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2 **REVIEW**

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REGULATION OF NEURON-ASTROCYTE METABOLIC COUPLING ACROSS THE SLEEP-WAKE CYCLE

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- 14 Abstract—Over the last thirty years, a growing number of studies showed that astrocytes play a pivotal role in the energy support to synapses. More precisely, astrocytes adjust energy production to neuronal energy needs through different mechanisms grouped under the term "neurometabolic coupling" (NMC). In this review we describe these mechanisms of coupling and how they involve astrocytes. From a physiological point of view, these mechanisms of coupling are particularly important to ensure normal synaptic functioning when neurons undergo rapid and repetitive changes in the firing rate such as during the sleep/wake transitions. Investigations into brain energy metabolism during the sleep/wake cycle have been mainly focused on glucose (Gluc) consumption and on glycogen metabolism. However, the recent development of substrate-specific biosensors allowed measurements of the variation in extracellular levels of glutamate. Gluc and lactate (Lac) with a time resolution compatible with sleep stage duration. Together with gene expression data these experiments allowed to better define the variations of energy metabolite regulation across the sleep/wake

Abbreviations: Ade, adenosine; ADP, adenosine di-phosphate; ANLS, astrocyte-neuron lactate shuttle; ATP, adenosine tri-phosphate; DAB, 1,4-dideoxy-1,4-imino-p-arabinitol; EEG, electro-encephalogram; EMG, electro-myogram; EOG, electrooculogram; FDG, fluoro-deoxy glucose; GLAST, glutamate-aspartate transporter; Gln, glutamine; GLT1, glutamate transporter type-1; Glu, glutamate; Gluc, glucose; GLUT, glucose transporter; GPhos, glycogen phosphorylase; GS, glutamine synthase; GSynt, glycogen synthase; Lac, lactate; LDH, lactate dehydrogenase; LGCU, local glucose uptake; MCT, monocarboxylate transporter; NA, noradrenaline; NMC, neuro-metabolic coupling; NREM, Non-Rapid Eye Movement sleep; PET, positron emission tomography; PFK, phospho-fructo kinase; PPP1, protein phosphatase 1; PS, Paradoxical Sleep; PTG, protein targeting to glycogen; SWA, slow wave activity; SWS, slow-wave sleep; SF, sleep fragmentation; TSD, total sleep deprivation; VIP, vasoactive intestinal peptide; W, waking.

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cycle. The aim of this review is to bring into perspective the role of astrocytes and NMC in the regulation of the sleep/wake cycle. The data reviewed also suggest an important role of the astrocytic network. In addition, the role of astrocytes in NMC mechanisms is consistent with the "local and use dependent" sleep hypothesis.

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Key words: lactate, glucose, glutamate, adenosine, locus coeruleus, gap-junctions.

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INTRODUCTION

Since we spent one third of our life asleep, everyone has 53 an intimate knowledge of sleep and its capacity to 54 improve our cognitive and physical functions but also its 55 great fragility regarding life stressors. However, even if 56 great progress has been made since the 60s in the 57 understanding of its mechanisms and in the description 58 of its neuronal substrates, the exact regulation and 59 functions of sleep remain unknown and constitute one of 60 the most stimulating enigmas in neuroscience. 61

62 Until recently, sleep research was mainly dominated by a "neurocentric" approach, likely because the 63 different sleep stages have been initially characterized 64 through the electroencephalographic method that 65 reflects cortical neuronal activity; furthermore glial cells 66 67 were only considered as the "anatomic support" of the neuronal network. However, over the last twenty years, 68 a growing number of studies showing the direct 69 involvement of astrocytes in synaptic functions and in 70 neuronal energy support, led researchers to hypothesize 71 an active involvement of glial cells in sleep mechanisms 72 and functions. 73

The aim of this review is to present results showing 74 75 how glial cells ensure energy support to neurons throughout the sleep-wake cycle. Since most of the 76 studies have interrogated astrocyte functions we only 77 considered this type of glial cell in the present review. 78

