



# System-level energy consumption modeling and optimization for cellulosic biofuel production



Yuntian Ge, Lin Li\*

Department of Mechanical and Industrial Engineering, University of Illinois at Chicago, Chicago, IL 60607, USA

## HIGHLIGHTS

- A system-level energy model for cellulosic biofuel production is proposed.
- The relationships between energy and production parameters are studied.
- A numerical case is conducted to identify the major energy drivers.
- The impacts of decision variables on energy consumption are analyzed.
- The optimization results in 21.09% reduction in total energy consumption.

## ARTICLE INFO

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## ABSTRACT

As a promising alternative to fossil fuels, cellulosic biofuel has obtained considerable interest due to its potential for mitigating global climate change and enhancing energy security. However, the widespread adoption of cellulosic biofuel is taking place in a slower pace than expected. One major challenge is that the cellulosic biofuel production is still highly energy-intensive. In fact, the energy contained in cellulosic biofuel is less than the energy required for its production. To address this issue, in this paper, an analytical system-level energy model is proposed to characterize the fundamental relationships between total energy consumption and biofuel production parameters in cellulosic biofuel production systems. Furthermore, an optimization strategy based on Particle Swarm Optimization (PSO) is adopted to minimize the energy consumption of cellulosic biofuel production while maintaining the desired biofuel yield. A baseline case is implemented for analyzing energy consumption, and the results show that pretreatment consumes most energy among all processes and the water/biomass ratio is the most significant energy driver. In addition, the optimal solution results in a 21.09% reduction in the total energy consumption compared to the baseline case.

## 1. Introduction

In 2017, the global greenhouse gas (GHG) emissions have reached an all-time high [1], meanwhile, 62% of these emissions are from burning fossil fuels [2]. The extensive use of fossil fuels has led to serious issues like climate change, environmental pollution, human health, and energy supply challenges associated with the irreversible depletion of fossil fuels [3]. Hence, many countries are turning their attentions to new, clean, and sustainable energy sources, e.g., solar energy, wind energy, biofuel, etc.

Biofuel, as one of the most popular renewable energy sources, is expected to play a crucial role in future global energy infrastructure. Currently, biofuel is the only viable and widely available source of renewable transportation energy [4,5]. Based on different biomass

feedstocks, biofuels can be divided into several categories such as sugarcane biofuel, cellulosic biofuel, algae biofuel, etc. Among various types of biofuels, cellulosic biofuel is considered to be the most promising alternative to help mitigate the global climate change [6] due to its wide available feedstock resources and low lifecycle GHG emissions. It is reported that using cellulosic ethanol can achieve up to 90% GHG saving compared to petroleum fuels [7].

Despite the aforementioned advantages, cellulosic biomass needs to go through several energy intensive production processes before these benefits can be realized. A typical cellulosic biofuel production system usually includes size reduction, pretreatment, enzymatic hydrolysis, and fermentation process. During the size reduction process, the raw cellulosic biomass is cut and grinded into small particles for further biochemical conversions. Next, in the pretreatment process, the

\* Corresponding author.

E-mail address: [linli@uic.edu](mailto:linli@uic.edu) (L. Li).

