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### 2 **REVIEW**

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# DISTINGUISHING ADAPTIVE PLASTICITY FROM VULNERABILITY IN THE AGING HIPPOCAMPUS

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- 12 Abstract—Hippocampal circuits are among the best described networks in the mammalian brain, particularly with regard to the alterations that arise during normal aging. Decades of research indicate multiple points of vulnerability in aging neural circuits, and it has been proposed that each of these changes make a contribution to observed agerelated cognitive deficits. Another view has been relatively overlooked - namely that some of these changes arise in adaptive response to protect network function in aged animals. This possibility leads to a rather different view on the biological variation of function in the brain of older individuals. Using the hippocampus as a model neural circuit we discuss how, in normally aged animals, some age-related changes may arise through processes of neural plasticity that serve to enhance network function rather than to hinder it. Conceptually disentangling the initial agerelated vulnerabilities from changes that result in adaptive responses will be a major challenge for the future research on brain aging. We suggest that a reformulation of how normal aging could be understood from an adaptive perspective will lead to a deeper understanding of the secrets behind successful brain aging and our recent cultural successes in facilitating these processes.

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Key words: dentate gyrus, CA1, CA3, medial entorhinal cortex, plasticity.

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Introduction

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E-mail address: carol@nsma.arizona.edu (C. A. Barnes). *Abbreviations:* AHPs, afterhyperpolarizing potentials; fEPSP, field excitatory postsynaptic potential; GAD, glutamic acid decarboxylase; MEC, medial entorhinal cortex; PSD, postsynaptic density.

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#### INTRODUCTION

A reformulation of how normal aging might be understood 38 within a framework of continual adaptive change, rather 39 than accelerating vulnerability, is timely. For example, 40 the December 2014 Nobel Week Dialogue Series was 41 devoted to discussions of "The Age to Come" - or 42 predictions for the evolving biology and demographics of 43 future generations and what social impact these 44 changes will bring. Although not directly stated, a 45 number of speakers acknowledged a shift in Zeitgeist 46 across gerontological disciplines from a focus on the 47 vulnerabilities that arise during aging, to an appreciation 48 of the cultural achievement that longer lives and health 49 spans reflect. Two examples from eminent 50 psychologists capture the tone of the discussions. This 51 includes the suggestion made by Ursula Staudinger that 52 instead of referring to the current demographic trend as 53 "Aging Societies", it would be more appropriate to frame 54 the lifespan gains in terms of "Societies of Longer 55 Lives". Laura Carstensen suggested an even bolder 56 framework for appreciating our survival gains as a 57 species, emphasizing the fact that this group of largely 58 healthy older individuals is perhaps the only natural 59 resource in the world that is not shrinking. 60

The biological benefits of increased access to 61 nutrition, education and medical care over the past 62

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century have resulted in the current generation of 63 individuals over 65 years of age having significant brain 64 and cognitive health advantages. While the maximum 65 lifespan attainable is probably not changing, this cultural 66 evolution has allowed substantial extension of average 67 productive lifespans. Indeed, elderly individuals remain 68 active in the work force longer today than in previous 69 70 generations (Helman et al., 2010). Despite these improvements, a substantial number of individuals still face the 71 difficulties that can arise with age-related cognitive impair-72 ments. A major goal of brain aging research is to 73 understand the basic biological processes that enable 74 75 high-functioning aged individuals to sustain cognitive health, and what differs between these individuals and 76 those who experience more serious cognitive impair-77 ments. Decades of work, both in humans and other 78 animal subjects, have proven fruitful in understanding this 79 basic biology. 80

In all species examined, brain functions critical for 81 cognition change across the lifespan. Age-related 82 functional alterations have been identified at the level of 83 molecules, cells, circuits, and behavior; yet no well-84 85 accepted comprehensive theory of brain aging exists. 86 The ability to understand the life course of brain aging is 87 complicated by the great variability in behavioral performance that is apparent even throughout 88 development and young adulthood. During aging, this 89 90 variation continues to manifest itself in individuals through the range of subtle cognitive change to 91 dementia. Our understanding of why some individuals 92 appear more vulnerable to age-related cognitive decline 93 than others is far from complete (Plassman et al., 2007; 94 Luo and Craik, 2008), and the answer to this question 95 likely lies in the interaction between the basic biology of 96 the aging brain and the ever-changing environment that 97 the brain interacts with. 98

