



# Frequency domain constrained optimization of boundary control action for maximization of mixing in channel flow



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## HIGHLIGHTS

- Developed a constrained optimization approach for maximization of mixing due to electro-osmosis.
- Dynamic voltage inputs (amplitude, phase and frequency) that maximize mixing are determined.
- Developed an infinite-dimensional system to describe the relationship between hydrodynamics and electro-osmotic slip velocities.
- Constructed a virtual output that allows for hydrodynamic mixing maximization to be conducted in the frequency domain.
- The effects of Reynolds number, electrode size, spacing, and number of electrodes were studied.

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## ABSTRACT

Improving mixing is one of the important goals in flow control, *e.g.*, to decrease concentration polarization in membrane systems to reduce fouling. As with many distributed parameter systems, fluid flow can be controlled using boundary value manipulation. Fluid manipulation using electro-osmosis is studied in this work, where several cylindrical electrodes are used to create multiple spatially non-uniform time-varying electric fields. The proposed approach converts the distributed parameter system into an infinite-dimensional system by spatial and spectral discretization. A virtual output variable is constructed to allow the optimization of a mixing objective function to be conducted using frequency response analysis, with consideration of the constraints of conservation of charge. The solution obtained in this paper is the input profile that provides the greatest achievable ratio of time-average dissipation function to time-average input energy satisfying the input constraints.

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## 1. Introduction

Mixing in fluid systems is of great value in applications such as pharmaceuticals and biotechnology (Couchman and Kerrigan, 2010). The aim of mixing in fluids is typically to combine fluids of different properties and make the fluid more homogeneous in terms of properties such as temperature and solute concentrations (Couchman and Kerrigan, 2010). Homogeneous solutions are generally more desirable and products made from homogeneous solutions are generally more consistent and more profitable than those made from poorly mixed fluids (Couchman and Kerrigan, 2010). Laminar flow systems are of particular interest for mixing enhancement. This is because, under laminar flow conditions, very little mixing occurs naturally. Turbulent flows tend to have higher mixing than laminar flows, but this is often accompanied by large

energy costs. Additionally, turbulent flows cannot be used in some applications. These include microchannels where the channel size restricts flow to laminar conditions, food processing where the fluids typically have a high viscosity, pharmaceuticals where throughput is generally small, and biotechnology where the materials in the flow may be damaged in high shear conditions (Couchman and Kerrigan, 2010).

In order to mathematically study mixing and develop mixing enhancement approaches, many existing approaches use a scalar function that represents the inhomogeneity of the fluid. Other approaches include using mixing indices such as the fluid stretching, folding, vorticity, and dissipation as scalar functions of interest (Ouyang et al., 2013). These velocity-based mixing indices are more suited to purely hydrodynamic studies where the fluid studied is homogeneous but the aim is to perturb the fluid flow profile (Bamieh et al., 2001). This is often a precursor to more complicated heat and mass transport studies where the aim is to decrease inhomogeneity in the concentration and/or temperature

