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ABSTRACT

The resting state dynamics of the brain shows robust features of spatiotemporal pattern formation but 22 the actual nature of its time evolution remains unclear. Computational models propose specific state space 23 organization which defines the dynamic repertoire of the resting brain. Nevertheless, methods devoted to 24 the characterization of the organization of brain state space from empirical data still lack and thus preclude 25 comparison of the hypothetical dynamical repertoire of the brain with the actual one. 26 We propose here an algorithm based on set oriented approach of dynamical system to extract a coarse-grained 27 organization of brain state space on the basis of EEG signals. We use it for comparing the organization of the 28

state space of large-scale simulation of brain dynamics with actual brain dynamics of resting activity in healthy 29 subjects. 30 The dynamical skeleton obtained for both simulated brain dynamics and EEG data depicts similar structures. 31

The skeleton comprised chains of macro-states that are compatible with current interpretations of brain 32 functioning as series of metastable states. Moreover, macro-scale dynamics depicts correlation features 33 that differentiate them from random dynamics. 34 We here propose a procedure for the extraction and characterization of brain dynamics at a macro-scale level. 35

It allows for the comparison between models of brain dynamics and empirical measurements and leads to the 36 definition of an effective coarse-grained dynamical skeleton of spatiotemporal brain dynamics. 37

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43 1. Introduction

44 Brain activity is a spatiotemporal signal with deterministic and stochastic characteristics. As time evolves the brain dynamics traces 45out a trajectory in a high-dimensional state space. The objective of all 46analysis methods is to provide a characterization of this trajectory. 4748 The most frequently used approaches apply some sort of information compression with the objective that the achieved reduction in com-49 plexity is still sufficiently informative to allow for comparisons between 5051data sets, let it be different experimental conditions, different subject groups or between model and empirical data. Such approaches include 52entropy, dimension estimates, various forms of complexity, Lyapunov 53 54exponents, and covariances just to name a few (see e.g. Stam (2005) and Subha et al. (2010), for reviews). For each of these measures multi-55ple derivatives exist with various degrees of sophistication. All of these 56approaches have in common by construction that they lose their sense 5758 of dynamics, that is the actual notion of temporal evolution is lost. As a

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1053-8119/\$ – see front matter © 2013 Published by Elsevier Inc. http://dx.doi.org/10.1016/j.neuroimage.2013.04.041 consequence quantitative descriptions of brain activity are impove- 59 rished and only capture limited aspects of the brain trajectory and 60 none of its temporal nature. 61

We illustrate this thought along a hotly debated example in neuro- 62 science, that is the resting state dynamics of the brain, which is 63 typically accessed through functional magnetic resonance imaging 64 (fMRI), electroencephalographic (EEG) and magnetoencephalographic 65 (MEG) measurements. The fMRI measures the blood oxygenation 66 dependent level (BOLD) signals of the hemodynamic response on high 67 resolution spatial scales (mm) and slow temporal scales (sec). Here a 68 limited number of 8-10 robust network patterns of BOLD activity 69 have been observed in the primate brain during rest in the BOLD signals 70 (Biswal et al., 1995; Damoiseau et al., 2006; Raichle et al., 2001). These 71 patterns are characterized by spontaneous intermittent coherent fluctu-72 ations on an ultraslow scale of <0.1 Hz. Correlation patterns amongst 73 the resting state networks with strong positive and negative correla-74 tions have been observed (Fox et al., 2005). Though this finding pro-75 vides a constraint on the permissible spatiotemporal brain dynamics, 76 the details remain still unclear. We still do not know if this temporal 77 dynamics translates into an oscillatory coactivation of selected resting 78 state networks, or a pattern competition, or even a hopping process 79





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from one resting state network to another. These important details will 80 81 express themselves through features of the trajectories in the state space of the brain network, including their geometry and topology, as 82 83 well as zones of increased convergence and divergence of the trajectory densities. The total loss of temporal dynamics has been nicely 84 demonstrated by Wen et al. (2012) who applied common techniques 85 of prevailing statistical approaches to surrogate fMRI data (subjected 86 87 to covariant randomization of the temporal order) and obtained identi-88 cal results regarding the resting state networks.

89 We find a similar situation in electroencephalographic data. First 90 of all, the BOLD signal and its electrophysiological correlate show a clear but non-trivial relationship. To investigate this relationship 9192between the BOLD signal and its underlying neural activity Logothetis 93 et al. (2001) demonstrated in anesthetized monkeys that simultaneous fMRI and intracortical electrical recordings of local field potentials show 94 positively correlated spontaneous fluctuations. Laufs et al. (2003) 95 recorded simultaneous fMRI and EEG in awake human subjects at rest 96 and found that the β -band power was positively correlated with the 97 BOLD signals in the posterior cingulate, the precuneus, the temporo-98 parietal and dorsomedial prefrontal areas. These areas are known 99 from one of the resting state network patterns referred to as the Default 100 Mode Network (DMN), which is characterized by a reduced activation 101 102 during task conditions and elevated activation in absence of any task. Mantini et al. (2007) also showed that different frequency bands corre-103 late differently with the various resting state network patterns, whereas 104 a recent study by Scheeringa et al. (2011) demonstrated that the BOLD 105signal in humans performing a cognitive task is related to synchroniza-106 107 tion across different frequency bands. In the latter, trial-by-trial BOLD fluctuations correlated positively with high γ power of the EEG and 108 negatively with α and β . Hence, there is empirical evidence that the spa-109 tiotemporal dynamics of the BOLD signal is related to the spatiotempo-110 111 ral dynamics of electroencephalographic signals, but the actual nature 112of the spatiotemporal organization, in particular its time evolution is 113 not clear.

