## ARTICLE IN PRESS

Autoimmunity Reviews xxx (2015) xxx-xxx



Contents lists available at ScienceDirect

### Autoimmunity Reviews



journal homepage: www.elsevier.com/locate/autrev

#### 1 Review

# **Q1** New insights into immune mechanisms underlying autoimmune

## <sup>3</sup> diseases of the gastrointestinal tract

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#### 7 ARTICLE INFO

Article history:
 Received 1 August 2015
 Accepted 5 August 2015
 Available online xxxx
 Kevwords:

Autoimmune enteropathy
 Autoimmune atrophic gastritis
 Celiac disease

- 16 Cytokine
- 17 Ulcerative colitis

#### ABSTRACT

Recent progresses in the immune mechanisms implicated in chronic inflammatory disorders have led to a more 18 in-depth knowledge of the pathogenesis of autoimmune diseases of the gastrointestinal tract, including autoim-19 mune atrophic gastritis, celiac disease, autoimmune enteropathy and ulcerative colitis. While the pathogenic role 20 of specific circulating autoantibodies, i.e., respectively anti-parietal cell, anti-tissue transglutaminase, anti-21 enterocyte and anti-neutrophil cytoplasmic, is still controversial, some common T-cell mediated mechanisms 22 for inflammation – increase in T helper cell type 1/type 17 pro-inflammatory cytokines- or losing self-23 tolerance-abnormal regulatory T cell function – are recognized as crucial mediators of the tissue damage causing 24 atrophy of the stomach mucosa in autoimmune atrophic gastritis, villous flattening of the small bowel in celiac 25 disease and autoimmune enteropathy, and mucosal ulceration of the colon in ulcerative colitis. This review 26 deals with novel advances in the immunological bases of the aforementioned autoimmune gastrointestinal 27 disorders, and it also highlights immune mechanisms of progression from chronic inflammation to cancer and implications for new therapeutic targets. 29

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> Abbreviations: AAG, autoimmune atrophic gastritis; AEA, anti-enterocyte antibody; AIE, autoimmune enteropathy; APECED, autoimmune polyendocrinopathy-candidiasisectodermal dystrophy; ATP-ase, adenosine triphosphatase; CD, celiac disease; ECL, enterochromaffin-like; FasL, Fas ligand; HLA, human leukocyte antigen; HNE, human neutrophil elastase; HP, *Helicobacter pylori*; IFN, interferon; IEL, intraepithelial lymphocyte; IL, interleukin; IPEX, immune dysregulation, polyendocrinopathy, enteropathy, X-linked; LPMC, lamina propria mononuclear cell; MAd-CAM, mucosal addressin cell-adhesion molecule; MHC, major histocompatibility complex; MMP, matrix metalloproteinase; p-ANCA, perinuclear anti-neutrophil cytoplasmic antibodies; PCA, parietal cell autoantibody; RCD, refractory celiac disease; STAT, signal transducer and activator of transcription; TCR, T-cell receptor; TGF, transforming growth factor; Th, T helper cell type; TNF, tumor necrosis factor; TSLP, thymic stromal lymphopoietin; tTG, tissue transglutaminase; UC, ulcerative colitis.

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#### http://dx.doi.org/10.1016/j.autrev.2015.08.004 1568-9972/© 2015 Published by Elsevier B.V.

### 1. Introduction

The increasing epidemiologic burden of autoimmunity in the 47 Western world has led to a more in-depth dissection of the immune 48 mechanisms underlying chronic inflammation in a number of autoim- 49 mune diseases [1]. The latter are a group of disorders sustained by an 50 abnormal immune response against own tissues, which results in tissue 51 damage and autoimmunity, and clinically characterized by a long-term 52 and often disabling outcome, and a possible evolution into cancer [2,3]. 53 Although autoimmune diseases display significant clinical differences, 54 many pathogenic mechanisms overlap among them, including losing 55 self-tolerance due to reduced deletion of autoreactive CD4<sup>+</sup> T lympho- 56 cytes and defective regulatory T cell function, or an abnormal immune 57 response due to an exaggerated production of pro-inflammatory 58

Please cite this article as: Di Sabatino A, et al, New insights into immune mechanisms underlying autoimmune diseases of the gastrointestinal tract, Autoimmun Rev (2015), http://dx.doi.org/10.1016/j.autrev.2015.08.004

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cytokines which mediate organ-specific lesions [4]. The comprehension
of these pathogenic mechanisms has guided the development of new
treatment modalities for patients with autoimmune disorders aimed
at reversing lymphocyte dysfunction, neutralizing pro-inflammatory
signaling or restoring self-tolerance [5,6].

