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1 Research article

Type C feruloyl esterase from *Aspergillus ochraceus*: A butanol specific biocatalyst for the synthesis of hydroxycinnamates in a ternary solvent system

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ABSTRACT

Background: Aspergillus ochraceus was isolated from coffee pulp and selected as an interesting hydroxycinnamoyl 20 esterase strain producer, using an activity microplate high-throughput screening method. In this work, 21 we purified and characterized a new type *C A. ochraceus* feruloyl esterase (AocFaeC), which synthesized 22 specifically butyl hydroxycinnamates in a ternary solvent system. 23

Results: AocFaeC was produced by solid state fermentation (SSF), reaching its maximal activity (1.1 U/g) after 24 48 h of culture. After purification, the monomeric protein (34 kDa) showed a specific activity of 57.9 U/mg 25 towards methyl ferulate. AocFaeC biochemical characterization confirmed its identity as a type C feruloyl 26 esterase and suggested the presence of a catalytic serine in the active site. Its maximum hydrolytic activity was 27 achieved at 40°C and pH 6.5 and increased by 109 and 77% with Ca^{2+} and Mg^{2+} , but decreased by 90 and 45% 28 with Hg^{2+} and Cu^{2+} , respectively. The initial butyl ferulate synthesis rate increased from 0.8 to 23.7 nmol/min 29 after transesterification condition improvement, using an isooctane:butanol:water ternary solvent system, 30 surprisingly the synthesis activity using other alcohols was negligible. At these conditions, the synthesis 31 specific activities for butyl *p*-coumarate, sinapinate, ferulate, and caffeate were 87.3, 97.6, 168.2, and 32 234 U/µmol, respectively. Remarkably, AocFaeC showed 5 folds higher butyl caffeate synthesis rate compared 33 to type B *Aspergillus niger* feruloyl esterase, a well-known enzyme for its elevated activity towards caffeic acid 34 esters.

Conclusions: Type C feruloyl esterase from A. ochraceus is a butanol specific biocatalyst for the synthesis of 36 hydroxycinnamates in a ternary solvent system. 37

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57 **1. Introduction**

58 Feruloyl esterases (Faes; E.C. 3.1.1.73) are a group of hydrolases broadly distributed in plants and microorganisms that catalyze 59 the hydrolysis or condensation between hydroxycinnamic acids 60 61 (*p*-coumaric, caffeic, ferulic, and sinapinic acid) and an alcohol moiety. Their substrate specificity against four methyl hydroxycinnamates and 62 63 their primary sequence identity allows the Faes to be classified into 64 four types (A-D) [1]. Type A Faes show a preference for methyl 65 ferulate and sinapinate, type B Faes for methyl p-coumarate and 66 caffeate, while type C and D Faes have a broad specificity, hydrolyzing all methyl hydroxycinnamates. 67

E-mail address: jcmateos@ciatej.mx (J.C. Mateos-Díaz). Peer review under responsibility of Pontificia Universidad Católica de Valparaíso. Nowadays, Faes from several fungi of the *Aspergillus* genus are 68 produced by solid state fermentation (SSF) with several advantages, 69 such as a high volumetric productivity. Furthermore, many agro-70 industrial by-products containing hydroxycinnamic acids, may be used 71 as supports/substrates for the induction of Fae activity [2,3]. For 72 example, wheat bran and sugar beet pulp are inducers for type A 73 (AnFaeA) and type B (AnFaeB) feruloyl esterases from *Aspergillus niger*, 74 respectively [4,5], while maize bran induces a type C feruloyl esterase 75 from *Aspergillus terreus* [6].

Faes have considerable roles in biotechnological processes in a wide 77 variety of applications such as in biofuel, food, feed, pulp, paper, 78 cosmetics, and pharmaceutical industries [7,8]. They have the ability 79 to release ferulic acid and other hydroxycinnamic acids from plant 80 biomass, which have been demonstrated to possess antioxidant, 81 anti-inflammatory, antitumoral, antimicrobial and photoprotective 82 activities [9] and are precursors of high economic value molecules 83

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[10,11,12]. In addition, when the acidic moiety of a hydroxycinnamic
acid is esterified with an alcohol (aliphatic or sugar), its biological
activities might be enhanced or modified. Furthermore, the
hydrophilic-lipophilic balance modification of hydroxycinnamic acids
after esterification may also allow its incorporation into water or oil
based formulations, which could be of interest in the cosmetic, food,
agro-, and pharmaceutical industries [9].

