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Title: Development and assessment of an optimized next-generation DNA sequencing approach for the mtgenome using the Illumina MiSeq



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## ACCEPTED MANUSCRIPT

- Development and assessment of an optimized next-generation DNA sequencing 1 2 approach for the mtgenome using the Illumina MiSeq 3 Jennifer A. McElhoe<sup>a\*</sup>, Mitchell M. Holland<sup>a</sup>, Kateryna D. Makova<sup>b</sup>, Marcia Shu-Wei Su<sup>b</sup>, 4 Ian M. Paul<sup>c</sup>, Christine H. Baker<sup>d</sup>, Seth A. Faith<sup>d</sup>, and Brian Young<sup>d</sup> 5 6 7 a. Forensic Science Program, The Pennsylvania State University, University Park, PA 8 16802, USA 9 b. Biology Department, The Pennsylvania State University, University Park, PA 16802, 10 USA 11 c. Department of Pediatrics, Penn State College of Medicine, Hershey, PA 17033, USA d. Battelle, Columbus, OH 43201, USA 12 13 14 \* Corresponding author at: Forensic Science Program, Penn State University, University Park, 15 16 PA. Tel.: 814-571-9265; Fax: 814-863-8372; e-mail address: jam760@psu.edu (Jennifer A. 17 McElhoe). 18 Abstract: The development of molecular tools to detect and report mitochondrial DNA (mtDNA) 19 heteroplasmy will increase the discrimination potential of the testing method when applied to 20 forensic cases. The inherent limitations of the current state-of-the-art, Sanger-based sequencing, 21 including constrictions in speed, throughput, and resolution, have hindered progress in this area. 22 With the advent of next-generation sequencing (NGS) approaches, it is now possible to clearly 23 identify heteroplasmic variants, and at a much lower level than previously possible. However, in 24 order to bring these approaches into forensic laboratories and subsequently as accepted scientific 25 information in a court of law, validated methods will be required to produce and analyze NGS 26 data. We report here on the development of an optimized approach to NGS analysis for the 27 mtDNA genome (mtgenome) using the Illumina MiSeq instrument. This optimized protocol 28 allows for the production of more than 5 gigabases of mtDNA sequence per run, sufficient for 29 detection and reliable reporting of minor heteroplasmic variants down to approximately 0.5-1.0% 30 when multiplexing twelve samples. Depending on sample throughput needs, sequence coverage 31 rates can be set at various levels, but were optimized here for at least 5,000 reads. In addition, 32 analysis parameters are provided for a commercially available software package that identify the 33 highest quality sequencing reads and effectively filter out sequencing-based noise. With this 34 method it will be possible to measure the rates of low-level heteroplasmy across the mtgenome, 35 evaluate the transmission of heteroplasmy between the generations of maternal lineages, and 36 assess the drift of variant sequences between different tissue types within an individual. 37 Keywords: MiSeq, mtDNA, NextGENe, heteroplasmy, next-generation sequencing, Nextera
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