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2 CROSS-FREQUENCY COUPLING IN DEEP BRAIN STRUCTURES UPON **3 PROCESSING THE PAINFUL SENSORY INPUTS**

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- 14 Abstract—Cross-frequency coupling has been shown to be functionally significant in cortical information processing, potentially serving as a mechanism for integrating functionally relevant regions in the brain. In this study, we evaluate the hypothesis that pain-related gamma oscillatory responses are coupled with low-frequency oscillations in the frontal lobe, amygdala and hippocampus, areas known to have roles in pain processing. We delivered painful laser pulses to random locations on the dorsal hand of five patients with uncontrolled epilepsy requiring depth electrode implantation for seizure monitoring. Two blocks of 40 laser stimulations were delivered to each subject and the pain-intensity was controlled at five in a 0–10 scale by adjusting the energy level of the laser pulses. Local-field-potentials (LFPs) were recorded through bilaterally implanted depth electrode contacts to study the oscillatory responses upon processing the painful laser stimulations. Our results show that painful laser stimulations enhanced low-gamma (LH, 40–70 Hz) and high-gamma (HG, 70–110 Hz) oscillatory responses in the amygdala and hippocampal regions on the right hemisphere and these gamma responses were significantly coupled with the phases of theta (4–7 Hz) and alpha (8–12 Hz) rhythms during pain processing. Given the roles of these deep brain structures in emotion, these findings suggest that the oscillatory responses in these regions may play a role in integrating the affective component of pain, which may contribute to our understanding of the mechanisms underlying the affective information processing in humans. \odot 2015 IBRO. Published by Elsevier Ltd. All rights reserved.

Key words: pain, gamma, laser, amygdala, hippocampus, cross-frequency coupling.

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INTRODUCTION 16

Oscillatory activity in the gamma (>40 Hz) frequency 17 band has been suggested as an important neuronal 18 mechanism for integrating multiple task relevant 19 structures over local and distant regions in the brain 20 ([Tiitinen et al., 1993; Roelfsema et al., 1997; Crone](#page--1-0) 21 [et al., 1998a; Rodriguez et al., 1999; Muller et al., 2000;](#page--1-0) 22 [Oya et al., 2002; Muller and Keil, 2004; Edwards et al.,](#page--1-0) 23 [2005; Canolty et al., 2006](#page--1-0)), and different physiological 24 mechanisms exhibit distinct low-gamma (LG, 30-70 Hz) 25 and high-gamma (HG, 80–110 Hz) oscillations [\(Castelo-](#page--1-0) 26 [Branco et al., 1998; Herculano-Houzel et al., 1999;](#page--1-0) 27 [Crone et al., 1998a, 2001; Edwards et al., 2005\)](#page--1-0). More 28 recently, gamma oscillations also have been shown to 29 occur within a narrow phases of the slower frequency 30 bands (e.g. theta $(4-7 Hz)$, alpha $(8-12 Hz)$), and such 31 organizational coupling behaviors have been shown to 32 play an important role upon performing a wide range of 33 cognitive tasks including spatial learning, memory reten- 34 tion, decision making, and thus serving as a mechanism 35 for integrating functionally relevant neuronal information 36 ([Canolty et al., 2006; Tort et al., 2008, 2009; Canolty](#page--1-0) 37 [and Knight, 2010; Lisman and Jensen, 2013\)](#page--1-0). 38

Over the primary somatosensory cortex (SI), the 39 enhanced gamma oscillatory responses have a 40 functional role for modulating the attentional effects in 41 pain processing and closely reflecting the level of 42 perceived pain-intensity in humans ([Gross et al., 2007;](#page--1-0) 43 [Hauck et al., 2007; Tiemann et al., 2010; Schulz et al.,](#page--1-0) 44 [2012](#page--1-0)). However, given that pain has a strong affective 45 dimension; it remains unknown whether such pain- 46 related gamma band oscillatory activity and its coupling 47 activity can also be found in the brain areas including 48 the frontal lobe, the amygdala and hippocampus that 49 are known to have roles in processing the affective com- 50 ponent of pain. In addition, the affective related responses 51 to painful inputs are likely to occur in the orders of mil- 52 liseconds in the brain and given the difficulty in localizing 53 electrical sources from scalp recordings alone, invasive 54 electrophysiology approach is needed to study the neu-
55 ronal oscillatory responses from these deep brain struc- 56 tures [\(Casey, 1999; Casey et al., 2001; Frot et al.,](#page--1-0) 57 [2001; Fries, 2005; Liu et al., 2010, 2011a](#page--1-0)). Thus, to pro- 58 vide further insights into the functional role for pain- 59 related gamma oscillations in the brain regions outside 60 the sensory territories, high-resolution local-field- 61 potentials (LFPs) were recorded from depth electrode 62 contacts implanted bilaterally in the frontal lobe, amygdala 63 and hippocampal areas upon delivering the painful laser 64

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Abbreviations: FFT, fast Fourier transform; HG, high-gamma; LFPs, local-field-potentials; LH, low-gamma; SI, somatosensory cortex.