Sleep-wake cycle structure and regulation 79

From a behavioral point of view, the sleep-wake cycle is 80 observed across the animal kingdom, from worms to 81 humans through insects, fish, birds and mammals. 82 Across the phylogeny, many different species display a 83 daily period of locomotor inactivity during which they 84 adopt a specific body posture and display a higher 85 threshold of sensory reactivity, three cardinal criteria of 86 "sleep behavior" (Campbell and Tobler, 1984). Moreover 87 the length of the rest period usually increases as a func-88 tion of the length of the previous active period, a hallmark 89 of the homeostatic regulation of sleep observed in 90 91 mammals. The presence of these typical "sleep" features justified the use of drosophila and zebrafish as experi-92 mental models in sleep studies (Cirelli and Tononi, 93 2008; Zimmerman et al., 2008). However, in the great 94 majority of animal sleep studies, rodents are used 95 because their sleep displays features similar to those of 96 other mammals including humans, particularly from an 97 electrophysiological point of view. Sleep studies are 98 usually performed using "polygraphic" recordings includ-99 ing the electroencephalogram (EEG), the electromyo-100 gram (EMG) and the electrooculogram (EOG) which 101 respectively allow measuring cortical activity, muscle tone 102 as well as eye movements. In addition to the polygraphic 103 method, the Fast Fourier Transformation analysis (FFT) is 104 classically used to assess qualitative differences in the 105 spectral components of sleep EEG. Therefore, three 106 vigilance stages, the Slow Wave Sleep (SWS) also called 107 108 Non-Rapid Eye Movement (NREM) sleep, the Paradoxical Sleep (PS) equivalent to Rapid Eye Movement 109

(REM) sleep and waking (W) are classically determined 110 and display the following features: 111

- During SWS, the EEG signal displays oscillations of 112 high amplitude and low frequencies while the EMG sig-113 nal reveals the absence of movement and a decline in 114 muscle tone. Power spectrum analysis of EEG 115 indicates a large predominance of low frequencies, 116 including sleep spindles (8-14 Hz) during the early per-117 iod of the sleep period followed by an increase in delta 118 waves (1-4.5 Hz, also defined as slow wave activity 119 (SWA)) and slow waves (< 1 Hz). At the cellular levels, 120 EEG slow waves corresponds to the alternation of an 121 "UP-state" in which cortical neurons are depolarized 122 and more excitable, and a "DOWN-state" in which 123 the same neurons are hyperpolarized and silent 124 (Vyazovskiy and Faraguna, 2015). 125 126
- During PS, the EEG signal is apparently closer to the waking EEG and displays oscillations of low amplitude 127 and high frequencies. The EEG power spectrum is 128 then shifted toward more rapid frequencies with a 129 specific peak at 5-7 Hz (theta band). The muscle tone reaches a minimum and the density of rapid eye move-131 ments (which are almost abolished during SWS) 132 increases considerably. 133
- During waking, the EEG trace displays oscillations of low amplitude and rapid frequencies which correspond to desynchronization of cortical cells ("up-state") that have a high rate of firing. The power spectrum of the waking EEG exhibits a predominance in alpha (9-12 Hz), beta (12-30 Hz) and gamma (> 30 Hz) frequency bands.

Although many factors involved in the regulation of sleep are still unknown, a large body of evidence indicates that sleep regulation results in the interaction between circadian and homeostatic mechanisms (Borbély and Achermann, 1999).

A circadian regulation of sleep propensity controls the occurrence of the sleep period over the day. Similar to total sleep time and sleep episode duration, the daily sleep distribution varies greatly across mammals (Siegel, 2001). The daily distribution of sleep and wakefulness episodes follows a circadian rhythm (i.e. centered on a 24-h period). In standard conditions, this rhythm is driven by the suprachiasmatic nucleus (SCN) of the hypothalamus which directly integrates information about light intensity from the retina (Dibner et al., 2010). Through its neuronal projections and its action on the synthesis of humoral factors (such as melatonin), the SCN synchronizes different cellular clocks present in all neurons and astrocytes of the brain as well as in most of the cells of the body. Indeed, a set of genes (known as "clock genes") encode for proteins exerting positive and negative feedback on their own synthesis and on the synthesis of other proteins with a timing close to 24 h. This constitutes the molecular mechanisms of the cellular clock (Panda et al., 2002; Ko and Takahashi, 2006).

As we already mentioned, sleep is also regulated by homeostatic mechanisms. This means that the total sleep duration varies as a function of the preceding

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