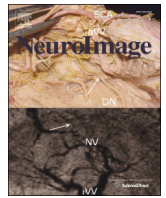




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## Review

## Show me how you walk and I tell you how you feel – A functional near-infrared spectroscopy study on emotion perception based on human gait

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## ABSTRACT

The ability to recognize and adequately interpret emotional states in others plays a fundamental role in regulating social interaction. Body language presents an essential element of nonverbal communication which is often perceived prior to mimic expression. However, the neural networks that underlie the processing of emotionally expressive body movement and body posture are poorly understood.

33 healthy subjects have been investigated using the optically based imaging method functional near-infrared spectroscopy (fNIRS) during the performance of a newly developed emotion discrimination paradigm consisting of faceless avatars expressing fearful, angry, sad, happy or neutral gait patterns. Participants were instructed to judge (a) the presented emotional state (emotion task) and (b) the observed walking speed of the respective avatar (speed task).

We measured increases in cortical oxygenated haemoglobin (O<sub>2</sub>HB) in response to visual stimulation during emotion discrimination. These O<sub>2</sub>HB concentration changes were enhanced for negative emotions in contrast to neutral gait sequences in right occipito-temporal and left temporal and temporo-parietal brain regions. Moreover, fearful and angry bodies elicited higher activation increases during the emotion task compared to the speed task. Haemodynamic responses were correlated with a number of behavioural measures, whereby a positive relationship between emotion regulation strategy preference and O<sub>2</sub>HB concentration increases after sad walks was mediated by the ability to accurately categorize sad walks.

Our results support the idea of a distributed brain network involved in the recognition of bodily emotion expression that comprises visual association areas as well as body/movement perception specific cortical regions that are also sensitive to emotion. This network is activated less when the emotion is not intentionally processed (i.e. during the speed task). Furthermore, activity of this perceptive network is, mediated by the ability to correctly recognize emotions, indirectly connected to active emotion regulation processes. We conclude that a full understanding of emotion perception and its neural substrate requires the investigation of dynamic representations and means of expression other than the face.

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71

## 72 Introduction

73 The ability to correctly detect and recognize emotional states in  
 74 others represents a fundamental requirement for adequate social inter-  
 75 action. It enables us to appropriately interpret social situations and to  
 76 adapt our own behaviour to given conditions. Impaired emotion recog-  
 77 nition is thus related to prominent deficits in social functioning. Accord-  
 78 ingly, emotion recognition deficits have been observed in a variety of  
 79 psychiatric syndromes, such as affective diseases (Gur et al., 1992;  
 80 Mandal and Bhattacharya, 1985; Milders et al., 2010; Schaefer et al.,  
 81 2010), eating disorders (Ridout et al., 2012), and different types of per-  
 82 sonality disorders (Dickey et al., 2011; Levine et al., 1997; Marissen  
 83 et al., 2012). They are also central in schizophrenia (Chan et al., 2010;  
 84 Edwards et al., 2002; Hellewell and Whittaker, 1998), and in the case  
 85 of autism, they even represent the core deficit (Hobson et al., 1988;  
 86 Philip et al., 2010).

87 Over a period of several decades, emotion recognition was investi-  
 88 gated predominantly by means of facial stimuli. Neurobiological re-  
 89 search on facial emotion perception revealed that emotion related  
 90 activation increases in brain areas associated with face perception,  
 91 such as the fusiform face area (FFA; Ganel et al., 2005; Jacob et al.,  
 92 2012; Vuilleumier and Pourtois, 2007; Vuilleumier et al., 2001), superior  
 93 temporal sulcus (Haxby et al., 2000; Winston et al., 2004), and inferior  
 94 occipital gyrus (Fusar-Poli et al., 2009). Moreover, emotional face ex-  
 95 pressions have been reported to trigger neural activation within a dis-  
 96 tributed network, including the amygdala, the insula, the cerebellum,  
 97 and prefrontal as well as orbitofrontal brain regions, (see Fusar-Poli  
 98 et al., 2009), whereupon regional activation increases partly depend  
 99 on the respective emotion perceived.

100 Although facial expression plays – without question – a crucial  
 101 role in human social function, there are good reasons to broaden  
 102 emotion recognition research by additionally studying body lan-  
 103 guage expressions. First, in a number of daily situations body lan-  
 104 guage is more accessible than facial expressions, e.g. if the face is  
 105 averted or a person is observed from a distance. It has further  
 106 been shown that the perception of facially expressed emotions is  
 107 significantly affected by whole-body expressions (Meeren et al.,  
 108 2005; Van den Stock et al., 2007). Moreover, although traditional  
 109 and widely accepted approaches assume separate processing of facial  
 110 identity and emotion following an initial stage of structural encoding  
 111 (Breen et al., 2000; Bruce and Young, 1986), there is some evidence  
 112 that the recognition of facial expression and identity is not entirely in-  
 113 dependent (Atkinson et al., 2005; Ellamil et al., 2008; Schweinberger  
 114 and Soukup, 1998). While to our knowledge no studies have so far in-  
 115 vestigated interaction effects of emotion and identity for body  
 116 movements, there are some studies showing that identity recogni-  
 117 tion and discrimination from moving bodies is, though possible, as-  
 118 sociated with very poor performance (Kozlowski and Cutting, 1977;  
 119 Westhoff and Troje, 2007), indicating that identity is unlikely to  
 120 strongly affect emotion processing from body language. Considering  
 121 that facial emotion recognition, in contrast, may indeed be affected  
 122 by identity, a holistic view of neural and cognitive mechanisms under-  
 123 lying emotion perception from body language appears even more  
 124 reasonable.

