



# Chiral extensions of chiral polytopes

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## ABSTRACT

Given a chiral  $d$ -polytope  $\mathcal{K}$  with regular facets, we describe a construction for a chiral  $(d + 1)$ -polytope  $\mathcal{P}$  with facets isomorphic to  $\mathcal{K}$ . Furthermore,  $\mathcal{P}$  is finite whenever  $\mathcal{K}$  is finite. We provide explicit examples of chiral 4-polytopes constructed in this way from chiral toroidal maps.

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## 1. Introduction

Abstract polytopes are combinatorial structures that mimic convex polytopes in several key ways. They also generalize (non-degenerate) maps on surfaces and face-to-face tessellations of euclidean, hyperbolic, and projective spaces. Regular polytopes have full symmetry by (abstract) reflections and have been extensively studied [7]. One of the most important problems in the study of regular polytopes is the *extension problem*: given a regular polytope  $\mathcal{K}$  of rank  $d$ , what sorts of regular polytopes of rank  $d + 1$  have facets isomorphic to  $\mathcal{K}$ ? Though this problem is far from solved, many useful partial results already exist (see, for example, [4,14]). In particular, if  $\mathcal{K}$  is a finite regular polytope, then [10] shows how to construct infinitely many finite regular polytopes with facets isomorphic to  $\mathcal{K}$ .

Another important class of polytopes are the *chiral polytopes*, which have full rotational symmetry, but no symmetry by reflection. There are many examples in ranks 3 and 4 (see [1,16] for some of these). In higher ranks, however, we have only a handful of concrete examples.

Many of the important unsolved problems of chiral polytopes are summarized in [12]. Problems 24–30 all concern the extension problem for chiral polytopes, signifying both the importance of that general problem and how little is known. An important partial result was given in [11], where it is shown how to build a finite chiral polytope of rank  $d + 1$  with facets isomorphic to a finite regular polytope  $\mathcal{K}$  of rank  $d$ . There are very restrictive conditions on the polytope  $\mathcal{K}$ , however, so more work remains to be done even on this piece of the extension problem (Problem 27 of [12]).

In this paper we use GPR graphs (as defined in [13]) to build chiral polytopes of rank  $d + 1$  with facets isomorphic to a given chiral polytope of rank  $d$ . In particular, **Theorem 10** implies the following:

**Theorem 1.** *Every finite chiral  $d$ -polytope with regular facets is itself the facet of a finite chiral  $(d + 1)$ -polytope.*

This gives a partial answer to Problem 26 in [12]. We note that the assumption that the chiral  $d$ -polytope has regular facets is necessary (see [15, Proposition 9]).

We will start by giving background on polytopes in Section 2 and on GPR graphs in Section 3. Section 4 details the main construction, culminating in **Theorem 10**. Finally, in Section 5, we will apply the construction to a family of chiral toroidal maps  $\{4, 4\}_{(b,c)}$  and analyze the structure of the resulting chiral polytope of rank 4.

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## 2. Regular and chiral polytopes

In this section we introduce abstract regular and chiral polytopes, referring to [7,15] for details.

