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Integrated research on land-use changes in the face of urban transformations – An analytic framework for further studies

Ellen Banzhaf^{a,*}, Sigrun Kabisch^a, Sonja Knapp^b, Dieter Rink^a, Manuel Wolff^a, Annegret Kindler^a

^a UFZ – Helmholtz Centre for Environmental Research, Department Urban and Environmental Sociology, Permoserstr. 15, D-04318 Leipzig, Germany ^b UFZ – Helmholtz Centre for Environmental Research, Department of Community Ecology, Theodor-Lieser-Str. 4, D-06120 Halle, Germany

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ABSTRACT

We state that land use is under constant pressure, no matter if land-use changes take place in growing or shrinking cities. Land-use changes witness multiple interdependencies between environmental, social and economic factors. In urban areas land is highly exposed to accelerating consumption which makes it a scarce and precious resource. Therefore we need transformative processes to deal with land use in a more sensible and responsible way. We define urban transformations as fundamental, multi-dimensional changes in urban land-use patterns, population developments, infrastructures, governance regimes as well as established values, norms and behaviours. A central focus is on land use and its changes to supply ecosystem services as key driver for quality of life. Most important for us is that transformative processes are highly dynamic and non-linear, thus affecting functions of urban land uses in different ways and with varying consequences. In this sense, governance research is a decisive component to implement our research in practice. With this viewpoint we want to contribute to a debate on land-use changes in alternating growing and shrinking cities to foster appropriate development responses for urban transformations towards sustainability.

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1. Introduction

Urban land-use changes have been under investigation for a very long time and serve as basic indicator for urban transformations. We define urban transformations as fundamental, multidimensional changes in urban land-use and land-consumption patterns, population developments and infrastructure provision, governance regimes as well as established values, and norms. Most important for us is that the processes related to these phenomena are highly dynamic and non-linear (Kabisch and Kuhlicke, 2014). Urban land-use changes affect functions of different types of urban land use in different ways and with varying consequences, even within the same urban area (Weeks, 2010). Hence, our research contributes to initiate and foster transformative urban land use towards sustainable urban development.

The expansion of residential, commercial, industrial, and infrastructural use is analysed as major component of increased land consumption and thus discussed as urban growth processes (Seto

* Corresponding author. *E-mail address:* ellen.banzhaf@ufz.de (E. Banzhaf).

http://dx.doi.org/10.1016/j.landusepol.2016.11.012 0264-8377/© 2016 Elsevier Ltd. All rights reserved. et al., 2011). Moreover, during the last decades, land-use changes in urban areas have also been explored regarding various processes and effects of urban shrinkage including population decline (Haase et al., 2013). Both, urban growth and shrinkage shed light on the dynamics of land use as a scarce and contested resource in the context of ongoing global urbanisation. According to the United Nations' sustainable development goals (UN, 2016), landuse changes must be geared in a resource-efficient and resilient way. In the context of both, urban growth and shrinkage are urban transformations considered as indispensable to pursue these goals.

Since the turn of the millennium, intensified urbanisation advances globally. This observed process is closely linked to the consumption of land as a major challenge for a sustainable development (The European Environmental Agency – EEA, 2015, p. 30). The mass concentration of humans living in urban areas is expected to proceed and two-third of the world's population are projected to become urban dwellers by 2050 (United Nations 2014, 2010).

In our view, it is essential to shed light on the various characteristics of urban transformations from a socio-environmental perspective. This point of view draws particular attention to urban land consumption especially as land is a primary and limited resource, its use is of highest value with multiple functional options







(Seto and Reenberg, 2014). Priority setting herewith depends on power relations of urban stakeholders and on competing governance interests (Van den Dool et al., 2015, p. 218).

Hence, a sensitive issue hardly discussed in urban debates is land use in the light of alternating growth and shrinkage. Both form a paradigm as "a growing-shrinking spectrum on which all cities are positioned" (Herrmann et al., 2016, 1st page). These alternations can depend on changing concatenations of external factors such as economic upswing or political crisis which impact internal characteristics in terms of respective demographic development paths. The latter refer to population stagnation, increase or decline for various time spans that again can change in dynamic urban settings. Alternating urban development paths have implications on urban land use and its multiple functions with regard to its spatiotemporal changes. It is not as simple as assigning less land consumption to shrinking cities and higher land consumption to growing cities there is evidence for opposing development paths of ongoing land consumption no matter which process is going on (Haase et al., 2016; Wolff et al., 2016). In this respect, pressures on urban land constantly exist.

From a socio-environmental science perspective, such pressures are the trigger to accelerate or slow down urban transformations towards a sustainable urban development. Despite being an essential issue in sustainable urban development, this perspective has rarely been exposed in scientific discourses. Against this background it is our intention to fuel this debate.

