



Entransy theory for the optimization of heat transfer – A review and update



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ABSTRACT

Heat transfer optimization methods to effectively improve heat transfer performance is of great importance for energy conservation and pollution reduction. A recently developed heat transfer optimization method based on entransy theory and related peer-reviewed papers published between 2003 and 2010 are reviewed and updated in this paper to describe entransy, entransy dissipation, optimization criteria and optimization principles and their applications to different heat transfer modes (thermal conduction, convection and radiation) and to different levels (heat transfer element, heat exchanger, and heat exchanger network). Entransy theory is then compared with entropy theory in several aspects, including the heat transfer purpose, irreversibility and optimization principle for energy savings or weight reductions of thermal facilities. Finally, entransy theory is also compared with constructal theory in terms of optimization objective, optimization method and optimized results.

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Nomenclature

$A, B, C, C_0, A', B', C', C'_0$	Lagrange multipliers	\dot{q}_e	electrical current density, $A m^{-2}$
c	speed of light in vacuum, $m s^{-2}$	Re	Reynolds number
c_p	constant pressure specific heat capacity, $J kg^{-1} K^{-1}$	R_h	thermal resistance, K/W
c_v	constant volume specific heat capacity, $J kg^{-1} K^{-1}$	\dot{S}_q	internal heat source, $W m^{-3}$
E_e	electrical potential energy in a capacitor, J	\dot{S}_{hg}	internal entransy source, $W K m^{-3}$
E_g	gravitational potential energy of fluid in a vessel, J	\dot{S}_g	entropy generation rate, $W K^{-1}$
E_h	potential energy of a phonon gas, J	\dot{s}_g	entropy generation rate per unit area during thermal radiation, $W K^{-1} m^{-2}$
e_h	density of potential energy of a phonon gas, $J m^{-3}$	T	temperature, K
\dot{e}_h	potential energy flux of a phonon gas, $W m^{-2}$	U	internal energy, J
F	additional volume force for laminar heat transfer, $N m^{-3}$	U_e	electrical potential, V
F_t	additional volume force for turbulent heat transfer, $N m^{-3}$	U_h	thermal potential, K
f	friction factor	U_{hr}	radiative heat flux potential, $W m^{-2}$
G	entransy, $J K$	\vec{U}	velocity vector, $m s^{-1}$
g	specific entransy, $J K m^{-3}$; gravitational acceleration, $m s^{-2}$	U_h	velocity vector of a phonon gas, $m s^{-1}$
\dot{g}	entransy flux, $W K m^{-2}$	V	volume, m^3
\dot{g}_r	radiative entransy flux, $W^2 m^{-4}$	u, v, w	velocity components in x, y and z directions, $m s^{-1}$
H	height, m	x, y, z	Cartesian coordinates, m
K	heat exchanger heat transfer coefficient, $W m^{-2} K^{-1}$	<i>Greek symbols</i>	
k	thermal conductivity, $W m^{-1} K^{-1}$	ε	emissivity
k_e	electrical conductivity, $S m^{-1}$	η	uniformity factor for a temperature difference field
M	mass, kg	λ	Lagrange multiplier
\dot{M}	mass flow rate, $kg s^{-1}$	μ	dynamic viscosity, $kg m^{-1} s^{-1}$
M_h	thermomass, kg	ρ	density, $kg m^{-3}$
Nu	Nusselt number	ρ_h	thermomass density, $kg m^{-3}$
\vec{n}	unit vector	σ	Stefan–Boltzmann constant, $W m^{-2} K^{-4}$
P	pressure, Pa	τ	time, s ; viscous force, $N m^{-2}$
Pr	Prandtl number	Φ_g	entransy dissipation rate, $W K$
Q_{ve}	electrical charge stored in a capacitor, C	ϕ_e	dissipation rate per unit volume of the potential energy of a phonon gas, $W m^{-3}$
Q_{vh}	thermal energy stored in an incompressible body, J	$\dot{\phi}_g$	entransy dissipation rate per unit volume, $W K m^{-3}$
\dot{Q}	heat transfer rate, W	ϕ_m	viscous dissipation rate per unit volume, $W m^{-3}$
\dot{Q}_t	total heat exchange rate in a heat exchanger, W		
\dot{q}	heat flux, $W m^{-2}$		

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1. Introduction

Heat transfer is thermal energy in transit due to a temperature difference, one of the most common physical phenomena in the world, especially in energy systems. Estimates of all the worldwide energy utilization suggest more than 80% involve heat transfer processes. Thus, improved heat transfer performance offers a huge potential for conserving energy and reducing CO₂ emission so as to reduce global warming [1,2]. During the past several decades, heat transfer science, dealing with analyses of heat transfer rates taking place in systems, has been well developed with a large number of heat transfer enhancement techniques developed to improve the performance of energy generation, conversion, consumption and conservation [1–13]. However, unlike thermodynamics, another thermal science subject, there is no concept of efficiency but only the concept of the heat transfer rate, so scientists and engineers often focus on heat transfer enhancement which is usually associ-

ated with increased pumping power and in turn usually reduces the energy utilization efficiency.

Heat transfer is an irreversible, non-equilibrium process from the thermodynamic viewpoint. In a key part of non-equilibrium thermodynamics [14], Onsager [15,16] set up the famous reciprocal relationships for non-equilibrium processes including heat transfer and derived the principle of the least dissipation of energy in terms of the entropy balance equation, where entropy generation is considered to be a measure of irreversibility. Prigogine [17] developed the minimum entropy production principle based on the idea that the entropy production in a thermal system at steady state had to be at the minimum. Both of these principles, however, did not deal with how to improve the heat transfer performance until Bejan [18–23] deduced an expression for the entropy generation to measure the irreversibility of convective heat transfer in viscous fluid flows. Thereafter, many scholars [24–46] have employed the minimum entropy generation as an objective function, and some of them also combined it with optimization

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