91 During the last 15 years, several research works demonstrated that 92 alkyl hydroxycinnamates biological activities show a cut-off effect 93 between C₄ and C₈ alkyl chain length [13,14,15]. In this respect, butyl 94 and octyl esters of *p*-coumaric and caffeic acid, showed the highest *in vitro* and *in vivo* anti-inflammatory activity through NF-κβ pathway 95 inhibition, suppressing the secretion of PGE2 [16]. Moreover, short 96 97 and medium alkyl caffeate esters (<C5) showed enhanced antitumoral 98 activities against cervical and gastric cancer cells in comparison 99 with the non-esterified acids [17], while the butyl ester of sinapinic acid is reported as a potent antioxidant inhibiting lipid oxidation 100 101 [18]. Additionally, butyl esters of coumaric acid showed the highest antifungal activity against Pyricularia grisea, Valsa mali or 102 Botryosphaeria dothidea [19]. Finally, it has been demonstrated that 103 butyl ferulate is an important precursor of a novel prodrug, used as a 104 lipophilic antioxidant [20]. All these previous works prove the 105 106 biological importance of butyl hydroxycinnamates and the need of alkyl specific enzymes for their synthesis. 107

The derivatization of hydroxycinnamic acids with an alkyl alcohol has 108 been performed by esterification or transesterification using Faes in a 109 ternary solvent system [21,22,23,24]. This system contains a non-polar 110 111 solvent, an alcohol (used as the substrate), and an aqueous phase containing the enzyme. It has been shown that the concentrations 112 of the solvent, alcohol, and water in this reaction system strongly 113 influence the Fae conversion yield [21,23,25]. The synthesis of 114 115 hydroxycinnamic esters using Faes instead of chemical catalysts reduces 116 the formation of secondary by-products, using environmentally friendly processes. Type C Faes possess a broad specificity during the synthesis 117 of hydroxycinnamic acid esters. Hence, type C Faes from Fusarium 118 oxysporum [26], Myceliophthora thermophila (formerly Sporotrichum 119 120 thermophile) [25], and Talaromyces stipitatus [27] were successfully used in the synthesis of propyl, butyl and arabinosyl hydroxycinnamic 121 acid esters reaching maximum yields of approximately 70% at mild 122 temperatures (30–37°C). However, at our knowledge Faes alkyl 123 specificity during butyl hydroxycinnamates synthesis has been poorly 124 125 studied. Therefore, bioprospecting for alkyl specific Faes capable of 126 synthesizing hydroxycinnamic butyl esters is still required.

127 In a previous work, A. ochraceus was selected among a fungal strain 128 collection isolated from coffee waste and used to produce an enzymatic extract containing Fae activity, which released caffeic acid from coffee 129 130 pulp [28,29]. In this work, a new type C feruloyl esterase from A. ochraceus (AocFaeC) was produced by solid state fermentation using 131 maize bran as an inducer, purified, biochemically characterized and its 132 biocatalytic potential was demonstrated for the alkyl specific synthesis 133 of butyl esters of hydroxycinnamic acids, which own interesting 134 135 biotechnological applications.

136 2. Materials and methods

137 2.1. Materials and strains

Bradford reagent, phenylmethanesulfonyl fluoride (PMSF), MgSO₄, 138 K₂HPO₄, HCl, formic acid, urea, ammonium sulfate, hydroxycinnamic 139 acids, methanol, ethanol, propanol, butanol, tert-butanol, octanol, 140 dodecanol, β - mercaptoethanol, bacteriological agar, bovine serum 141 albumin (BSA), Tween 80, *n*-hexane, ethyl acetate, petroleum ether, 142 diethyl ether, acetonitrile, buffer salts, and metal ion salts were 143 purchased from Sigma (Toluca, Mexico). The broad range molecular 144 weight standard was purchased from Bio-Rad (Ciudad de Mexico, 145 146 Mexico). Maize bran was kindly provided by Minsa® (Los Mochis, Sinaloa, Mexico). *A. niger* strains for type A and type B feruloyl 147 esterase production were kindly donated by Dr. Record and Dr. 148 Levasseur from INRA, France. 149

