequence; 167 repetition time (TR): 3.4 s; echo time (TE): 26 ms; interleaves: 10; 168 field-of-view (FOV): $20 \times 30 \times 11$ mm; matrix size: $100 \times 150 \times 55$ 169 (read-out × phase encoding × slices) (isotropic resolution of 200 µm). 170 Diffusion-weighting was performed in 30 optimized directions (Cook 171 et al., 2007) with parameters δ : 3.63 ms and Δ : 13.67 ms. 172

Choosing the set of appropriate b-values for a DKI study needs sev- 173 eral considerations to be taken into account, like the applicability of 174 DKI fitting under the range of b-values in use, the achievable signal- 175 to-noise ratio (SNR) etc. To date, most in-vivo DKI studies have used 176 maximum b-values of around 2000–3000 s/mm² (Jensen and Helpern, 177 2010). In animals, the mean diffusivity in perfusion-fixed brains has 178 been observed to be nearly half of that compared to in-vivo brain tissue 179 (D'Arceuil et al., 2007). Thus, the b-value range for ex-vivo DKI studies 180 in rodent brains needs to be nearly double of those used for in-vivo 181 studies, in order to achieve levels of signal attenuation where the DKI 182 model is relevant. Thus, we used four b-values (1125, 2250, 3375 and 183 4500 s/mm²) along with eight b = 0 scans for this study. Test scans 184 were performed on one sample to ensure the suitability of this range 185 of b-values for DKI. For each brain-sample, seven averages of such 128 186 $(30 \times 4 + 8)$ EPI datasets were acquired over a period of 8.5 h. 187

Estimation of DTI and DKI parameters

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Mean kurtosis (MK), parallel kurtosis (ParK) and perpendicular kurto- 189 sis (PerK) were estimated (Tabesh et al., 2011) from the reconstructed 190

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