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Review article

Neural mechanism of the nucleus accumbens circuit in reward and aversive learning

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ABSTRACT

The basal ganglia are key neural substrates not only for motor function, but also cognitive functions including reward and aversive learning. Critical for these processes are the functional role played by two projection neurons within nucleus accumbens (NAc); the D1- and D2-expressing neurons. Recently, we have developed a novel reversible neurotransmission blocking technique that specifically blocks neuro-transmission from NAc D1- and D2-expressing neurons, allowing for *in vivo* analysis. In this review, we outline the functional dissociation of NAc D1- and D2-expressing neurons of the basal ganglia in reward and aversive learning, as well as drug addiction. These studies have revealed the importance of activation of NAc D1 receptors for reward learning and drug addiction, and inactivation of NAc D2 receptors for aversive learning and flexibility. Based on these findings, we propose a neural mechanism, in which dopamine neurons in the ventral tegmental area that send inputs to the NAc work as a switch between D1- and D2-expressing neurons. These basal ganglia neural mechanisms will give us new insights into the pathophysiology of neuropsychiatric diseases.

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23 Contents

24	1.	Introduction	00
25	2.	Functional dissociation of the NAc D1- and D2-expressing neurons in reward and aversive learning	00
26	3.	Functional dissociation of the NAc D1- and D2-expressing neurons in drug addiction	
27	4.	Control mechanisms of the NAc pathways by D1 and D2 receptors	00
28	5.	Neural mechanisms in the basal ganglia circuit in reward and aversive learning	00
29		5.1. A change in the activity of VTA neurons triggered by rewarding or aversive stimuli	00
30		5.2. A dopamine-induced switch the activity of NAc neurons mediated by the type of dopamine receptors they express	00
31		5.3. Plasticity in glutamatergic synapses in NAc D1- and D2-expressing neurons	
32	6.	Conclusions	
33		Acknowledgements	00
34		References	00
35			

Abbreviations: NAc, nucleus accumbens; GPi, globus pallidus interna; GPe, globus pallidus externa; VP, ventral pallidum; STN, subthalamic nucleus; SNr, substantia nigra pars reticulate; SNc, substantia nigra pars compacta; VTA, ventral tegmental area; MSN, medium spiny neuron; SP, substance P; Enk, enkephalin; MDT, mediodorsal thalamus; RNB, reversible neurotransmission blocking; D1-RNB, D1-expressing neuron-specific RNB; D2-RNB, D2-expressing neuron-specific RNB; CPP, conditioned place preference; LTP, long-term potentiation; LTD, long-term depression.

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T. Hikida et al. / Neuroscience Research xxx (2016) xxx-xxx

1 Introduction

02 The basal ganglia constitute an important brain region not only for motor function, but also for higher functions such as emotion, motivation, cognitive behavior, learning, and decisionmaking. Neuropathologies that damage the basal ganglia include neurodegenerative diseases that cause motor impairment such as Parkinson's disease and Huntington's disease (Albin et al., 1989; DeLong, 1990; Wichmann and DeLong, 1996), and psychiatric disorders such as drug addiction, schizophrenia, and depression (Hyman et al., 2006; Simpson et al., 2010; Ikemoto et al., 2015). These conditions highlight the importance of research into basal ganglia neural mechanisms.

The basal ganglia consist of a series interconnected subcor-48 tical nuclei: the dorsal striatum, the ventral striatum (primarily 49 consisting of the nucleus accumbens (NAc)), the globus pallidus 50 interna (GPi) and externa (GPe), the ventral pallidum (VP), the sub-51 thalamic nucleus (STN), the substantia nigra pars reticulata (SNr) 52 and pars compacta (SNc), and ventral tegmental area (VTA) (Albin 53 et al., 1989; Haber, 2003). These structures also connect with the 54 cerebral cortex and thalamus to form a series of parallel circuit 55 loops (Alexander et al., 1986; Alexander and Crutcher, 1990). Output from the dorsal striatum to the SNr, an output nucleus to 57 the thalamus, has broadly been divided into two pathways: (1) 58 the direct pathway, a monosynaptic projection to the SNr, and 59 (2) the indirect pathway, a polysynaptic projection to the SNr 60 via the GPe and STN (Graybiel, 2000). Additionally, dorsal stri-61 atal direct and indirect pathway medium spiny neurons (MSNs) 62 differ in their expression of dopamine receptors and releasable 63 peptides. Direct pathway striatonigral neurons have been demon-64 strated to express dopamine D1 receptors and substance P (SP), 65 while indirect pathway striatopallidal neurons express dopamine 66 D2 receptors and enkephalin (Enk) (Gerfen et al., 1990; Surmeier 67 et al., 1996). 68