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## Nomenclature

### Symbols

$A$	state space matrix that relates rate of change in $\mathbf{X}(t)$ to the present value of $\mathbf{X}(t)$	$M$	largest wavenumber considered in the study
$A_m$	state space matrix that relates rate of change in $\mathbf{X}_m(t)$ to the present value of $\mathbf{X}_m(t)$ . Changes for each wavenumber $m$	$N$	positive integer number of subdivisions in the $y$ -direction
$B$	state space matrix that relates the rate of change in $\mathbf{X}(t)$ to the rate of change in $\mathbf{w}(t)$	$P_m(x)$	complex exponential with $m$ oscillations per dimensionless length $L$
$B_m$	state space matrix that relates the rate of change in $\mathbf{X}_m(t)$ to the rate of change in $\mathbf{w}_m(t)$ . Does not change with wavenumber	$Q(\gamma(j\omega_0))$	function that forces the sum of charges to be zero when solved for $\gamma(j\omega_0)$
$B_{m,k}$	complex coefficient of $e^{j\omega t}$ as part of the Fourier decomposition of $E_{x,k}(x)$	$\bar{Q}(\gamma(j\omega_0))$	simplified version of $Q(\gamma(j\omega_0))$ that obtains only real solutions to the overall problem
$C$	state space matrix that converts state variables $\mathbf{X}(t)$ to output variables $\mathbf{Y}(t)$	$R$	positive integer number of solutions to $\bar{Q}(\gamma(j\omega_0))$
$C_m$	state space matrix that converts state variables $\mathbf{X}_m(t)$ to output variables $\mathbf{Y}_m(t)$	$\bar{R}$	matrix converting $\mathbf{a}_m(t)$ to $\bar{\mathbf{a}}_m^R(t)$
$\mathbb{C}$	set of complex numbers	$\mathbb{R}$	set of real numbers
$\mathcal{D}_N$	discrete differentiation matrix for Chebyshev collocation method with $N$ subdivisions	$Re$	Reynolds number
$E_{x,k}(x)$	dimensionless electric field coefficients for the $k$ th electrode at the slip wall surface in the $x$ -direction	$T$	period of oscillation
$\hat{E}_{\hat{x}}(\hat{x}, \hat{y}, \hat{t})$	total electric field in the streamwise direction for the real channel ( $\text{kg m s}^{-3} \text{A}^{-1}$ )	$\hat{U}$	characteristic velocity of fluid in the real channel (average crossflow velocity) ( $\text{m s}^{-1}$ )
$\hat{E}_{\hat{x},k}(\hat{x}, \hat{y}, \hat{t})$	total electric field in the streamwise direction for the real channel due to all $k$ th electrodes ( $\text{kg m s}^{-3} \text{A}^{-1}$ )	$\tilde{U}(y)$	steady state crossflow profile for the dimensionless channel
$\hat{E}_{\hat{x},k,\theta}(\hat{x}, \hat{y}, \hat{t})$	electric field in the streamwise direction for electrode $k$ in the $\theta$ th channel segment ( $\text{kg m s}^{-3} \text{A}^{-1}$ )	$\tilde{U}_N^{(q)}$	diagonal matrix where each diagonal entry is $q$ th derivative of $\tilde{U}(y)$ evaluated at each $y_n$
$\hat{E}_{\hat{y},k,\theta}(\hat{x}, \hat{y}, \hat{t})$	electric field in the normal direction for electrode $k$ in the $\theta$ th channel segment ( $\text{kg m s}^{-3} \text{A}^{-1}$ )	$\mathcal{U}$	space of voltages $\mathbf{u}(t)$ such that $\mathbf{u}(t)$ satisfies all necessary constraints
$\hat{E}$	matrix containing $\hat{E}_m$ for all wavenumbers $m$	$\mathcal{U}_{\mathcal{F}}$	space of voltages $\hat{\mathbf{u}}(j\omega)$ such that $\hat{\mathbf{u}}(j\omega)$ satisfies all necessary constraints
$\hat{E}_m$	matrix containing the real and imaginary parts of $B_{m,k}$ for all $k$ used for quadratic optimization	$V(j\omega)$	orthonormal input matrix in singular value decomposition
$\hat{E}_{x,k}(x)$	dimensionless electric field coefficients for the $k$ th electrode at the slip wall surface in the $x$ -direction for the quadratic optimization	$\tilde{V}_k(j\omega_0)$	$k$ th component of $\tilde{V}(j\omega)$
