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1 Review

Q1 Skewing dendritic cell differentiation towards a tolerogenic state for
3 recovery of tolerance in rheumatoid arthritis

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8 ABSTRACT

To date, the available options to treat autoimmune diseases such as rheumatoid arthritis (RA) include traditional corticoids to biological drugs, which are not exempt of adverse effects. The development of cellular therapies based on dendritic cells with tolerogenic functions (ToIDCs) has opened a new possibility to efficiently eradicate symptoms and control the immune response in the field of autoimmunity. ToIDCs are an attractive tool for antigen-specific immunotherapy to restore self-tolerance in RA and other autoimmune disorders. A promising strategy is to inject autologous self-antigen-loaded ToIDCs, which are able to delete or reprogram autoreactive T cells. Different protocols for the generation of stable human ToIDCs have been established and the therapeutic effect of ToIDCs has been investigated in multiple rodent models of arthritis. Pilot studies in humans confirmed that ToIDC application is safe, encouraging clinical trials using self-antigen-loaded ToIDCs in RA patients. Although an abundance of molecular regulators of DC functions has been discovered in the last decade, no master regulator of tolerogenicity has been identified yet. Further research is required to define biomarkers or key regulators of tolerogenicity that might facilitate the induction and monitoring of ToIDCs.

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Abbreviations: RA, rheumatoid arthritis; DCs, dendritic cells; ToIDCs, tolerogenic dendritic cells; NSAIDs, non-steroidal anti-inflammatory drugs; GC, glucocorticoids; DMARDs, disease-modifying anti-rheumatic drugs; TLRs, toll-like receptors; CLR, cell surface C-type lectin receptors; NOD, nucleotide-binding oligomerization domain; NRLs, (NOD)-like receptors; RIG, retinoid acid-inducible gene; RLRs, (RIG) I-like receptors; PAMPs, pathogen-associated molecular patterns; DAMPs, damage-associated molecular patterns; CXCR4, C-X-C motif chemokine receptor 4; CCR7, C-C motif chemokine receptor 7; MHC, major histocompatibility complex; GM-CSF, granulocyte macrophage colony-stimulating factor; IL, interleukin; TCR, T cell antigen receptors; Tregs, natural occurring regulatory T cells; Tr1, IL-10-secreting type 1 regulatory T cells; TGFβ, transforming growth factor-beta; IFNγ, interferon-gamma; Th1, IFNγ-producing type 1 T helper cells; Th2, IL-4-producing type 2 T helper cells; Th17, IL-17-producing type 17 T helper cells; RANK, receptor activator of nuclear factor κB; RANKL, ligand of RANK; TNF, tumor necrosis factor; BAFF, B-cell-activating factor of the TNF family; IDO, indoleamine 2,3-dioxygenase; LPS, lipopolysaccharide; Dex, dexamethasone; VD3, vitamin D3; NF-κB, nuclear factor kappa-light-chain-enhancer of activated B cells; CTLA-4, cytotoxic T lymphocyte-associated antigen-4; MPLA, monophosphoryl lipid A; GMP, good manufacturing practice; CIA, collagen-induced arthritis; CII, type II collagen; STAT, signal transducer and activator of transcription; SOCS, suppressor of cytokine signaling; EAE, experimental autoimmune encephalomyelitis; PPAR, peroxisome-proliferator activated receptor; GILZ, glucocorticoid-induced leucine zipper; ILT3, immunoglobulin-like transcript 3; PD-L1, programmed death ligand 1; AhR, aryl hydrocarbon receptor; BLIMP-1, B lymphocyte-induced maturation protein-1; ITIM, immunoreceptor tyrosine-based inhibitory motifs; RALDH2, retinaldehyde dehydrogenase type 2; TAM, Tyro3/Axl/Mer family receptor tyrosine kinases; IFNAR, type I interferon receptor; TNFAIP3, TNF alpha-induced protein 3 gene; RIP1, receptor interacting protein-1; TRAF6, TNF receptor associated factor 6; MFG-E8, milk fat globule-epidermal growth factor 8; HO-1, heme oxygenase-1; CO, carbon monoxide; SHP-1, Src homology region 2 domain-containing phosphatase-1; ID3, inhibitor of DNA binding 3; DCIR, DC immunoreceptor; DC-SIGN, DC-specific intercellular adhesion molecule-3-grabbing non-integrin; ERK, extracellular signal-regulated kinase; FcγRIIB, low affinity immunoglobulin gamma Fc region receptor II-B; FICZ, 6-formylindolo [3,2-b] carbazole; Gas6, growth arrest-specific gene 6; IRF-3, interferon regulatory factor 3; ISRE, interferon-stimulated response element; TCDD, 2,3,7,8-tetrachlorodibenzo-p-dioxin; TZD, thiazolidinediones.