An (abstract)  $d$ -polytope  $\mathcal{K}$  is a partially ordered set whose elements are called *faces* and which satisfies the following properties. It contains a minimum face  $F_{-1}$  and a maximum face  $F_d$ , and every *flag* of  $\mathcal{K}$  (maximal totally ordered subset) contains precisely  $d + 2$  elements, including  $F_{-1}$  and  $F_d$ . This induces a rank function from  $\mathcal{K}$  to the set  $\{-1, 0, \dots, d\}$  such that  $\text{rank}(F_{-1}) = -1$  and  $\text{rank}(F_d) = d$ . The faces of rank  $i$  are called  $i$ -faces, the 0-faces are called *vertices*, the 1-faces are called *edges* and the  $(d - 1)$ -faces are called *facets*. Furthermore, we say that  $\mathcal{K}$  has rank  $d$ . In analogy with convex polytopes, an abstract 3-polytope is also called a *polyhedron*. We shall abuse notation and identify the *section*  $G/F_{-1} := \{H \mid H \leq G\}$  with the face  $G$  itself. Given a vertex  $v$ , the section  $F_d/v := \{H \mid H \geq v\}$  is called the *vertex-figure* of  $\mathcal{K}$  at  $v$ . For every pair of incident faces  $F \leq G$  such that  $\text{rank}(G) - \text{rank}(F) = 2$ , there exist precisely two faces  $H_1$  and  $H_2$  such that  $F < H_1, H_2 < G$ . This property is referred to as the *diamond condition*. As a consequence of the diamond condition, for any flag  $\Phi$  and any  $i \in \{0, \dots, d - 1\}$  there exists a unique flag  $\Phi^i$  that differs from  $\Phi$  only in the  $i$ -face. This flag is called the  *$i$ -adjacent flag* of  $\Phi$ . Finally,  $\mathcal{K}$  must be *strongly flag-connected*, meaning that for any two flags  $\Phi, \Phi'$  there exists a sequence of flags  $\Phi = \Psi_0, \Psi_1, \dots, \Psi_m = \Phi'$  such that  $\Phi \cap \Phi' \subseteq \Psi_k$ , and  $\Psi_{k-1}$  is adjacent to  $\Psi_k$  for  $k = 1, \dots, m$ .

If  $F$  is an  $(i - 2)$ -face and  $G$  is an  $(i + 1)$ -face of the  $d$ -polytope  $\mathcal{K}$ , with  $F < G$ , then the section  $G/F := \{H \mid F \leq H \leq G\}$  is an abstract polygon. If  $\mathcal{K}$  has the property that the type of each of these sections depends only on  $i$  (and not on the particular choice of  $F$  and  $G$ ), then we say that  $\mathcal{K}$  is *equivelar*. In this case,  $\mathcal{K}$  has a *Schläfli type* (or *Schläfli symbol*)  $\{p_1, \dots, p_{d-1}\}$ , where the section  $G/F$  is a  $p_i$ -gon whenever  $F$  is an  $(i - 2)$ -face and  $G$  is an  $(i + 1)$ -face with  $F < G$ . The numbers  $p_i$  satisfy  $2 \leq p_i \leq \infty$ , but in this paper we will always have  $3 \leq p_i$ . Regular and chiral polytopes, defined below, are examples of equivelar polytopes.

An *automorphism* of a  $d$ -polytope  $\mathcal{K}$  is an order-preserving permutation of its faces. The group of automorphisms of  $\mathcal{K}$  is denoted by  $\Gamma(\mathcal{K})$ . There is a natural action of  $\Gamma(\mathcal{K})$  on the flags of  $\mathcal{K}$ , and we say that  $\mathcal{K}$  is *regular* if this action is transitive. In this case,  $\Gamma(\mathcal{K})$  is generated by involutions  $\rho_0, \dots, \rho_{d-1}$ , where  $\rho_i$  is the unique automorphism mapping a fixed *base flag*  $\Phi$  to its  $i$ -adjacent flag  $\Phi^i$ . These generators satisfy the relations

$$\begin{aligned} \rho_i^2 &= \varepsilon, \\ (\rho_i \rho_j)^2 &= \varepsilon \quad \text{whenever } |i - j| \geq 2, \end{aligned}$$

where  $\varepsilon$  denotes the identity element. Regular polytopes are equivelar, and the order of the element  $\rho_{i-1}\rho_i$  coincides with the Schläfli number  $p_i$ .

The generators  $\{\rho_0, \dots, \rho_{d-1}\}$  also satisfy the intersection conditions given by

$$\langle \rho_i \mid i \in I \rangle \cap \langle \rho_i \mid i \in J \rangle = \langle \rho_i \mid i \in I \cap J \rangle, \quad (1)$$

for all  $I, J \subseteq \{0, \dots, d - 1\}$ .