From the gastrointestinal tract, which plays a crucial role in modu-64 65 lating the immune system homeostasis [7], a number of autoimmune 66 disorders may arise, such as autoimmune atrophic gastritis (AAG), celi-67 ac disease (CD), autoimmune enteropathy (AIE) and ulcerative colitis 68 (UC). Apart from AIE, which is a rare disorder mainly affecting children, although cases in adults have been described [8], the other three condi-69 tions are characterized by a quite high prevalence, especially in the case 70 of AAG [9,10] and CD [11] (Table 1). Even if the four abovementioned 71disorders display many differences according to location, type and 72diagnostic accuracy of circulating autoantibodies, and specific targets 73 of the humoral immune response, they share female predominance, 74 common pathogenic mechanisms in terms of up-regulated pro-75 76 inflammatory cytokines and epithelial cell apoptosis, and a common predisposition to tumor development (Table 1). 77

This review focuses on novel advances in the immune pathogenesis
of autoimmune gastrointestinal disorders, and highlights immune
mechanisms implicated in their progression to cancer and new treatments based on rational targeting of immune pathways.

#### 82 **2. Autoimmune atrophic gastritis**

AAG is an organ-specific autoimmune disease affecting the corpus-83 84 fundus mucosa of the stomach, and in the majority of patients it is 85 associated with serum anti-parietal cell (PCA) and anti-intrinsic factor 86 autoantibodies [12,13]. The pathology of AAG is characterized by muco-87 sal lymphocyte infiltration, destruction of specialized parietal and 88 zymogenic cells resulting in mucosal atrophy (reduction or disappear-89 ance of native gastric glands), and hyperplasia of immature mucous neck cells. Enhanced differentiation of immature precursor neck cells 90 into histamine-producing enterochromaffin-like (ECL) cells, likely sec-91ondary to hypergastrinemia, is a prominent finding of advanced AAG, 9293 and this results in ECL cell hyperplasia which, in turn, predisposes to an increased risk for ECL cell tumors [13,14]. 94

Most of the knowledge underlying the pathogenic mechanisms of 95 AAG derives from studies conducted on experimental models. In partic-96 ular, it has been shown that the gastric proton pump  $H^+/K^+$  adenosine 97 98 triphosphatase (ATP-ase) located on parietal cells is the major autoantigen recognized by mucosal T cells, which can be elicited in 99 100 non-thymectomized mice by immunization with either gastric mucosal 101 extracts or gastric ATP-ase [15–17], or by neonatal thymectomy [18]. Among the different T cell subsets, gastric  $H^+/K^+$  ATP-ase CD4<sup>+</sup>, but 102

not CD8<sup>+</sup> T cells, seems to play a dominant role [19] and there is evidence that various pro-inflammatory cytokines are over-expressed in murine inflamed gastric mucosa, including interferon (IFN)- $\gamma$ , interleukin (IL)-21 and tumor necrosis factor (TNF)- $\alpha$  [20,21]. Recently, thymic stromal lymphopoietin (TSLP), an epithelial-derived anti-inflammatory cytokine with polarizing effects on dendritic cells [22], has been implicated in experimental AAG [23]. In particular, deficiency of TSLP receptor exacerbated the severity of gastric lesions, thus suggesting that, at least in experimental models, TSLP receptor-mediated signaling negatively regulates organ-specific T helper cell type (Th)1-dependent autoimmunity [23]. Finally, the recent demonstration of high levels of IL-17A in the gastric mucosa and stomach-draining lymph nodes of mice with severe AAG [24] supports the involvement of Th17 cells in experimental AAG.