$\mathbf{E}_x^T(x)$	dimensionless row vector of electric field coefficients at the slip wall surface in the $x$ -direction	$\tilde{V}_k^I(j\omega_0)$	imaginary part of $\tilde{V}_k(j\omega)$
$\mathbf{E}_{x,k}$	vector of electric field at slip wall at points $x_e$	$\tilde{V}_k^R(j\omega_0)$	real part of $\tilde{V}_k(j\omega)$
$\hat{\mathbf{E}}(\hat{x}, \hat{y}, \hat{t})$	total electric field vector in real channel ( $\text{kg m s}^{-3} \text{A}^{-1}$ )	$\tilde{V}_{ij}(t)$	dimensionless potential difference between electrodes $i$ and $j$
$\hat{\mathbf{E}}_k(\hat{x}, \hat{y}, \hat{t})$	electric field vector in real channel due to all $k$ th electrodes ( $\text{kg m s}^{-3} \text{A}^{-1}$ )	$\hat{V}(\hat{x}, \hat{y}, \hat{t})$	real electric potential as a function of location and time ( $\text{kg m}^2 \text{s}^{-3} \text{A}^{-1}$ )
$\hat{\mathbf{E}}_{k,\theta}(\hat{x}, \hat{y}, \hat{t})$	electric field vector for electrode $k$ in the $\theta$ th channel segment ( $\text{kg m s}^{-3} \text{A}^{-1}$ )	$\hat{V}_i(\hat{t}), \hat{V}_j(\hat{t})$	real electric potential at the top of electrode $i$ and $j$ respectively ( $\text{kg m}^2 \text{s}^{-3} \text{A}^{-1}$ )
$\hat{\mathbf{E}}_{\hat{x}}^T(\hat{x})$	row vector of electric fields in the $\hat{x}$ -direction for the real channel ( $\text{kg m s}^{-3} \text{A}^{-1}$ )	$\hat{V}_{ij}(\hat{t})$	real potential difference between electrodes $i$ and $j$ ( $\text{kg m}^2 \text{s}^{-3} \text{A}^{-1}$ )
$G(j\omega)$	frequency response from $\hat{\mathbf{u}}(j\omega)$ to $\hat{\mathbf{Y}}(j\omega)$	$\hat{V}_{ij,r_{\max}}(\hat{t})$	real potential difference between electrodes $i$ and $j$ for the most effective input ( $\text{kg m}^2 \text{s}^{-3} \text{A}^{-1}$ )
$I$	identity matrix. Subscript indicates size of matrix	$\tilde{V}_{ij}(j\omega_0)$	complex coefficient of complex exponential of dimensionless potential difference between electrodes $i$ and $j$
$I_a$	matrix converting $\bar{\mathbf{a}}_m^R(t)$ to $\mathbf{a}_m(t)$	$\tilde{V}_{ij}^R(j\omega_0)$	real coefficient of cosine wave of dimensionless potential difference between electrodes $i$ and $j$
$J(\mathbf{u}(t), T)$	time domain objective function	$\tilde{V}_{ij}^I(j\omega_0)$	real coefficient of sine wave of dimensionless potential difference between electrodes $i$ and $j$
$J'(\hat{\mathbf{u}}(j\omega_0))$	frequency domain objective function	$W_y$	weighting function applied in $C_m$ such that $W_y^T W_y = W_y^H$
$J_c(\hat{\mathbf{u}}(j\omega_0))$	frequency domain objective function for the system with input constraints	$W_y^H$	symmetric weighting function such that it satisfies weightings necessary for an appropriate quadrature
$J_u(\hat{\mathbf{u}}(j\omega_0))$	frequency domain objective function for the unconstrained system	$X(j\omega)$	orthonormal output matrix in singular value decomposition
$L$	dimensionless length of channel	$\mathbf{X}(t)$	state vector containing coefficients at all wavenumbers
$L_k$	location of the centre of electrode $k$ in the $x$ -direction of the dimensionless channel	$\mathbf{X}_m(t)$	state vector containing coefficients at wavenumber $m$
$\hat{L}$	length of the real channel (m)	$Y(x, y, t)$	pseudo-output for velocity magnitude field
$\hat{L}_i, \hat{L}_j, \hat{L}_k$	location of centre of electrode $i, j,$ and $k$ respectively in	$\mathbf{Y}(t)$	pseudo-output vector containing velocity profile at each Chebyshev–Gauss–Labotto point
		$\mathbf{Y}(x, t)$	output vector of velocity profiles at each Chebyshev–Gauss–Labotto point
		$\mathbf{Y}_m(t)$	output vector containing coefficients at wavenumber $m$

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