A *string C-group* is a group together with a generating set  $\{\rho_0, \dots, \rho_{d-1}\}$  such that the generators  $\rho_i$  are involutions satisfying the relation  $(\rho_i \rho_j)^2 = \varepsilon$  for  $|i - j| \geq 2$ , and the intersection condition (1). The string C-groups are in a one-to-one correspondence with the automorphism groups of regular polytopes; in particular, every regular polytope can be reconstructed from its automorphism group.

The *rotation subgroup* of (the automorphism group of) a regular  $d$ -polytope  $\mathcal{K}$  is defined as the subgroup  $\Gamma^+(\mathcal{K})$  of  $\Gamma(\mathcal{K})$  consisting of all elements that can be expressed as words of even length on the generators  $\rho_0, \dots, \rho_{d-1}$ . The index of  $\Gamma^+(\mathcal{K})$  in  $\Gamma(\mathcal{K})$  is at most 2. Whenever  $\Gamma^+(\mathcal{K})$  has index 2 in  $\Gamma(\mathcal{K})$  we say that  $\mathcal{K}$  is *orientably regular*; other sources also use the term *directly regular* (see, for example, [15]).

For  $i = 1, \dots, d - 1$  we define the *abstract rotation*  $\sigma_i$  to be  $\rho_{i-1}\rho_i$ , that is, the automorphism of  $\mathcal{K}$  mapping the base flag  $\Phi$  to  $(\Phi^i)^{i-1}$ . Then  $\Gamma^+(\mathcal{K}) = \langle \sigma_1, \dots, \sigma_{d-1} \rangle$  and the abstract rotations satisfy the relations

$$(\sigma_i \cdots \sigma_j)^2 = \varepsilon \quad (2)$$

for  $i < j$ . The order of  $\sigma_i$  is just the entry  $p_i$  in the Schläfli symbol.

We define *abstract half-turns* as the involutions  $\tau_{i,j} := \sigma_i \cdots \sigma_j$  for  $i < j$ . For consistency we also define  $\tau_{0,i} := \tau_{i,d} := \varepsilon$  and denote  $\sigma_i$  by  $\tau_{i,i}$ . Then the abstract rotations and half-turns satisfy the intersection condition given by

$$\langle \tau_{i,j} \mid i \leq j; i - 1, j \in I \rangle \cap \langle \tau_{i,j} \mid i \leq j; i - 1, j \in J \rangle = \langle \tau_{i,j} \mid i \leq j; i - 1, j \in I \cap J \rangle \quad (3)$$

for  $I, J \subseteq \{-1, \dots, d\}$ .

We say that the  $d$ -polytope  $\mathcal{K}$  is *chiral* if its automorphism group induces two orbits on flags with the property that adjacent flags always belong to different orbits. The facets and vertex-figures of a chiral polytope must be either orientably regular or chiral, and the  $(d - 2)$ -faces must be orientably regular (see [15, Proposition 9]).

The automorphism group  $\Gamma(\mathcal{K})$  of a chiral polytope is generated by elements  $\sigma_1, \dots, \sigma_{d-1}$ , where  $\sigma_i$  maps a base flag  $\Phi$  to  $(\Phi^i)^{i-1}$ . That is,  $\sigma_i$  cyclically permutes the  $i$ - and  $(i - 1)$ -faces of  $\mathcal{K}$  incident with the  $(i - 2)$ - and  $(i + 1)$ -faces of  $\Phi$ . Furthermore, the generators  $\sigma_i$  also satisfy (2) as well as the intersection conditions (3). Because of the obvious similarities between the automorphism group of a chiral polytope and the rotation subgroup of a regular polytope we shall also refer to the generators  $\sigma_i$  of the automorphism group of a chiral polytope as *abstract rotations*, to the products

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