Less is known regarding molecular mechanisms underlying AAG in 116 humans, and these are schematically reported in Fig. 1. T cells isolated 117 from gastric biopsies of patients with AAG showed that most T cell 118 clones are CD4<sup>+</sup>, whereas CD8<sup>+</sup> T cells represent a minority of the 119 lymphoid infiltrate [25]. Accordingly, a considerable proportion of 120 CD4<sup>+</sup> T cell clones proliferate in response to gastric H<sup>+</sup>/K<sup>+</sup> ATP-ase, 121 whereas no proliferation was evident for CD8<sup>+</sup> T cell clones. Most of 122 the H<sup>+</sup>/K<sup>+</sup> ATP-ase-specific T cell clones produce IFN- $\gamma$ , but not IL-4 123 or IL-5, while only a few clones secrete both T helper cell (Th)1 and 124 Th2 cytokines [25]. 125

From a translational point of view, TNF- $\alpha$  together with IL-21 represents the only two targets which have been in vivo neutralized in experimental AAG with amelioration of gastric lesions; [21] however, no data are available attempting to counteract specific inflammatory mediators of in AAG patients. 130

Autoreactive Th1 cells may induce gastric epithelial cell death 131 through both Fas–Fas ligand (FasL) and perforin/granzyme B pathway. 132 Th1 cytokines can increase the expression of Fas and major histocom-133 patibility complex (MHC) class II molecules on gastric epithelial cells 134 [26], thus inducing them to function as antigen presenting cells, as 135 proved by cathepsin expression on gastric epithelial cells [27]. Fas 136 expression in the corpus–fundus mucosa co-localizes with the expres-137 sion of  $H^+/K^+$  ATP-ase [28]. Killing of Fas<sup>+</sup> target cells by FasL-138 expressing  $H^+/K^+$  ATP-ase specific Th1 cells isolated from the gastric 139 mucosa of AAG patients was demonstrated in vitro [25]. Fas-mediated 140 parietal cell death may favor the expansion of immature progenitors 141 which fail to differentiate into zymogenic cells [14,29].

There is evidence that humoral immunity may also have a role in 143 AAG. Chronic T cell dependent activation of B cells seem to be responsi-144 ble for the local production of PCA, which are usually detected in the serum of AAG patients (Table 1) [25], and an increase of IgG4-positive 146 plasma cells has been detected in gastric biopsies (Fig. 2) [30]. The 147 *primum movens* of autoimmunity in AAG is still unknown, and it is 148

#### t1.1 Table 1

t1.2	Main characteristics	of autoimmune	diseases of	of the gastrointestinal tract.	
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t1.3	Disease	Prevalence	F/M	Circulating autoantibody	Autoantibody target	Autoantibody pathogenicity	Autoantibody SE/SP	Dominant cytokine	Mechanism of epithelial damage	Neoplastic complication	Current therapy
t1.4 t1.5	Autoimmune atrophic gastritis	1.9/100	3:1	PCA	H <sup>+</sup> /K <sup>+</sup> ATPase	Uncertain	90%/50%	IFN-γ	Apoptosis	Gastric tumors	Vitamin B12 supplementation
t1.6	Celiac disease	1/100	2:1	tTGA	tTG	Uncertain	99%/100%	IFN-γ, IL-17A, IL-15	Apoptosis	EATL	GFD
t1.7	Autoimmune enteropathy	Rare	1:1	AEA	Enterocyte	Yes	Unknown	Unknown	ADCC	EATL	Steroids, immunosuppressants
t1.8	Ulcerative colitis	0.01/100	2:1	p-ANCA	Perinuclear neutrophil protein	No	90%/40%	TNF-α, IL-17A	Apoptosis	Colorectal cancer	Mesalazine, steroids, immunosuppressants, anti-TNF- $\alpha$ antibodies

t1.9 ADCC, antibody-dependent cell-mediated cytotoxicity; AEA, anti-enterocyte antibody; p-ANCA, perinuclear anti-neutrophil cytoplasmic antibody; EATL, enteropathy-associated T cell lymphoma; F, female; GFD, gluten-free diet; IFN, interferon; IL, interleukin; M, male; PCA, parietal cell autoantibody; SE, sensitivity; SP, specificity; TNF, tumor necrosis factor; tTG, tissue

t1.11 transglutaminase; tTGA, anti-tissue transglutaminase antibody.

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