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51

52 1. Introduction

53 Rheumatoid arthritis (RA) is a chronic inflammatory joint disease,
54 resulting from an autoimmune response to synovial antigens, and lead-
55 ing to cartilage and bone destruction that causes pain and disability [1].
56 The treatment for RA is based on a wide variety of therapeutic tools that
57 include non-steroidal anti-inflammatory drugs (NSAIDs), glucocorticoids
58 (GCs), disease-modifying anti-rheumatic drugs (DMARDs) and
59 biologic agents [2]. While NSAIDs constitute only a symptomatic relief
60 and therefore they are not recommended as monotherapy, GC and
61 DMARDs are immunosuppressive drugs with a wide spectrum of action,
62 which are able to arrest the disease progression, but causing severe
63 long-term adverse effects [3]. To overcome this issue, biologic agents
64 intended to block specific pathways or targets involved in RA pathology
65 have been introduced in the last decade. At present, biologic drugs ap-
66 proved for use in RA include cytokines- and cytokine receptor-blocking
67 antibodies or cytokine soluble receptors, chimeric molecules that inter-
68 fere with T-cell activation [4], B cell-depleting antibodies, and biologic
69 inhibitors of cell signaling [5]. Although these therapies have a lower
70 toxicity profile than DMARDs, they can occasionally cause severe
71 complications, such as infections, autoimmunity or cancer [2]. More-
72 over, a considerable amount of patients still remain refractory to single
73 or combined therapy with DMARDs and biologic agents, compelling the
74 pharmaceutical industry to develop new members of both families of
75 drugs, which are currently under evaluation in multiple clinical trials
76 [6]. These drugs do not restore self-tolerance and therefore accomplish
77 only a temporary disease remission requiring life-long treatment.
78 Emerging therapeutic approaches focus on strategies to interfere with
79 the generation and amplification of autoimmune responses, to achieve
80 permanent restoration of self-tolerance without affecting protective
81 immune functions [7,8].

82 Dendritic cells (DCs) are an attractive target of immunotherapy
83 since they efficiently present antigens to T cells and govern the induc-
84 tion of immunity and tolerance dependent on their expression level of
85 stimulatory and inhibitory ligands, receptors and soluble mediators
86 [9]. A promising strategy is to modulate DCs in such a way, that they
87 are able to silence or reprogram autoreactive T cells to a regulatory
88 phenotype *in vivo*.

89 This article discusses the role of DCs in immune homeostasis and RA
90 pathogenesis, the strategies for their modulation to a tolerogenic state
91 (ToIDCs), as well as the effects that ToIDCs exert in pre-clinical models
92 of autoimmune diseases and clinical trials in patients. Additionally,
93 putative molecular regulators of DC tolerogenicity are reviewed.

94 2. Dendritic cells command T cell immunity and tolerance

95 2.1. Dendritic cell biology

96 Under steady state conditions, different subtypes of immature DCs
97 residing in peripheral and lymphoid tissues or circulating in the blood,
98 act as sentinels for incoming antigens. DCs become activated after
99 recognition of pathogen-associated molecular patterns (PAMPs) or

100 damage-associated molecular pattern molecules (DAMPs), either di-
101 rectly through pattern recognition receptors, such as toll-like receptors
102 (TLRs), cell surface C-type lectin receptors (CLRs), nucleotide-binding
103 oligomerization domain (NOD)-like receptors (NLRs), and the retinoid
104 acid-inducible gene (RIG) I-like receptors (RLRs) [10], or indirectly by
105 capturing apoptotic or necrotic cells through a DAMP-mediated TLR
106 activation mechanism [11,12]. Alternatively, DCs can be activated
107 through inflammatory cytokines secreted by cells of the innate immune
108 system, epithelial cells, or fibroblasts, among others [13]. Activation by
109 such “danger signals” induces a complex and coordinated process of
110 maturation and migration in DCs. This differentiation process comprises:
111 morphologic changes, endorsing high cellular motility [14]; loss of
112 phagocytic receptors while endocytic receptors are retained [15]; secre-
113 tion of specific chemokines, depending on the immune cells that need to
114 be recruited [16]; upregulation of costimulatory (CD80, and CD86) and
115 functional activator (CD40) molecules [17], and chemokine receptors
116 CXCR4 and CCR7, among others [18,19]; synthesis of MHC molecules
117 and translocation of peptide-MHC class II complexes to the cell surface
118 [20]; and finally, the secretion of a specific cytokine profile that promote
119 differentiation and polarization of effector immune cells [21].

120 2.2. Dendritic cell populations in humans

121 DCs are a heterogeneous group of cells, comprising BDCA2 +
122 CD123 + plasmacytoid DCs, CD1c + and CD141 + myeloid DCs, as
123 well as CD14 + CD1c + inflammatory DCs [22]. Plasmacytoid DCs pro-
124 duce large amounts of type I interferons upon activation [23], and induce
125 B cell differentiation into antibody-producing cells [24]. Initially,
126 myeloid DCs were characterized by CD11c expression and subdivided
127 into CD1c +, CD141 + and CD16 + subsets, however, assignment of
128 the latter subset to DCs or monocytes is controversial [25,26]. Upon
129 activation, myeloid CD1c + DCs secrete T lymphocyte-recruiting
130 chemokines [27], and are potent stimulators of allogeneic T cells [25].
131 Myeloid CD141 + DCs ingest necrotic cells *via* CLEC9A, and are able to
132 efficiently crosspresent antigen to CD8 + T cells [28]. Inflammatory
133 DCs have been found in murine models of inflammatory diseases [29]
134 and affected tissues from patients with atopic dermatitis, psoriasis, and
135 RA [30,31]. In contrast to myeloid and plasmacytoid DCs which originate
136 from a common DC precursor, inflammatory DCs differentiate from
137 CD14 + monocytes recruited from the blood to sites of inflammation
138 [30]. The ability of monocytes to differentiate into DCs was first
139 described by Sallusto and Lanzavecchia, who reported the generation
140 of DCs from human peripheral blood monocytes after *in vitro* culture
141 with granulocyte macrophage colony-stimulating factor (GM-CSF) and
142 interleukin (IL)-4 for 7 days [32]. The close relation between *in vitro*
143 generated monocyte-derived DCs and inflammatory DCs found *in vivo*
144 was confirmed by transcriptome analyses [30]. During the past two de-
145 cades, the generation of monocyte-derived DCs has enabled numerous
146 functional studies on human DCs that were previously hampered
147 because of the small number of DCs present in human peripheral
148 blood, and has henceforth become a promising tool for cell-based
149 immunotherapies [33].

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