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Effect of tubal sterilization technique on risk of serous ovarian and primary peritoneal carcinoma $^{\bigstar, \bigstar, \bigstar, \bigstar}$ 2

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1 0 HIGHLIGHTS

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• Tubal sterilization reduces the risk of serous epithelial ovarian (EOC) and peritoneal cancer (PPC) by 41%. 12

• Excisional tubal sterilization reduces the risk of serous EOC and PPC by 65%. 13

· Prospective studies on the impact of salpingectomy on serous EOC and PPC development are needed. 14

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ABSTRACT

Objective. To determine the effect of excisional tubal sterilization on subsequent development of serous epithelial ovarian cancer (EOC) or primary peritoneal cancer (PPC).

Methods. We performed a population-based, nested case-control study using the Rochester Epidemiology 31 Project. We identified all patients with a diagnosis of serous EOC or PPC from 1966 through 2009. Each case 32 was age-matched to 2 controls without either diagnosis. Odds ratios (ORs) and corresponding 95% CIs were 33 estimated from conditional logistic regression models. Models were adjusted for prior hysterectomy, prior 34 salpingo-oophorectomy, oral contraceptive use, endometriosis, infertility, gravidity, and parity. 35

Results. In total, we identified 194 cases of serous EOC and PPC during the study period and matched them 36 with 388 controls (mean [SD] age, 61.4 [15.2] years). Fourteen cases (7.2%) and 46 controls (11.9%) had under- 37 gone tubal sterilization. Adjusted risk of serous EOC or PPC was slightly lower after any tubal sterilization (OR, 38 0.59 [95% CI, 0.29–1.17]; P = .13). The rate of excisional tubal sterilization was lower in cases than controls 39 (2.6% vs 6.4%). Adjusted risk of serous EOC and PPC was decreased by 64% after excisional tubal sterilization 40 (OR, 0.36 [95% CI, 0.13-1.02]; P = .054) compared with those without sterilization or with nonexcisional tubal 41 sterilization

Conclusions. We present a population-based investigation of the effects of excisional tubal sterilization on the 43 risk of serous EOC and PPC. Excisional methods may confer greater risk reduction than other sterilization 44 methods. 45

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Abbreviations: EOC, epithelial ovarian cancer; OR, odds ratio; PPC, primary peritoneal cancer; REP, Rochester Epidemiology Project; RRSO, risk-reducing salpingo-oophorectomy. Presented at the 2013 Society of Gynecologic Oncology Annual Meeting on Women's Cancer, Los Angeles, California, March 9–12, 2013.

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51 Introduction

Epithelial ovarian cancer (EOC) will be newly diagnosed in approxi-5253mately 21,980 women in 2014 and account for 14,270 deaths, making it the most lethal gynecologic cancer in the United States [1]. Serous EOC 54accounts for approximately 70% to 75% of EOC subtypes and has a 5556high propensity to metastasize beyond the reproductive tract [2,3]. In 57a recent report, 67% of ovarian cancers among BRCA1 and BRCA2 mu-58tation carriers were of serous histology [4]. At least 20% of ovarian 59carcinomas appear to be hereditary [5], and, in high-risk patients, 60 risk-reducing salpingo-oophorectomy (RRSO) is recommended [6]. However, most women with EOC have no identifiable risk factors 61 or precursor lesions [7], and few effective screening tools exist for 6263 early diagnosis [7].

Bilateral tubal sterilization has been associated with a decreased risk 64 of sporadic and hereditary EOC [8,9]. Risk reduction theories have 65 suggested that tubal sterilization decreases ovarian blood supply or in-66 terrupts the pathway for environmental carcinogens from the lower 67 genital tract to reach the ovaries [8,10,11]. However, the exact mecha-68 nism of risk reduction remains unclear, and more recent literature has 69 suggested that the fallopian tube may be a source of serous EOC and 70 71primary peritoneal cancer (PPC). Within the BRCA1/2 population, a sub-72stantial proportion of clinically occult serous malignancies (2%–17%) have been identified in the fallopian tube during RRSO [12-15] and 73 histopathologic assessment suggests that the fimbriated portion of the 74 tube is the most common site of origin [16]. In addition, prospective 7576assessment of the "section and extensively examine the fimbriae" 77 (SEE-FIM) protocol has identified up to 75% of pelvic serous carcinomas 78to have endosalpinx involvement. Over 70% of these cases also have 79tubal intraepithelial carcinoma (TIC) and more than 90% of TICs are 80 identified in the distal fallopian tubes and involve the fimbriae [17]. 81 Kim and colleagues provided further evidence of the tube as the source 82 of EOC in their Dicer-Pten double knock out (DKO) mouse model. In Dicer-Pten DKO mice that underwent bilateral oophorectomy, with 83 fallopian tubes remaining intact, high grade serous cancers developed. 84 In contrast, among mice that underwent bilateral salpingectomy, 85 86 with ovaries remaining intact, high grade serous cancers did not 87 develop [2].

Given the increasing evidence indicating the fallopian tube as a primary site of serous EOC carcinogenesis, we sought to determine whether excisional tubal sterilization techniques account for the observed decrease in risk of serous EOC and PPC development among women who have undergone tubal sterilization.

