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## Simulation of the interannual variations of tropospheric ozone over China: Roles of variations in meteorological parameters and anthropogenic emissions

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#### HIGHLIGHTS

- We quantify the interannual variations (IAVs) of tropospheric O<sub>3</sub> concentrations in China.
- The roles of variations in meteorological parameters and anthropogenic emissions are examined.
- Surface-layer O<sub>3</sub> concentrations over NC, SC, and SCB have IAVs of 0.7-3.9%, 1.4-3.7%, and 2.7-3.8%, respectively.
- Variations in winds are found to have the largest impact on the IAVs of O<sub>3</sub> over NC, SC, and SCB.

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#### ABSTRACT

We quantify the interannual variations (IAVs) of tropospheric O<sub>3</sub> over China for the years 2004–2012 by using the one-way nested-grid version of the global three-dimensional Goddard Earth Observing System chemical transport model (GEOS-Chem). The roles of variations in meteorological fields and anthropogenic emissions of O<sub>3</sub> precursors are examined separately and together through sensitivity simulations. With variations in both meteorological parameters and emissions, simulated seasonal mean surfacelayer O<sub>3</sub> concentrations over North China (NC, 110-120°E, 32-42°N) exhibit the largest IAVs in June -July-August (JJA). The regionally averaged absolute percent departure from the mean (APDM) values over NC are 0.7%, 3.2%, 3.9%, and 2.1% in December-January-February (DJF), March-April-May (MAM), and September-October-November (SON), respectively. Over South China (SC, 110-120°E, 22-32°N), the IAVs of O<sub>3</sub> are found maximum in MAM, and minimum in JJA; the APDM values are 2.7%, 3.7%, 1.4%, and 2.6% in DJF, MAM, JJA, and SON, respectively. With respect to the IAVs of O3 over the Sichuan Basin (SCB, 102–110°E, 27–33°N), the APDM values are simulated to be 2.7–3.8% throughout the year. The IAVs in surface-layer O<sub>3</sub> by variations in meteorological fields are simulated to be larger than those by variations in anthropogenic emissions throughout the year in NC and SC except for JJA in SC. The relatively more important role of variations in anthropogenic emissions is simulated in SCB in all seasons. Process analyses are performed to identify key meteorological parameters that influence the IAVs of O<sub>3</sub> over NC, SC, and SCB. Over all of these regions, variations in winds are found to have the largest impact on the IAVs of O<sub>3</sub>, followed by those in temperature and specific humidity. Considering that the APDM values represent the IAVs averaged over 2004–2012, the magnitudes of IAVs of O<sub>3</sub> for specific years can be more significant than the numbers reported here. Our results have important implications for the effectiveness of short-term air quality control strategies in China.

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#### 1. Introduction

Tropospheric  $O_3$  is a major air pollutant in the atmosphere that has adverse effects on human health and ecosystem productivity (UNEP, 2006; Shindell et al., 2012). It is also an important greenhouse gas with a global mean radiative forcing of 0.4 (0.2–0.6) W

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m<sup>-2</sup> (Intergovernmental Panel on Climate Change (IPCC, 2013)). High O<sub>3</sub> concentrations have been observed in China, with seasonal mean concentrations of 20-60 ppbv (parts per billion by volume; Xu et al. (2008); Yang et al. (2008); Zhang et al. (2008); Wang et al. (2011)) and episodic O<sub>3</sub> concentrations of exceeding 100 ppbv (Wang et al., 2006; Duan et al., 2008; Ge et al., 2012). Ozone concentrations exhibit variations on different time scales, from days to decades (Pozzoli et al., 2011: Fu et al., 2012: Yang et al., 2014). In this work we aim to quantify the interannual variations (IAVs) of tropospheric O<sub>3</sub> and examine the drivers (either variations in meteorological parameters or in emissions) of the IAVs. Such studies can help with in interpretation of year-by-year variations in O<sub>3</sub> measurements and understanding of the effectiveness of shortterm air quality control strategies. For example, if the IAVs of O<sub>3</sub> driven by variations in meteorological parameters are larger than those caused by variations in emissions, the short-term air quality control may need extra efforts to reduce emissions if the meteorological parameters are not favorable for  $O_3$  air quality.

The absolute IAVs of  $O_3$  can be quantified by standard deviation (SD) and mean absolute deviation (MAD), defined as

$$SD = \sqrt{\frac{1}{n} \sum_{i=1}^{n} \left( C_i - \frac{1}{n} \sum_{i=1}^{n} C_i \right)^2} \text{ and } \quad MAD = \frac{1}{n} \sum_{i=1}^{n} \left| C_i - \frac{1}{n} \sum_{i=1}^{n} C_i \right|,$$

respectively, while the IAVs relative to the multi-year average of concentrations can be quantified by relative standard deviation (RSD) and absolute percent departure from the mean

(APDM), defined as RSD = 
$$100\% \times \text{SD}/\left(\frac{1}{n}\sum_{i=1}^{n}C_{i}\right)$$
 and APDM =

 $100\% \times \text{MAD} / \left(\frac{1}{n}\sum_{i=1}^{n}C_{i}\right)$ , where  $C_{i}$  is the observed or simulated  $O_{3}$  concentration in year i, and n represents the number of years examined.