MSNs within the NAc can also largely be divided into dopamine D1 receptor and SP expressing, or dopamine D2 receptor and Enk 70 expressing neurons (Lu et al., 1998; Bertran-Gonzalez et al., 2008). However, anatomically, NAc output circuits differ from those of 72 the dorsal striatum. The NAc projects to the VP, which unlike the GP, projects directly to the mediodorsal thalamus (MDT) as well as to the SNr, and is thus an output nucleus (Zahm et al., 1987; Tripathi et al., 2013). Innervation of MDT-projecting VP neurons could lead to disinhibition of the thalamus, similar to that produced by accumbonigral MSN activation. Recent evidence indicates that the vast majority of SNr- and MDT-projecting VP neurons receive innervation from D2-expressing NAc MSNs, while 80 approximately 42% of SNr-projecting and 58% of MDT-projecting VP neurons receive inputs from D1-expressing NAc MSNs (Kupchik et al., 2015). These findings suggest that the selectivity of dopamine D1 and D2 receptors to 'direct' and 'indirect' pathways, respectively, as seen in the dorsal striatum, does not apply to the NAc. 86

In addition to their differing efferent projections, NAc and dorsal striatal neurons also receive modulatory inputs from discrete dopaminergic pathways. D1-expressing and D2-expressing MSNs in the NAc receive a mesolimbic dopamine pathway input from the VTA (Fallon and Moore, 1978; Nauta et al., 1978; Swanson, 1982), whereas dorsal striatum MSNs are innervated by a nigrostriatal dopamine projection from the SNc (Fallon and Moore, 1978; Beckstead et al., 1979; Veening et al., 1980).

Recently, bacterial artificial chromosome (BAC) and viral transgenic technologies have allowed the creation of activity blocking and activation techniques for specific striatal neurons, including optogenetics (Deisseroth, 2011), pharmacogenetics (Dong et al., 2010) and reversible neurotransmission blocking (Hikida et al., 2010). Kravitz et al. (2010) created mice expressing channelrhodopsin specifically in dorsal striatal direct or indirect pathway neurons, and showed that mice in which the direct pathway was activated with light stimuli exhibited increased locomotion, while mice in which the indirect pathway was activated exhibited increased freezing, bradykinesia, and decreased locomotor initiations. In addition, light stimulation of the dorsal striatal direct pathway was able to ameliorate freezing and bradykinesia symptoms in a mouse model of Parkinson's disease (Kravitz et al., 2010). Behavioral and electrophysiological experiments on mice with specific immunotoxic damage to the dorsal striatal indirect pathway have showed that this pathway plays an important role in stopping motion through activation of the SNr (Sano et al., 2013). These observations are consistent with classic models of striatal motor control, which stipulate that movement is promoted when the dorsal striatal direct pathway is activated, while movement is inhibited when the dorsal striatal indirect pathway is activated (Alexander and Crutcher, 1990; DeLong, 1990; Kravitz and Kreitzer, 2012). While these findings indicate that these two pathways of the basal ganglia are functionally independent, recent rodent data has revealed that the direct and indirect pathways of the dorsal striatum are actually activated concurrently during the initiation of movement, suggesting that the control of movement may be more complex than originally hypothesized (Cui et al., 2013; Isomura et al., 2013)

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While the study of dorsal striatal output pathways has received considerable attention in recent years, the roles of ventral striatal direct and indirect pathways are still relatively unknown. For the remainder of this review we will focus on the role of NAc projection neurons in controlling reward and aversive learning, and drug addiction.

2. Functional dissociation of the NAc D1- and D2-expressing neurons in reward and aversive learning

The basal ganglia, specifically the NAc, is associated with higher functions such as reward and aversive learning, social behavior, and addictive behavior. In order to examine the role of NAc projection neurons in learning, we developed a reversible neurotransmission blocking (RNB) technique that allows specific inhibition of neurotransmission from the D1- and D2-expressing MSNs (Hikida et al., 2010). This method uses promoters of SP and Enk that are specifically expressed in D1- and D2-expressing MSNs to control expression of tetanus toxin specifically in these neural circuits. This method is able to block neurotransmission over a long period of time without inducing cell death; thus, it is an ideal method to identify the neural circuitry involved in learning behavior. Additionally, as this technique utilizes tetTag technology, it is reversible by administration of the antibiotic doxycycline. Using this RNB technique, we analyzed reward and aversive learning in mice in which neurotransmission from NAc D1-expressing MSNs (D1-RNB) or NAc D2-expressing MSNs (D2-RNB) was specifically blocked (Hikida et al., 2010).

A conditioned place preference (CPP) paradigm was employed to explore the roles of the NAc projection neurons in reward learning. Following conditioning, wild-type and D2-RNB mice expressed a strong place preference for a chamber associated with a reward (chocolate). In contrast, preference for the rewardassociated chamber was significantly attenuated in D1-RNB mice. The role of NAc pathways in aversive learning was explored in an inhibitory avoidance paradigm. During conditioning, entry into a dark room was paired with delivery of an aversive electric foot shock. Then 24h later, in the absence of foot shocks, the time it took for these mice to enter into a dark room was measured. Both wild-type and D1-RNB, but not D2-RNB mice took longer to enter the dark room compared to pre-conditioning entry times.

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