93 Materials and methods

94A population-based, case-control study was designed using the Rochester Epidemiology Project (REP). The REP is a research infrastruc-95ture that links the medical records of virtually all persons who have 96 resided in Olmsted County, Minnesota, between January 1, 1966, and 97 the present. As of 2010, the REP contained information on 502,820 98 99 persons and their respective medical records from 65 different health 100 care facilities in Olmsted County, including Mayo Clinic, Olmsted Medical Center, and providers in private practice. Most residents of 101Olmsted County receive their medical care from only a few practices 102103in southeastern Minnesota, making effective population-based research 104 feasible. Most patients receive cancer care at Mayo Clinic, which has a common medical records system of both inpatient and outpatient 105data, linking its 2 affiliated hospitals (Saint Marys and Rochester 106 Methodist). Patients provide their consent to be part of the REP. The 107 study was approved by the institutional review boards of Mayo Clinic 108 and Olmsted Medical Center, both in Rochester, Minnesota. 109

Using the REP and the Mayo Clinic Cancer Registry, we searched for
all cases of serous EOC and PPC between January 1, 1966, and December
31, 2009. Cases were selected by review of pathology reports by one investigator (C.R.L.-A.). Patients were excluded if they did not have EOC or

PPC or did not reside in Olmsted County at the time of diagnosis, if they 114 had fallopian tube carcinoma, or if the cancer was of non-serous histol- 115 ogy. Each case was matched by age within 2 years to 2 women from the 116 general population residing in Olmsted County and free of EOC or PPC in 117 the index year (i.e., year of EOC or PPC diagnosis for the matched case). 118 Data abstracted from the medical record for all patients included date 119 of birth, race, body mass index, personal and family history of cancer, 120 personal history of abdominal radiation or chemotherapy, smoking 121 history, reproductive history (gravidity and parity; breastfeeding; 122 ages of menarche and menopause; perimenopausal symptoms; oral 123 contraceptive use and duration; other contraceptive use; hormone 124 therapy use and duration; Papanicolaou test results; diagnoses of 125 pelvic inflammatory disease, endometriosis, and infertility), BRCA sta- 126 tus if known, gynecologic surgery history (prior hysterectomy, tubal 127 sterilization and type, unilateral or bilateral salpingo-oophorectomy), 128 and date of last follow-up. For case patients, information on primary 129 tumor site, histology, stage, and grade was also abstracted. 130

Original operative and pathology reports were reviewed to determine the type of tubal sterilization performed. Excisional tubal sterilization was considered to be complete salpingectomy, distal fimbriectomy, or partial salpingectomy (i.e., Pomeroy or Parkland methods). All other methods of tubal sterilization were considered nonexcisional, unless not specified. Nonexcisional sterilization was defined as the use of monopolar coagulation, bipolar coagulation, clips, or rings. Among patients with clear documentation in their surgical history of having a prior tubal sterilization, but for whom the operative and pathology reports were not available to review, the tubal sterilization type was considered "not specified."

The aim of the study was to determine whether the proportion of 142 any type of tubal sterilization (primary study objective) or excisional 143 tubal sterilization (secondary study objective) was lower among 144 women with (cases) than without (controls) serous EOC and PPC. 145 Demographic and baseline characteristics were compared between 146 the cases and controls using the χ^2 test or Fisher exact test for categor- 147 ical variables, the 2-sample t test for age, and the Wilcoxon rank sum 148 test for all other continuous measures. The association between devel- 149 opment of serous EOC/PPC and tubal sterilization was evaluated by 150 fitting conditional logistic regression models. Associations were sum- 151 marized using odds ratios (ORs) with corresponding 95% CIs. We 152 adjusted for potential confounders, including prior hysterectomy, 153 prior salpingo-oophorectomy, oral contraceptive use (yes vs no vs 154 unknown), endometriosis, infertility, gravidity (0 vs \geq 1), and parity 155 $(0 \text{ vs} \ge 1)$. All calculated *P* values were 2-sided, and *P* < .05 was con-156 sidered statistically significant. The SAS software package version 9.2 157 (SAS Institute Inc.) was used for all statistical analyses. Rates of tubal 158 sterilization during the study period were estimated using historical 159 and contemporary reports [18,19]. On the basis of prior publications, 160 we expected 240 cases of serous EOC and PPC among Olmsted Coun- 161 ty women during the 44-year study period [20,21]. Based on a 162 sample size of 240 cases and 480 matched controls, we anticipated 163 80% power to detect a difference in tubal sterilization rates of 27% 164 (controls) [18,19] vs 17.6% (cases), which corresponds to an OR of 165 0.58. This calculation was based on a 2-sided χ^2 test with a type I 166 error rate of .05 and assuming no correlation between the exposure 167 of matched cases and controls. 168

Results

Demographics and cancer characteristics

During the study period, 194 cases of serous EOC and PPC were diag- 171 nosed in women residing in Olmsted County; these cases were matched 172 with 388 controls. Mean (SD) age was 61.4 (15.2) years in both groups 173 (Table 1). Mean body mass index was similar in cases and controls (27.9 174 [7.0] vs 27.0 [5.8] kg/m²), and most patients were white (83.5% vs 175 87.6%). The rate of prior breastfeeding was the same for both groups 176

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