99 A process fundamental to brain function is the ability of 100 neurons to alter their molecular expression profile, connectivity and physiological response properties based 101 upon their interactions with both internal physiological 102 processes and the external world. Age-related declines 103 in neural plasticity mechanisms have been described 104 previously in some detail (Burke and Barnes, 2006), and 105 106 are believed to be a major driving force behind the cognitive changes associated with aging. It has almost become 107 'dogma' to consider such age-related changes as vulnera-108 bilities that compromise function. While age-related vul-109 nerabilities certainly exist and do lead to dysfunction, 110 there are other processes at play in normally aged brains 111 that engage mechanisms of plasticity that can serve to buf-112 113 fer the negative outcome of these changes. An 'adaptive view' of brain aging is not meant to replace the reality that 114 some processes become vulnerable with age, but rather to 115 emphasize that neural networks are dynamic, and many 116 age-related alterations in the brain likely make use of these 117 plastic mechanisms to adjust functionality. This framework 118 is meant to emphasize that it may be difficult to distinguish 119 the 'primary pathology' from a change that is adaptive. The 120 conceptual disentanglement of positive and negative age-121 related alterations is crucial, however, when interpreting 122 brain and cognitive aging data, and thus remains a major 123

challenge for future aging research. Such a task is complex as different networks of neurons may have unique vulnerabilities that engage different adaptive solutions. Unfortunately, few regions of the brain have been investigated with enough depth to achieve this level of understanding.

The hippocampus, situated in the medial temporal lobe, is among the best studied regions of the brain. 131 particularly with respect to normal aging (Gallagher and 132 Rapp, 1997; Rosenzweig and Barnes, 2003; Kelly et al., 133 2006; Morrison and Baxter, 2012). This brain structure is 134 crucial for the formation of episodic memories (Burgess 135 et al., 2002; Gilboa et al., 2006; Moscovitch et al., 2006; Bird and Burgess, 2008), and damage to the hippocampus results in memory defects that show some similarities to 138 those experienced during normal aging. Unlike in patho-139 logical conditions such as Alzheimer's disease, the number of principal neurons in the hippocampus and the adjacent entorhinal cortex is preserved in aged rodents (Rapp and Gallagher, 1996; Rasmussen et al., 1996; Merrill et al., 2001; Rapp et al., 2002), monkeys (Gazzaley et al., 1997; Merrill et al., 2000; Keuker et al., 145 2003) and humans (West et al., 1994; Morrison and Hof, 146 1997), suggesting that age-related memory deficits arise 147 from numerous subcellular changes. In this special issue, 148 a variety of experimental data are presented and dis-149 cussed with the goal of conceptually disentangling vulnerable processes from potentially adaptive ones at play in 151 the hippocampus. While the present contribution will not 152 be a comprehensive review of hippocampal aging, its 153 intent is to provide a novel perspective on the current interpretation of some of its age-related changes.

#### ADAPTIVE CHANGES IN THE HIPPOCAMPUS OF AGED ANIMALS

To illustrate how some age-related changes may function 158 in adaptive ways in response to changing neuronal 159 environments, we will emphasize how age-related 160 changes interact and combine to produce a variety of 161 functional outcomes, both adaptive and non-adaptive. 162 Fig. 1 provides a schematic of the relevant components 163 of the circuit we will examine (Amaral and Lavenex, 164 2007). Briefly, projection neurons from the superficial lay-165 ers of the entorhinal cortex constitute the perforant path 166 axons, which terminate on granule cells of the dentate 167 gyrus and pyramidal neurons in CA3 and CA1. We will 168 focus on the layer II medial entorhinal cortical cell input 169 to the dentate gyrus and CA3 for the purpose of this dis-170 cussion. The axons of these cells form en passant 171 synapses on the middle third of the granule cell dendritic 172 tree, and the outer branches of CA3 pyramidal cells. The 173 axons of granule cells in the dentate gyrus form mossy 174 fiber synapses that innervate above, below and within 175 the pyramidal cell layer of CA3. Together, the CA3 pyra-176 midal cell axons form the Schaffer collateral fiber pathway 177 that innervate apical dendrites of CA1 pyramidal cells in 178 the stratum radiatum and their basal dendrites in the sta-179 tum oriens. CA1 pyramidal cell axons project topographi-180 cally within the subiculum. Cells residing more proximally 181 in CA1 innervate distal subiculum and cells residing 182

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