2. Land-use changes at different scales

Assuming that urban land use is a key component to develop urban areas in a sustainable way, our understanding is that urban transformations must include appropriate developmental responses to disruptions and novel challenges. In this sense, research on urban transformations is highly diverse depending on the disciplinary background of the respective researchers. It encompasses urban areas in different cultural contexts under the pressure of various land-use changes. Unsurprisingly, there is no single strand of academic inquiry that does justice to this diversity (e.g. Block and Paredis 2013; Burch et al., 2014; McCormick et al., 2013; Radywyl and Biggs, 2013; Pickett et al., 2013).

The hitherto known development paths that drive the evolution of urban areas - expressed as urban growth or urban shrinkage can be interrupted or reinforced by external factors. Most studies dealing with urban transformations, however, focus either on growth or shrinkage, using population development as major indicator (Banzhaf et al., 2009; Bontje and Musterd 2012; Haase et al., 2013; Mavrakis et al., 2015; Sharifi et al., 2014). Against this backdrop it must be addressed that most studies do not refer to different spatiotemporal scales. Urban realities indicate that there is no linear trend of either growth or shrinkage and that they are no phenomena affecting merely population development or land-use changes alone. As implications of land consumption, densely builtup and perforated residential areas can exist in both, growing and shrinking cities. They may in turn impair the provision of ecosystem services; complying a downgrade of quality of life (The Global Development Research Center, 2016). Therefore a rather complex approach is necessary, embedding the various interdependencies as well as spatial and temporal considerations at several scales. Such entangled developments are especially challenging, as different transformation processes are linked to different forms of disruption that are both specific as well as subject to certain overlaps. We recognize that urban areas can be affected by various impacts disparately in temporal and spatial scales which trigger contrasting and non-linear developments. The interspersed processes of shrinkage and growth require careful analysis across

multiple scales, given that they can often be observed between different neighbourhoods within the same urban area, in different areas of the same city as well as between urban regions. Respectively, different temporal dimensions (from short-term to long-term) of these impacts require attention.

By examining paths of diverse urban dynamics, we gain a nuanced perspective on urban areas that allows us to apply our theories and field studies to the context of urban transformations.

2.1. Research focus

The focus of our research is on processes of urban land-use changes, their drivers, triggers, trade-offs and conflicts. It identifies options and limitations for a resource-efficient and resilient urban development to ensure appropriate quality of life to urban dwellers. Based on the interdisciplinary approach fed by natural and social sciences we analyse and assess past, ongoing and intended landuse changes and their consequences of (non)sustainable land-use strategies. These insights nourish our knowledge for further transformative urban land use.

Our key question is: How can research on land-use changes further our understanding of processes of urban transformations towards resource efficient and resilient cities that offer an adequate quality of life?

We investigate land-use alternatives for different land-use types such as residential areas, green spaces and brownfields on different spatial and temporal scales. Given that our ultimate aim is to find ways for sustainable land-use options and regulations, we also integrate governance issues into our research approach (see Fig. 1). In this context 'governance' refers to co-creating and co-designing land-use options in a transparent and participatory manner integrating decision makers from policy and planning, as well as representatives from civil society (Frantzeskaki et al., 2014).

To understand land-use processes extensively we apply quantitative and qualitative concepts in an integrated way: We lay our methodological foci on both, objectively measurable land-use patterns and conditions as well as on less tangible factors such as attitudes and perceptions of residents to better comprehend land-use structures and changes (Banzhaf et al., 2014). The first focus is of quantitative nature encompassing monitoring and modelling techniques as well as spatial statistics for which we combine remotely sensed data from satellite imageries, digital orthophotos and drones (big data) with population statistics. For the second, we apply long-term surveys, questionnaires, expert knowledge and smartphone apps. Both, the mix of methods and the combination of traditional with advanced data sources and methods require a multidisciplinary team. Hence we can underpin our spatially explicit knowledge by individual and subjective evaluation, and reflect public viewpoints in a participatory way. Especially smartphone apps are a mapping toolset that serve at local level with a global multiplier.

We share our research activities underpinned by empirical evidence with practitioners and decision-makers to enhance and to encourage transformative urban land use.

3. Urban land-use dynamics and their impacts

Urban land-use dynamics are of pivotal significance due to multiple interdependencies between various environmental, social and economic factors. The dynamics of urban areas give rise to either condensed or dispersed developments of built-up areas, a reduction of open spaces due to population growth or the demolition of buildings due to a lack of residents – all affecting urban land use (Banzhaf and Höfer, 2008). Land-use structures may be mono-functional or multifunctional and may be based on varying speeds and spatial Download English Version:

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