



# A multifunctional nanotheranostic for the intelligent MRI diagnosis and synergistic treatment of hypoxic tumor

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## ABSTRACT

Hypoxia, as an inevitable characteristic of solid tumors, has been regarded as a noticeably causative factor to therapeutic resistance and metastatic variants. Exploring novel theranostics to realize the accurate diagnosis of hypoxia and the simultaneous implementation of effective therapy is a promising prospect for the successful treatment of tumors. In the present study, we designed and synthesized a multifunctional rattle-structured nanotheranostic, with the inner core coated by hollow mesoporous silica for chemical drug Doxorubicin (DOX) storage and hypoxia-sensitive MnO<sub>2</sub> enrichment. In various acidic micro-environments caused by hypoxia, MnO<sub>2</sub> nanosheets could be degraded into manganese ions (Mn<sup>2+</sup>), which were chelated by the modified Tetraxetanum (DOTA) ligands for real-time T<sub>1</sub>-magnetic resonance imaging (T<sub>1</sub>-MRI), with on-demand DOX release to realize both normoxia and hypoxia-sensitive chemotherapy by overcoming hypoxia. Nanotheranostics integrating hypoxia-driven T<sub>1</sub>-MRI with synergetic chemotherapy have tremendous potential in the intelligent diagnosis, personalized treatment and excellent prognosis of solid tumors in the future.

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## 1. Introduction

Hypoxia, associated with the vulnerable invasion, metastasis and resistance to conventional therapies, is a distinguishing feature of solid tumors, which leads to the poor prognosis of cancer patients [1,2]. The exploration of novel theranostics against hypoxic tumors has attracted increasing attention in biotechnology research as well as clinical studies. However, it is difficult to obtain an accurate diagnosis and effective treatment of hypoxia *in vivo*, let alone achieve both using a single system. For hypoxia detection, several existing techniques, such as the O<sub>2</sub> needle electrode method [3] and immunostaining [4], could not meet the requirements of

“real-time” and “accuracy” in the clinic. We previously reported an ultrasensitive O<sub>2</sub> nanoprobe for the detection of hypoxia *in vivo*, but this fluorescence imaging technique [5] suffered from the limited tissue-penetration depth of light [6]. Among modern medical imaging instruments, the recently developed positron emission tomography (PET) [7,8] could quantitatively offer accurate 3D assessment of hypoxia relying on the radiotracer probes [9], but the accompanying ionizing radiation is an unwanted and inconvenient factor for patients. In contrast, magnetic resonance imaging (MRI) [10,11], with the unique advantages of high spatial resolution, no penetration depth limit, no ionizing radiation, etc. [12], can safely provide information for on-site and real-time pathological conditions, representing a competitive candidate in hypoxic tumor imaging. Moreover, using functionalized nanoparticles as contrast agents (CAs) [13–15] that can amplify the magnetic resonance signals and respond to specific tumor microenvironments [16], such as subacidity [17,18] and reducibility [19], sensitive hypoxia detection and simultaneous therapy would be more feasible with an integrated nanoplatform.

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The timely and effective treatment and accurate diagnosis of hypoxia are also enormous challenges, as malignant tumors lack sufficient molecular oxygen and thereby become resistant to both chemotherapy and radiotherapy. Recently, we summarized the progress of the diagnosis and treatment of hypoxic tumors and proposed three treatment strategies—“evading hypoxia, overcoming hypoxia, or utilizing the low oxygen concentration”—to reverse therapy resistance [20]. Considerable research efforts have been dedicated to determine the problem by implementing these strategies [21–23], but those strategies were either unintelligent for hypoxia-driven self-adaptation treatments or unable to overcome the inherent shortcomings. For example, we previously reported the evasion of hypoxia by the integration of scintillators and semiconductors to achieve synchronous radiotherapy, and depth-insensitive PDT could diminish oxygen dependence of radiotherapy, but neither of them could achieve treatment based on the state of the hypoxic condition [24]. Recently, tirapazamine (TPZ), as a specialized drug for hypoxia tumors, was used with photosensitizers for synergistic chemotherapy and photodynamic therapy by utilizing the characteristics of hypoxia [25]. Although TPZ is efficient for hypoxic cells, it shows negligible lethality to normoxia, which increases the risk of using multiple drugs. Thus, the using of a single drug (such as DOX [26]) would be more efficient to treat both normoxic and hypoxic cells by overcoming the deficiency of oxygen according to the tumor treatment need as well as the amount of the released drug that could be effectively tracked. Therefore, an intelligent nanoplatform that could produce a self-regulating stable oxygen concentration environment based on the degree of hypoxia to recover chemo-sensitivity and simultaneously respond to the tumor micro-environment to realize on-demand stimuli-responsive drug release is urgently needed.

Herein, a multifunctional rattle-structured nanotheranostic was designed and fabricated, with an upconversion nanoparticle (UCNP) as the core, hollow mesoporous silica wrapped on the outside of the UCNP with DOX filled within the cavity, MnO<sub>2</sub> nanosheets [27] modified in mesopores as hypoxia-sensitive agents, and PEG and DOTA ligands grafted onto the outer surface of the nanoparticles (named DOX-UCHSM-DOTA). Because the novel nanotheranostic could sensitively respond to the specific micro-environment of the tumor to degrade MnO<sub>2</sub> nanosheets to manganese ions (Mn<sup>2+</sup>), concomitantly obtaining the following two goals: 1) the produced Mn<sup>2+</sup> relying on hypoxic degree could be immediately chelated by DOTA for the real-time T<sub>1</sub>-MRI diagnosis of hypoxia; and 2) with the degradation of MnO<sub>2</sub> nanosheets, the nanoplatform could intelligently release DOX and replenish O<sub>2</sub> to realize normoxic and hypoxic sensitivity in chemotherapy with a single drug. This constructed nanotheranostic showed great potential to meet the demands of real-time and non-invasive hypoxic regions diagnosis and on-demand hypoxic sensitivity therapy.