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 stimulations (Thulium YAG laser stimulator) to random locations on the dorsal hand areas of patients with uncon- trolled epilepsy. Laser pulses were set to produce clear painful pinprick sensations by activating the nociceptors located in the superficial layers of the skin. Two blocks of 40 laser stimulations were delivered to each subject and the pain-intensity was controlled as at five in a 0–10 scale. We aimed to evaluate the hypothesis that the pain-related gamma band oscillatory responses can be recorded, and are coupled with the phases of the low-frequency oscillations in the deep brain structures that have roles in pain processing.

⁷⁷ EXPERIMENTAL PROCEDURES

78 Subjects

 Five patients with uncontrolled epilepsy (two males and 80 three females; age: 38.8 ± 6.83 year) were recruited in 81 the present study (Table 1). Four of them were right- handed. Informed consent was obtained and the protocol was reviewed and approved annually by the Institutional Review Board at the Johns Hopkins University, School of Medicine. The electrode placement was solely based on clinical purposes. Neurological examination, including a standard sensory testing 88 protocol [\(Lenz et al., 1993\)](#page--1-0), disclosed no abnormality in any patient. Patients 001, 003 and 004 in the current report also participated in previous studies ([Liu et al.,](#page--1-0) 91 [2010](#page--1-0)).

92 Electrode implantation

 LFPs were recorded using customized depth electrodes 94 (Ad-Tech Medical Instrument Corporation. Racine, WI, USA) implanted in the frontal lobe, amygdala and hippocampus bilaterally using a stereotactic technique with a Leksell frame [\(Liu et al., 2010](#page--1-0)). The electrode in the frontal lobe had 8 contacts and was placed 10 mm anterior and 10 mm lateral to the anterior tip of the lateral ventricle, and with the tip of the electrode around/touching the roof of the orbit, confirmed by radiographs obtained during implantation in the operating room. The contact locations along the frontal electrodes were within Brodmann areas of 9, 10, 12, 46 [\(Liu et al., 2011a\)](#page--1-0). Each of the amygdala and hippocampus electrodes had six contacts. The distal contacts on the amygdala electrodes were centered 12.5 mm below the target in the amygdala. The target was determined as the center of the amygdala in a pre-surgical MRI coronal image cap- turing the maximal amygdala area. The hippocampal electrodes were centered 12.5 mm below the lower bor-der of the body of the hippocampus in the first coronal

image posterior to the head of the hippocampus 113 ([Fig. 1\)](#page--1-0). The distances between contacts within the frontal 114 and amygdala/hippocampus electrodes were 5 and 115 2.2 mm center to center. The postoperative C.T. merged 116 with preoperative MRI to confirm that the implanted elec-
117 trode contacts were in the white and gray matter of the 118 frontal lobe and within the structure of the amygdala and 119 hippocampus (OsirX, An open-source image software 120 (Rosset et al., 2004); StealthMerge Software, Medronic, 121 Inc. Minneapolis, MN, USA). 122

LFP recording 123

LFPs were amplified (12A5 Astro-Med Grass, Inc., West 124 Warwick, RI, USA), filtered (0.1–300 Hz, 6 dB/oct), and 125 digitized at sampling rates of 1000 Hz or 2500 Hz to 126 avoid aliasing. All recordings were recorded with a 127 reference montage using one single contact chosen for 128 its relative inactivity and distance from the estimated 129 epileptic focus. Prior to analysis, all signals were re- 130 referenced to an average reference of LFP recordings 131 to minimize the influence of the location and activity of 132 the reference electrode ([Crone et al., 1998b; Liu et al.,](#page--1-0) 133 [2010](#page--1-0)). Each time when the laser was triggered, a brief 134 marker signal was sent simultaneously through an optical 135 cable connected to the laser system. This marker signal 136 was recorded using the same clinical LEP recordings sys-
137 tem as an independent channel embedded in the data 138 files. In all subjects, ECoG recordings used in this study 139 were free of seizure patterns. 140

Laser-stimulation/stimulation paradigm 141

Heat stimuli were generated by a Tm-YAG laser system. 142 The laser system produces an infrared beam with 143 wavelength 2000 nm and 1 ms in duration for every 144 laser pulse. The diameter of the round circle shape 145 stimulated area was \sim 6 mm (Neurotest, Wavelight, 146 Starnberg, Germany). Laser stimulations were 147 transmitted through an optic fiber and delivered to the 148 dorsum of the left/right hand area. The laser stimulation 149 was meant to produce a painful pinprick sensation by 150 activating the nociceptors located in the superficial 151 layers of the skin. Prior to the study, the laser energy 152 was adjusted, in a separate session, in the increasing 153 manner until it produced painful pinprick sensation equal 154 to five in a 0-10 pain-intensity rating scale. About 3-5 155 laser pulses at each energy level were delivered during 156 this calibration session and the area of stimulation was 157 the same as that following the experimental session. 158

During the experimental section, two blocks of 40 159 laser stimulations were delivered to each subject after 160

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