Previous studies have reported the IAVs of tropospheric O<sub>3</sub> for different regions. Liu et al. (2011) found that simulated July O<sub>3</sub> concentrations at 400 hPa over the Middle East over 1987-2006 had SD and RSD values of 6 ppbv and 7%, respectively, by using the GEOS-Chem model with a horizontal resolution of 4° latitude by 5° longitude. Pozzoli et al. (2011) examined the global mean concentrations of pollutants simulated for years of 1980-2005 from the coupled aerosol-chemistry-climate model ECHAM5-HAMMOZ with a horizontal resolution of  $2.8^{\circ} \times 2.8^{\circ}$ . They found that the global mean surface-layer O<sub>3</sub> exhibited SD and RSD values of 0.83 ppbv and 2.3% with changes in anthropogenic emissions and of 0.63 ppbv and 1.7% with fixed anthropogenic emissions. Kumar et al. (2013) compared O<sub>3</sub> concentrations observed at the Pico Mountain Observatory (PMO) (at an altitude of 2225 m) with those simulated from the GEOS-Chem model for years of 2004–2010, and found that the averaged O<sub>3</sub> concentrations over May—August from observations and simulations exhibited MAD (APDM) values of 1.1 ppbv (2.7%) and 1.1 ppbv (2.4%), respectively. Sahu et al. (2014), by analyzing Measurement of Ozone and Water Vapor by Airbus In-Service Aircraft (MOZAIC) datasets during 2006-2008 over Hyderabad and India, reported that O<sub>3</sub> concentrations averaged over the premonsoon season (March-May) had large IAVs, with values of 58 ppbv in 2006 and 44 ppbv in 2008 in the lower troposphere (2–4 km) depending on the transport of marine and continental air and values of 72 ppbv in 2006 and 50 ppbv in 2008 in the upper troposphere (8-11 km) depending on deep convections.

Observational and modeling studies have also illustrated that surface-layer  $O_3$  have large IAVs over China. Tang et al. (2009) showed that, on the basis of measurements at 6 sites in downtown Beijing for years of 2001–2006, the average  $O_3$  concentrations over July–September in Beijing exhibited APDM value of 3.6%.

Zhang et al. (2013) analyzed  $O_3$  measurements in Hong Kong over the period of 1999–2011, and reported that the seasonal mean  $O_3$  concentrations had MAD and APDM values of 3–6 ppbv and 9–18%, respectively. They also reconstructed the time series of  $O_3$  by taking into account the IAVs in frequency and intensity of circulation patterns. Such reconstruction captured up to 50% of the observed IAV of  $O_3$ , suggesting that the changes in meteorological parameters have large impacts on the IAVs of surface  $O_3$  levels in Hong Kong.

The concentrations of O<sub>3</sub> are dependent on both precursor emissions and meteorological conditions. Meteorological parameters influence O<sub>3</sub> concentrations by altering cross stratosphere—troposphere flux of O<sub>3</sub> (Voulgarakis et al., 2011; Hess and Zbinden, 2013), biogenic emission of volatile organic compounds (Fu and Liao, 2012), and the chemical production and loss, transport, and deposition of O<sub>3</sub> (Lin et al., 2001; Camalier et al., 2007; Ramsey et al., 2014). Olsen et al. (2013) estimated the extratropical stratosphere-troposphere exchange of O<sub>3</sub> from 2005 to 2010 by combining Microvave Limb Sounder (MLS) ozone observations with Modern Era Retrospective-Analyses for Research and Applications (MERRA) of meteorological fields, and found that the difference between the highest annual flux in 2006 and the lowest annual flux in 2008 was about 15% of the multiyear mean STE (Strat-Trop exchange) in the Northern Hemisphere. Neu et al. (2014) used Aura satellite measurements (from Tropospheric Emission Spectrometer (TES) and MLS instruments) of stratospheric water and tropospheric O<sub>3</sub> levels for years of 2005–2010 to quantify the impact of changes in the stratospheric circulation on tropospheric O<sub>3</sub>. They reported that a 25% increase in stratospheric O<sub>3</sub> results in a 2% increase in 500 hPa O<sub>3</sub> in the northern midlatitudes, approximately half of the interannual tropospheric O<sub>3</sub> variability, based on CAM-Chem simulation. Variations in temperature and water vapor in the atmosphere also influence production and loss of O<sub>3</sub>. Dawson et al. (2007) found that the daily maximum 8-h O<sub>3</sub> concentrations increase with temperature by about 0.34 ppbv K<sup>-1</sup> and decrease by 0.025 ppbv for each percent increase in absolute humidity over eastern United States during July 12–21, 2001, by using the PMCAMx model with perturbations of individual meteorological parameters. Furthermore, climate patterns, such as monsoon and El Nino, play important roles in the IAVs of O3 concentrations (Neu et al., 2014; Sahu et al., 2014; Sekiya and Sudo, 2014; Yang et al., 2014).

The major processes that influence the concentrations of O<sub>3</sub> include horizontal and vertical transport, chemical production and loss, dry and wet deposition. The role of each physical or chemical process can be quantified by the Integrated Process Rate (IPR) analysis. Such approach has been applied to episodic events (Jose et al., 2002; Goncalves et al., 2009; Im et al., 2011), and yearly to decadal simulations (Zhang et al., 2009b; Civerolo et al., 2010). For example, Goncalves et al. (2009) found that the formation of surface O<sub>3</sub> was dominated by the horizontally advected flows and gasphase chemical reactions occurring aloft in the morning, and by vertical advective flows in the afternoon and dusk, during a photochemical pollution episode over southern Mediterranean region in summer. Similar process analyses were also implemented in other models, such as the Weather Research and Forecasting model coupled with Chemistry (WRF-Chem, Jiang et al., 2012; Jiang et al., 2013) and the GEOS-Chem model (Mu and Liao, 2014), to understand the key processes that influence the concentrations or variations of O<sub>3</sub> and aerosols.

This work aims to (1) quantify the IAVs of tropospheric O<sub>3</sub> concentrations in China due to the variations in meteorological fields and/or anthropogenic emissions during 2004–2012 using the GEOS-Chem model driven by the assimilated meteorological fields, and (2) identify the dominant meteorological parameters that

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