## 2. Materials and methods

### 2.1. Materials

Cetyltrimethylammonium chloride solution (CTAC, 25% by wt. in H<sub>2</sub>O), 1-Octadecene (90%), Ammonium fluoride (NH<sub>4</sub>F), PVP (M<sub>w</sub> = 40,000), TmCl<sub>3</sub>·6H<sub>2</sub>O, YCl<sub>3</sub>·6H<sub>2</sub>O, YbCl<sub>3</sub>·6H<sub>2</sub>O, CO-520 (NP-5), Triethanolamine (TEA), 3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide (MTT) and Doxorubicin Hydrochloride (DOX) were purchased from Sigma-Aldrich. Oleic acid (OA), Tetraethyl orthosilicate (TEOS), Potassium permanganate (KMnO<sub>4</sub>), Ammonia solution (25–28%), Sodium chloride (NaCl), Ethanol, Methanol, Cyclohexane, MnSO<sub>4</sub> and Sodium hydroxide (NaOH) were obtained from Sinopharm Chemical Reagent Co., Ltd. 1,4,7,10-Tetraazacyclododecane-1,4,7,10-tetraacetic Acid (DOTA) was

purchased from Alfa Aesar Chemical Co., Ltd. *N*-Hydroxysuccinimide (NHS) and *N*'-(3-Dimethylaminopropyl)-*N*-Ethylcarbodiimide Hydrochloride (EDC) were purchased from Aladdin Industrial Corporation. HS-PEG-NH<sub>2</sub> (2000) and Pimnidazole HCl were purchased from Yare Biotech, Co., Ltd. (Shanghai, China). All reagents were analytical grade and without further purification.

### 2.2. Synthesis of NaYF<sub>4</sub>:Yb,Tm nanoparticles

The monodisperse NaYF<sub>4</sub>:20%Yb,0.5%Tm nanocrystals prepared by thermal decomposition method [28]. YCl<sub>3</sub>·6H<sub>2</sub>O (482.3 mg, 1.59 mmol), YbCl<sub>3</sub>·6H<sub>2</sub>O (155.0 mg, 0.4 mmol), and TmCl<sub>3</sub>·6H<sub>2</sub>O (3.83 mg, 0.01 mmol) which were dispersed in deionized water were added to 100 mL flask containing 15 mL oleic acid and 30 mL 1-Octadecene. The mixture was stirred well at room temperature for one hour. Then water was removed from the mixture by slowly heating to 120 °C under the protection of an argon atmosphere, and it was further got rid of by keeping the temperature at 156 °C for an hour in an argon atmosphere. The system was a homogeneous transparent yellow solution and then cooled down to room temperature with the flowing of argon. 10 mL methanol solution containing NaOH (200 mg, 5 mmol) and NH<sub>4</sub>F (296.3 mg, 8 mmol) was then added to the system, and the mixture was stirred for about two hours at room temperature. After getting rid of the methanol, the solution was slowly heated to 280 °C and maintained the temperature at 280–290 °C for 1.5 h. The products were precipitated by adding 20 mL ethanol and collected by centrifugation at 13,000 rpm for 15 min. After washing several times with cyclohexane and ethanol, the nanoparticles were dispersed in 20 mL cyclohexane (100 mM).

### 2.3. Synthesis of NaYF<sub>4</sub>:Yb,Tm@NaYF<sub>4</sub> nanoparticles

For the synthesis of NaYF<sub>4</sub>:Yb,Tm@NaYF<sub>4</sub> nanocrystal, about 1.0 mmol NaYF<sub>4</sub>: Yb, Tm was firstly prepared using the similar procedures as mentioned above. 800 μmol YCl<sub>3</sub>·6H<sub>2</sub>O in water solution was added in to a 100 mL flask containing 15 mL oleic acid and 30 mL 1-octadecene. Then the mixture was stirred well and got rid of water. Then 10 mL methanol solution of NH<sub>4</sub>F (1 mmol) and NaOH (1.685 mmol) were added and the solution was stirred evenly. Next removing methanol from the system, the solution was heated to 270–280 °C and kept temperature for 1.5 h. The same washing steps were followed and sample was re-dispersed in 20 mL cyclohexane.

### 2.4. Synthesis of NaYF<sub>4</sub>:Yb,Tm@NaYF<sub>4</sub>@dSiO<sub>2</sub>

Using the anti-microemulsion method, as-prepared NaYF<sub>4</sub>:Yb,Tm@NaYF<sub>4</sub> nanoparticles evenly were wrapped a layer of dense silicon oxide on the surface. The mixture that composed of igepal CO-520 (NP-5, 2 mL) and cyclohexane (40 mL) was stirred slowly for one hour. After that, as-prepared NaYF<sub>4</sub>:Yb,Tm@NaYF<sub>4</sub> hexane solution (2 mL) was added into the mixture and stirred for three hours. Then ammonium hydroxide (280 μL, 30%) was added dropwise into the solution and the mixture was stirred for another two hours. The solution containing tetraethyl orthosilicate (TEOS, 4 mL) and cyclohexane (16 mL) was added into the system for 1 h by using a syringe pump which was used to control the adding rate as 0.1 mL/h. After that, the system continued to stir for 24 h. Then, methanol was added into the system to terminate the reaction and collected by centrifugation at 13,000 rpm for 15 min. After washed several times with ethanol to remove excess NP-5, the nanoparticles were dispersed in 10 mL deionized water.

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