Nomenclature			
<b>Bold face</b>		$Q_{size\ reduction}$	energy required in size reduction process (KJ)
<b>S</b>		$R$	gas constant
<b>X</b>		$R_1$	inner radius of the reactor (m)
<b>V</b>		$R_2$	outer radius of the reactor(m)
		$T_p$	pretreatment temperature (°C)
		$T_h$	enzymatic hydrolysis temperature (°C)
		$T_f$	fermentation temperature (°C)
<b>Upper case</b>		<b>Lower case</b>	
$A$	pre-exponential factor (1/s)	$a$	ratio of the liquid volume and solid spheres volume
$A^p$	pretreatment reactor surface area (m <sup>2</sup> )	$c_1$	learning factor
$A^h$	hydrolysis reactor surface area (m <sup>2</sup> )	$c_2$	learning factor
$A^f$	fermentation reactor surface area (m <sup>2</sup> )	$c_e$	concentration of ethanol (kg/L)
$C_{acid}$	active acid concentration (w/w%)	$c_g$	concentration of glucose (kg/L)
$C_k$	Kick's constant	$c_g$	concentration of xylose (kg/L)
$C_{pw}$	specific heat capacity of water (KJ/mol/K)	$c_{xl}$	concentration of xylose oligomer (kg/L)
$C_{pb}$	specific heat capacity of biomass (KJ/mol/K)	$c_{xo}$	concentration of xylose oligomer (kg/L)
$C_{pa}$	specific heat capacity of acid (KJ/mol/K)	$c_z$	concentration of recombinant (kg/L)
$D_e$	diffusion coefficient (m <sup>2</sup> /s)	$h_{out}$	convection coefficient (W/m <sup>2</sup> /°C)
$E_a$	activation energy (kJ/mol)	$m_a$	mass of diluted acid (kg)
$H_L$	latent heat of water vaporization (KJ/kg)	$m_b$	mass of biomass (kg)
$K_g$	inhibition constant of xylose (g/L)	$m_w$	mass of water (kg)
$K_{xl}$	inhibition constants of xylose (g/L)	$k_{pipe}$	thermal conductivity of the reactor (W/m/°C)
$L_1$	particle size before reduction (mm)	$t_p$	pretreatment time (min)
$L_2$	particle size after reduction (mm)	$t_f$	fermentation time (hour)
$M_g$	molecular weight of glucan (g/mol)	$t_h$	enzymatic hydrolysis time (hour)
$M_{gl}$	molecular weight of glucose (g/mol)	$k$	reaction rate (1/s)
$M_x$	molecular weight of xylan (g/mol)	$q_{emax,g}$	maximum specific ethanol production rate by glucose (g/L)
$M_{xl}$	molecular weight of xylose (g/mol)	$q_{emax,x}$	maximum specific ethanol production rate by xylose (g/L)
$M_{xo}$	molecular weight of xylose oligomer (g/mol)	$q_n$	reaction constant
$N_p$	number of particles in the swarm	$q_{smax,g}$	maximum specific glucose utilization rate (g/L)
$P_{i,g}$	threshold ethanol concentration of glucose (g/mol)	$q_{smax,x}$	maximum specific xylose utilization rate (g/L)
$P_{i,x}$	threshold ethanol concentration of xylose (g/mol)	$p_g$	mass of glucan in feedstock (kg)
$P_{m,g}$	maximum ethanol concentration of glucose (g/mol)	$p_x$	mass of xylan in feedstock (kg)
$P_{m,x}$	maximum ethanol concentration of xylose (g/mol)	<b>Greek</b>	
$Q_{heatloss}$	energy to balance heat loss (KJ)	$\rho_w$	density of water (kg/m <sup>3</sup> )
$Q_{heating}$	energy for heating (KJ)		
$Q_{reaction}$	reaction energy (KJ)		
$Q_{recovery}$	recovered energy (KJ)		

recalcitrant structure of the lignocellulosic material is weakened and most hemicellulose carbohydrates (i.e., xylan, galactan, arabinan, mannan) are converted into soluble sugars. In the enzymatic hydrolysis process, cellulose is catalyzed by enzymes to release shorter chains and ultimately soluble sugars. Finally, these sugars are converted into ethanol in the fermentation process.

In the current literature, numerous studies have been carried out on different aspects of biofuel production. For biomass processing, the effects of various factors on biofuel production are investigated including biomass type [8–11], biomass size [12–14], biomass particle properties [15,16], etc. For biofuel conversion processes, research efforts have been devoted to the improvement of the pretreatment process [17–19], the development of cellulase enzyme [20,21], and genetically modification of fermentation microbe [22,23], etc. Furthermore, lifecycle analysis [24,25] and economic performance evaluations of biofuel production [26,27] have also been conducted.

The aforementioned studies provide considerable knowledge and innovative technological advancements in biofuel production. However, the challenge regarding the high energy demand in producing cellulosic biofuel still exists. It is reported that 1.27 MJ of energy are required to produce 1 MJ ethanol, where 63% is consumed in the production processes [28]. Currently, most studies on the energy analysis of biofuel production adopt the Life Cycle Assessment (LCA)

approach to estimate the energy usage and analyze the environmental impacts [7,24,25,29,30]. However, LCA models are typically linear steady-state models [31], and thus cannot be used to reduce the production energy usage by identifying the optimum system production parameters (e.g., pretreatment temperature, feedstock particle size, fermentation time, etc.). Therefore, more detailed energy modeling and analyses that consider the relationships between these production parameters and energy consumption are emerging, which can be classified into two main categories: simulation-based and analytical studies.

The majority of simulation-based studies use Aspen software to simulate biofuel conversion in single or multiple processes [32–38]. The advantage of simulation-based methods is that they can capture system performance in the quite complex biofuel production systems. However, like LCA models, they are not able to reveal the fundamental mathematical relationships between system parameters and performance measures. Furthermore, the development and execution of simulation models to obtain statistically useful results may be prohibitively expensive and slow, which makes it quite difficult to find optimal solutions.

Compared to simulation-based studies, much less research uses analytical modeling methods due to the difficulties in establishing mathematical relationships between biofuel production parameters and energy consumption. Only a few papers that use analytical modeling

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