# Neighbor sum distinguishing total coloring and list neighbor sum distinguishing total coloring 

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#### Abstract

Let $\chi_{\Sigma}^{t}(G)$ and $\chi_{\Sigma}^{l t}(G)$ be the neighbor sum distinguishing total chromatic and total choice numbers of a graph $G$, respectively. In this paper, we present some new upper bounds of $\chi_{\Sigma}^{l t}(G)$ for $\ell$-degenerate graphs with integer $\ell \geq 1$, and of $\chi_{\Sigma}^{t}(G)$ for 2-degenerate graphs. As applications of these results, (i) for a general graph $G, \chi_{\Sigma}^{t}(G) \leq \chi_{\Sigma}^{l t}(G) \leq$ $\max \left\{\Delta(G)+\left\lfloor\frac{3 \operatorname{col}(G)}{2}\right\rfloor-1,3 \operatorname{col}(G)-2\right\}$, where $\operatorname{col}(G)$ is the coloring number of $G$; (ii) for a 2-degenerate graph $G$, we determine the exact value of $\chi_{\Sigma}^{t}(G)$ if $\Delta(G) \geq 6$ and show that $\chi_{\Sigma}^{t}(G) \leq 7$ if $\Delta(G) \leq 5$.


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## 1. Introduction

For terminology and notations not defined here we follow [3]. Let $G=(V, E)$ be a simple graph and $\phi: V \cup E \rightarrow[k]$ be a total-[k]-weighting where $[k]=\{1, \ldots, k\} . \phi$ is a neighbor sum distinguishing total-[k]-weighting (abbreviated as NSD total-[k]-weighting) if $\phi(u)+\sum_{e \in E(u)} \phi(e) \neq \phi(v)+\sum_{e \in E(v)} \phi(e)$ for each edge $u v \in E$, where $E(x)$ is the set of edges incident with $x$ for a vertex $x$. The NSD total weighting problem was introduced by Przybyło and Woźniak [18] as a variation of a similar problem for edge weighting introduced by Karoński, Łuczak and Thomason [12]. Przybyło and Woźniak [18] conjectured that every graph has an NSD total-[2]-weighting while Karoński, Łuczak and Thomason [12] conjectured that every graph without isolated edges has an NSD edge-[3]-weighting. Those two conjectures are known as the 1-2 Conjecture and the 1-2-3 Conjecture, respectively. Kalkowski, Karoński and Pfender [11] showed that every connected graph with at least three vertices has an NSD edge-[5]-weighting. Kalkowski [10] showed that every graph has an NSD total-[3]-weighting. The readers are referred to a survey paper [20] for more information.

Recently both NSD total weighting and NSD edge weighting have been extended to choosability version. In [2], Bartnicki et al. considered the choosability version of 1-2-3 Conjecture. A graph is said to be NSD edge- $k$-weight-choosable if for every list assignment $L$ which assigns to each edge $e$ a set $L(e)$ of $k$ real numbers, $G$ has an NSD edge weighting $\phi$ such that $\phi(e) \in L(e)$ for each edge $e$. Przybyło and Woźniak [19] and Wong and Zhu [22] independently introduced the list version of NSD total weighting. Let $L(x)$ be a list of $k$ real numbers assigned to each element $x \in V \cup E$ of a graph $G$, and let $L$ be a collection of all these lists. Such $L$ is called a total- $k$-list assignment of $G$. We say that G has an NSD total-L-weighting if there is an NSD total weighting $\phi: V \cup E \rightarrow \cup_{L(x) \in L} L(x)$ such that $\phi(x) \in L(x)$ for each $x \in V \cup E$. A graph G is NSD total-k-weight-choosable if for each total-k-list assignment $L, G$ has an NSD total-L-weighting. Przybyło and Woźniak [19] conjectured that the 1-2 Conjecture is also true for the list version. That is every graph is NSD total-2-weight-choosable. This conjecture was verified

[^0]for trees, cycles, and complete graphs by Przybyło [16] and Wong and Zhu [22] independently. In [23], Wong and Zhu proved that every graph is NSD total-3-weight-choosable.

The (list) edge coloring version of the problem where the edge weighting is a (list) edge coloring has been studied for recent years (see, for example, $[7-9,17,21]$ ). In this paper, we study the (list) total coloring version of the problem. Let $k \geq 1$ be an integer and $L$ be a total- $k$-list assignment of a graph $G$. A total coloring $\phi$ is called a total- $L$-coloring if $\phi(x) \in L(x)$ for each $x \in V \cup E$. Such $\phi$ is neighbor sum distinguishing (NSD) if $\phi(u)+\sum_{e \in E(u)} \phi(e) \neq \phi(v)+\sum_{e \in E(v)} \phi(e)$ for each $u v \in E$. The NSD total choice number, denoted by $\chi_{\Sigma}^{l t}(G)$, is the smallest integer $k$ such that for each total- $k$-list assignment $L$, $G$ has an NSD total-L-coloring. In particular, a total-L-coloring is said to be a total-[k]-coloring if $L(x)=[k]$ for each $x \in V \cup E$. The NSD total chromatic number of $G$, denoted by $\chi_{\Sigma}^{t}(G)$, is the smallest integer $k$ such that $G$ has an NSD total-[k]-coloring. The concept of NSD total-[k]-coloring was introduced by Pilśniak and Woźniak [15], who proposed the following conjecture.