2.2. Fungal strain conservation and inoculum preparation

A. niger and A. ochraceus spores were maintained in 50% v/v glycerol 151 at -80° C. The spore suspension used as inoculum was prepared in 152 250 ml Erlenmeyer flasks each containing 50 ml of the media reported 153 by Record et al. [30] and Rodríguez et al. [31] for A. niger and 154 A. ochraceus, respectively. For A. ochraceus, washed maize bran with a 155 particle size of approximately 0.15 mm (40 g/l) was used as an 156 inducer. Flasks were incubated for 4 d at 30°C, and spores were 157 collected by stirring 50 ml of 0.01% w/v Tween 80 sterile solution. 158

2.3. Feruloyl esterase production in SSF 159

SSF was performed essentially as described by Rodríguez et al. [31]. 160 Prior to fermentation, maize bran (substrate) was washed thoroughly 161 with tap water to eliminate the soluble sugars. Then, it was dried at 162 30°C for 3 d and finally milled and sieved to achieve a particle size of 163 approximately 0.42 to 0.59 mm. Polyurethane foam was cut into 164 0.5 cm cubes and employed as a support for the SSF. The 165 impregnation media composition (g/l) was as follows: MgSO₄ (1); 166 K_2 HPO₄ (5); and urea (4). The support and substrate were mixed in a 167 1/3 (w/w) proportion, and then, each gram of mixture was added 168 with 1.5-fold concentrated impregnation media at a pH of 6.5 and 169 sterilized at 121°C for 15 min. The spore suspension was added to 170 achieve 3×10^7 spores per gram of dry matter (gdm). The humidity 171 and temperature were adjusted to 75% w/w and 30°C, respectively. 172 After culture, the solid ferment of each column was placed in a plastic 173 bag. The enzymatic extract was obtained after mixing the solid 174 ferment with 2.5 mM MOPS at a pH of 7.2 in a 1/2 (w/v) proportion 175 and applying slight manual pressure to each bag. The enzymatic 176 extract was centrifuged at 14,000 × g for 5 min to eliminate fungal 177 residues and suspended matter. Two fermentation columns were 178 removed every 24 h to measure the Fae hydrolytic activity in the 179 enzymatic extracts using methyl ferulate (MF) as the substrate and 180 the spectrophotometric assay described below. Average values and 181 standard deviation were reported. 182

2.4. Synthesis of methyl and butyl hydroxycinnamates

Each hydroxycinnamic acid was dissolved in methanol or butanol to 184 obtain a 0.5 M solution, and then, 4% (v/v) HCl was added. The reaction 185 mixture was heated under reflux for 18 h. The reaction progress was 186 followed by thin layer chromatography (*n*-hexane/ethyl acetate; 187 2/1) and after complete reaction, the solvent was evaporated under 188 reduced pressure using a rotary evaporator. The obtained powder was 189 purified by silica gel column chromatography using different petroleum 190 ether/diethyl ether mixtures. Fractions with the corresponding ester 191 were pooled and dried to obtain white crystals with a purity of >98%. 192

2.5. Feruloyl esterase hydrolytic activity assays

2.5.1. Spectrophotometric assay

The Fae activity was determined by measuring the hydrolysis of 195 different methyl hydroxycinnamates (5 mM) in the presence of the 196 pH indicator 4-nitrophenol (0.5 mM), as described by Ramírez et al. 197 [28]. The substrate solution was prepared using *tert*-butanol and 198 2.5 mM MOPS at a pH of 7.2 in a 1/9 (v/v) proportion. The decrease in 199 absorbance at 410 nm was continuously monitored in a microplate 200 reader X-Mark from BioRad (Ciudad de Mexico, Mexico) at 30°C and a 201 pH of 7.2 over 15 min. The reaction rate was calculated using the 202 extinction coefficient obtained from standard curves (0 to 1 mM) for 203 each hydroxycinnamic acid. Blank experiments were carried out using 204

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