The situation is similar for the theoretical studies in the resting 114 state literature, certainly motivated by the objective to achieve corre-115spondence with empirical data (see Deco et al. (2011), for a recent re-116 117 view). Essentially, in a series of theoretical studies (Deco et al., 2009; Ghosh et al., 2008; Honey et al., 2007) the authors demonstrate that 118 the resting state pattern formation can be understood as emerging 119 from the network interactions of a brain network with a full brain 120 121 connectivity derived from either the CoCoMac database or using tractographic data (DTI/DSI). Convergent evidence based on these 122 123 theoretical models was provided that multiple states exist during 124 the resting state, which are explored in a stochastic process driven by noise. Such models agree on the importance of noise-driven explo-125126ration of the brain dynamical repertoire, but do not fully agree on the deterministic skeleton of this "dynamical repertoire". The dynamic 127 repertoire is the set of all the macrostates that may be occupied during 128the resting state dynamics and the "deterministic skeleton" is the set 129of transitions between these macrostates. Taken together they thus 130131 include the geometry and topology of the trajectories, as well as 132their convergence properties indicating stability. One can view the ensemble of dynamic repertoire and skeleton as a graph composed of 133macrostates on the nodes and the edges indicating the possible transi-134tions. Such a visualization is effectively a discrete representation of the 135136 continuous time evolution of the brain network in its state space. Ghosh et al. (2008) named the noise driven exploration of such a 137 brain dynamics graph "the exploration of the dynamic repertoire of 138 the human brain" due to the similarity between the resting state pat-139terns and network activations known from task-related conditions. 140

Initial interpretations of neuronal dynamics have mainly focused
on attractor dynamics (Amit, 1989; Hopfield, 1982), leading to a
clear hypothesis concerning the organization of the brain state space.
Nevertheless, it soon appeared that transients and metastability play
an important role due to e.g. high dimension or sparse connectivity

(Friston, 1997; Tsuda, 2001). This leads to the proposal that such a com- 146 plex coordinated system as the brain is best understood as a multistable 147 and metastable system at many levels from multifunctional neural 148 circuits to large-scale neural circuits (Kelso, 2012). Nevertheless, these 149 proposals have been quantitatively compared with real data (fMRI, 150 EEG or MEG) mainly on the basis of the comparison of time-series of 151 spectral contents (Freyer et al., 2011) or the comparisons of covariance 152 matrices (functional connectivity) (Deco and Jirsa, 2012) between 153 experiment and model. For example, Deco and Jirsa (2012) recently 154 correlated the functional connectivity matrices of the simulated brain 155 network data with the experimental BOLD signals and obtained high 156 correspondence. They also demonstrated the existence of five resting 157 state patterns for an elevated value of coupling strength but also 158 found that the best fit of functional connectivity is obtained at a lower 159 level of coupling, i.e. when the resting state patterns do not exist as 160 stable states in the state space, but are close to their creation 161 (a so-called bifurcation). For this reason, Deco and Jirsa named them 162 ghost attractors, which comprise the dynamic repertoire of the brain 163 network. Here a subtle distinction plays an important role: These 164 ghost attractors are assumed to exist due to their parametric proximity 165 to the bifurcation. This situation is called criticality. The assumption 166 behind this hypothesis is that below the bifurcation point (subcritical) 167 and above (supercritical), the density of the trajectories in the state 168 space changes smoothly. Though this assumption is reasonable, it still 169 remains to be shown that the ghost attractors indeed exist in the sub- 170 critical regime. Once their existence, and thus the dynamic repertoire, 171 is established, then this will be the first step towards the full character- 172 ization of the trajectories within the state space connecting the ghost 173 attractors, and hence the full characterization of the spatiotemporal 174 brain dynamics. 175

As we illustrated above, although the presence of a spatio-temporal 176 organization in brain activity has clear empirical support, the under- 177 standing and interpretation of this organization remains an open 178 problem. Moreover, the deterministic dynamical skeleton in the state 179 space is not easily characterized at the level of scalar metrics (spectral 180 analysis or even correlation) and render the comparison of model and 181 empirical brain activity in its state space indispensable. From the view 182 point of dynamical system theory the only unambiguous representa- 183 tion of a dynamic system is given by its flow in the state space. Said 184 differently, two dynamical systems are different from each other if 185 and only if the topology of their flow is different. If not, then they 186 belong to the same class of systems. The flow prescribes the evolution 187 of the state vector of the system as a function of its current state and is 188 most commonly written as a set of differential equations. In terms of 189 state space analysis, two approaches are typically taken in the litera- 190 ture. The first approach is based on nonlinear time series analysis de- 191 rived from dynamical systems theory (see e.g. Kantz and Schreiber 192 (2004)) and has mostly focused on the properties of continuous 193 trajectories in the brain's state space. The second approach is based 194 on the stochastic approach of dynamical systems (Lasota and Mackey, 195 1994). The main difference between these two complementary 196 approaches lies in the fact that the first one supposes a differential 197 structure of the trajectory, whereas the second one does not and thus 198 allows one to link dynamical systems and stochastic processes. The 199 stochastic approach of dynamical systems thus becomes a natural 200 theoretical setting for the characterization of brain dynamics all the 201 more that high dimensional dynamics can be hardly differentiated 202 from stochastic processes (Lachaux et al., 1997). Nevertheless, there 203 are subtleties that go beyond the scope of this article, but should be 204 kept in mind when interpreting data. To some extent noise, which is 205 invariably present in biological systems, can obscure the underlying 206 difference but not always: A subcritical bifurcation is only smoothed 207 into an apparent supercritical bifurcation by additive noise of a suffi- 208 cient amplitude - otherwise the discontinuity of the former remains. 209 In the presence of multiplicative noise, the statistics of the system 210 will be also qualitatively different. Similarly, the symmetry of noise 211

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