Conjecture 1.1 ([15]). For a graph $G$ with maximum degree $\Delta, \chi_{\Sigma}^{t}(G) \leq \Delta+3$.
Pilśniak and Woźniak [15] showed that Conjecture 1.1 holds for complete graphs, bipartite graphs and graphs with maximum degree 3. Li et al. [13] proved that Conjecture 1.1 holds for planar graphs with maximum degree at least 13. Recently, Li et al. [14] proved the following result.

Theorem 1.2 ([14]). Conjecture 1.1 holds for $K_{4}$-minor-free graphs.
The maximum average degree of $G$ is $\operatorname{mad}(G)=\max \left\{\frac{2|E(H)|}{|V(H)|}: H \subseteq G\right\}$. Dong and Wang [6] showed the following theorem.
Theorem 1.3 ([6]). Let $G$ be a graph with at least two vertices. If $\operatorname{mad}(G)<3$, then $\chi_{\Sigma}^{t}(G) \leq \max \{\Delta+2,7\}$.
The coloring number of a graph $G$, denoted by $\operatorname{col}(G)$, is the least integer $k$ such that $G$ has a vertex enumeration in which each vertex is preceded by fewer than $k$ of its neighbors. By using combinatorial nullstellensatz, Ding et al. [5] presented an upper bound of $\chi_{\Sigma}^{t}(G)$ as follows.

Theorem 1.4 ([5]). For a graph $G$ with at least two vertices, $\chi_{\Sigma}^{t}(G) \leq 2 \Delta+\operatorname{col}(G)-1$.
In this paper, we improve the upper bound in Theorem 1.4 by proving its list version.
Theorem 1.5. For a graph $G$ with maximum degree $\Delta$,

$$
\chi_{\Sigma}^{t}(G) \leq \chi_{\Sigma}^{l t}(G) \leq\left\{\begin{array}{l}
\max \left\{\Delta+\left\lfloor\frac{3 \operatorname{col}(G)}{2}\right\rfloor-1,3 \operatorname{col}(G)-2\right\}, \text { if } \operatorname{col}(G) \leq 3 \\
\max \left\{\Delta+\left\lfloor\frac{3 \operatorname{col}(G)}{2}\right\rfloor-2,3 \operatorname{col}(G)-2\right\}, \text { if } \operatorname{col}(G) \geq 4
\end{array}\right.
$$

For a positive integer $\ell, G$ is $\ell$-degenerate if every subgraph of $G$ contains a vertex of degree at most $\ell$. Note that every graph $G$ is $(\operatorname{col}(G)-1)$-degenerate. For a 2 -degenerate graph $G$, we determine the exact value of $\chi_{\Sigma}^{t}(G)$ when $\Delta \geq 6$.

Theorem 1.6. Let $G$ be a 2-degenerate graph with maximum degree $\Delta$. Then
(1) If $\Delta \leq 5$, then $\chi_{\Sigma}^{t}(G) \leq 7$.
(2) If $\Delta \geq 6$, then

$$
\chi_{\Sigma}^{t}(G)=\left\{\begin{array}{l}
\Delta+1, \text { if } G \text { contains no two adjacent } \Delta \text {-vertices } ; \\
\Delta+2, \text { otherwise } .
\end{array}\right.
$$

Note that trees, $K_{4}$-minor-free graphs, parallel series graphs, planar graphs with girth at least 6 and graphs with $\operatorname{mad}(G)<$ 3 are all 2-degenerate. Therefore, Theorem 1.6 implies Theorems 1.2 and 1.3.

Theorem 1.5 is a direct corollary of Theorem 1.7 on $\ell$-degenerate graphs.
Theorem 1.7. Let $\ell \geq 1$ be an integer and $G$ be an $\ell$-degenerate graph with maximum degree $\Delta$. Then

$$
\chi_{\Sigma}^{l t}(G) \leq\left\{\begin{array}{l}
\max \left\{\Delta+\left\lfloor\frac{3 \ell+1}{2}\right\rfloor, 3 \ell+1\right\}, \text { if } \ell \leq 2 \\
\max \left\{\Delta+\left\lfloor\frac{3 \ell-1}{2}\right\rfloor, 3 \ell+1\right\}, \text { if } \ell \geq 3
\end{array}\right.
$$

We believe that the list version of Conjecture 1.1 is also true.
Conjecture 1.8. For a graph $G$ with maximum degree $\Delta, \chi_{\Sigma}^{l t}(G) \leq \Delta+3$.
Theorem 1.7 implies that Conjecture 1.8 is true for 2-degenerate graphs with $\Delta \geq 4$. Note that if $\Delta=1$ then $\chi_{\Sigma}^{t}(G)=\chi_{\Sigma}^{l t}(G)=3$, and if $G$ contains two adjacent $\Delta$-vertices then $\chi_{\Sigma}^{l t}(G) \geq \chi_{\Sigma}^{t}(G) \geq \Delta+\overline{2}$. Since all trees are 1-degenerate, by Theorem 1.7, we have the following corollary.

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