Against this background, an increasing number of functional brain  
 125 imaging studies have addressed the anatomical and functional sub-  
 126 strates of emotion perception based on body expressions. Beyond face  
 127 selective areas, circumscribed brain regions have been reported that  
 128 are specialized in processing human body posture, body movements  
 129 and/or body expressions, partially overlapping with those areas associ-  
 130 ated with the recognition of facial expressions: ventral parts of the fusi-  
 131 form gyrus (fusiform body area, FBA; Peelen and Downing, 2005, 2007;  
 132 Schwarzlose et al., 2005), a region within the lateral occipitotemporal  
 133 cortex (extrastriate body area, EBA; Downing et al., 2001), and the supe-  
 134 rior temporal sulcus (STS; Grossman et al., 2000; Krakowski et al.,  
 135 2011). Using anatomical landmarks, a recent study further identified  
 136 the inferior temporal gyrus (ITG), which is directly neighboured to the  
 137 lateral fusiform cortex, as a relevant region for the perception of  
 138 human bodies and limbs (Weiner and Grill-Spector, 2011). Recent stud-  
 139 ies explicitly assessing the neural processing of body expressions indi-  
 140 cate that emotions indeed modulate brain activity within these brain  
 141 regions. In addition to enhanced amygdala activity (Hadjikhani and de  
 142 Gelder, 2003; Peelen et al., 2007), emotion-related increases in brain ac-  
 143 tivation after the presentation of body expressions have been observed  
 144 in the FBA (Hadjikhani and de Gelder, 2003; Kret et al., 2011; Pichon  
 145 et al., 2008; van de Riet et al., 2009), the ITG (De Gelder et al., 2004b;  
 146 Prochnow et al., 2013), the EBA (Atkinson et al., 2012; see de Gelder  
 147 et al., 2010 for an overview; Grèzes et al., 2007; Kret et al., 2011;  
 148 Peelen et al., 2007; Pichon et al., 2008), the STS (Grèzes et al., 2007;  
 149 Kret et al., 2011; Peelen et al., 2010; Van den Stock et al., 2011), the  
 150 temporo-parietal junction (TPJ; Grèzes et al., 2007; Pichon et al., 2009;  
 151 Sinke et al., 2009) and frontal brain regions (de Gelder et al., 2004a;  
 152 Peelen et al., 2010; van de Riet et al., 2009; Van den Stock et al., 2011).  
 153 It has to be noted, that these effects strongly depend on whether the af-  
 154 fective state was presented by means of static vs. dynamic body expres-  
 155 sions. Recent research indicates that activation in the STS, a region that  
 156 is known for its crucial role in social information perception (Kreifelts  
 157 et al., 2009, 2010), is enhanced by emotional compared to neutral  
 158 body expressions only if dynamic stimuli are presented (Grèzes et al.,  
 159 2007). Moreover, emotional modulation of the EBA, in particular, is  
 160 only evident in studies using dynamic, but not static, body expressions  
 161 (de Gelder et al., 2010). 162

Human gait reflects a specific type of dynamic body motion which  
 163 provides sufficient information for the perception of expressed motiva-  
 164 tional or emotional states (Karg et al., 2010; Montepare et al., 1987,  
 165 1999; Roether et al., 2009a,b). Using biological motion stimuli in  
 166 terms of animated point-light displays, previous studies showed that  
 167 emotions from point-light walkers can be reliably discriminated  
 168 (Atkinson et al., 2004; Clarke et al., 2005; Dittrich et al., 1996) and,  
 169 moreover, it has been demonstrated that emotional content facilitates  
 170 gait identification (Chouchourelou et al., 2006; Ikeda and Watanabe,  
 171 2009). Until now, only very few brain imaging studies on the neuro-  
 172 functional substrates of the perception of dynamic body expressions  
 173 from gait patterns exist. Heberlein et al. (2004) used dynamic point-  
 174 light stimuli that were based on walking actors expressing four different  
 175 emotions (happiness, anger, fear, and sadness) to determine cortical re-  
 176 gions that are involved in emotion recognition in healthy subjects and  
 177 patients with brain damage. Their findings suggest a crucial role of the  
 178

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