



# Advancements on SEFE and Partitioned Book Embedding problems



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## ABSTRACT

In this work we investigate the complexity of some combinatorial problems related to the *Simultaneous Embedding with Fixed Edges* (SEFE) and the *PARTITIONED T-COHERENT  $k$ -PAGE BOOK EMBEDDING* (PTBE- $k$ ) problems, which are known to be equivalent under certain conditions. Given  $k$  planar graphs on the same set of  $n$  vertices, the SEFE problem asks to find a drawing of each graph on the same set of  $n$  points in such a way that each edge that is common to more than one graph is represented by the same curve in the drawings of all such graphs. Given a tree  $T$  with  $n$  leaves and a collection of  $k$  edge-sets  $E_i$  connecting pairs of leaves of  $T$ , the PTBE- $k$  problem asks to find an ordering  $\mathcal{O}$  of the leaves of  $T$  that is represented by  $T$  such that the endvertices of two edges in any set  $E_i$  do not alternate in  $\mathcal{O}$ .

The SEFE problem is  $\mathcal{NP}$ -complete for  $k \geq 3$  even if the intersection graph is the same for each pair of graphs (*sunflower intersection*). We prove that this is true even when the intersection graph is a tree and all the input graphs are biconnected. This result implies the  $\mathcal{NP}$ -completeness of PTBE- $k$  for  $k \geq 3$ . However, we prove stronger results on this problem, namely that PTBE- $k$  remains  $\mathcal{NP}$ -complete for  $k \geq 3$  even if (i) two of the input graphs  $G_i = T \cup E_i$  are biconnected and  $T$  is a caterpillar or if (ii)  $T$  is a star. This latter setting is also known in the literature as *PARTITIONED  $k$ -PAGE BOOK EMBEDDING*. On the positive side, we provide a linear-time algorithm for PTBE- $k$  when all but one of the edge-sets induce connected graphs.

Finally, we prove that the problem of maximizing the number of edges that are drawn the same in a SEFE of two graphs (*optimization of SEFE*) is  $\mathcal{NP}$ -complete, even in several restricted settings.

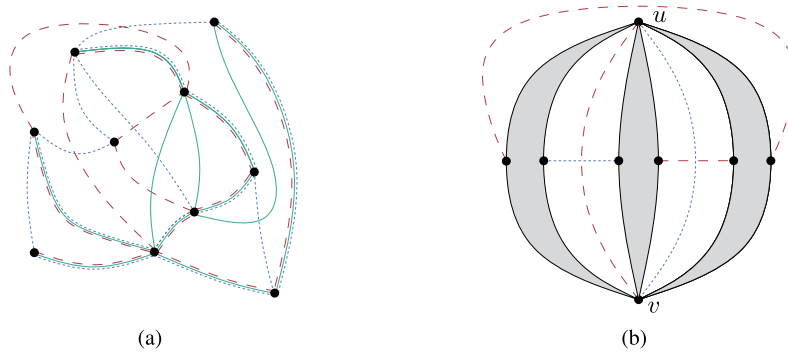
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## 1. Introduction

Let  $G_1, \dots, G_k$  be  $k$  graphs on the same set  $V$  of vertices. A *simultaneous embedding with fixed edges* (SEFE) of  $G_1, \dots, G_k$  consists of  $k$  planar drawings  $\Gamma_1, \dots, \Gamma_k$  of  $G_1, \dots, G_k$ , respectively, such that each vertex  $v \in V$  is mapped to the same point in every drawing  $\Gamma_i$  and each edge that is common to more than one graph is represented by the same simple curve in the drawings of all such graphs. The *SEFE problem* is the problem of testing whether  $k$  input graphs  $G_1, \dots, G_k$  admit a SEFE [1].

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**Fig. 1.** (a) A SUNFLOWER SEFE of three planar graphs. (b) A MAX SEFE of two graphs. Note that edge  $(u, v)$  is represented as a different curve in the two drawings.

The possibility of drawing together a set of graphs gives the opportunity to represent at the same time a set of different binary relationships among the same objects, hence making this topic a fundamental tool in Information Visualization [2]. Motivated by such applications and by their theoretical appealing, simultaneous graph embeddings received wide research attention in the last few years. For an up-to-date survey, see [3].

Recently, a new major milestone to assert the importance of SEFE has been provided by Schaefer [4], who discussed its relationships with some other famous problems in Graph Drawing, proving that SEFE generalizes several of them. In particular, he showed a polynomial-time reduction to SEFE with  $k = 2$  from the *clustered planarity testing* problem [5,6], whose computational complexity is still one of the most important open questions in Graph Drawing. Recently, the reduction in the opposite direction has been proved [7], but only for instances of SEFE of two graphs in which the *intersection graph*, namely the graph composed of edges that belong to both graphs, is connected. We remark that this “connected” version of SEFE is equivalent to problem PARTITIONED T-COHERENT  $k$ -PAGE BOOK EMBEDDING (PTBE- $k$ ) for  $k = 2$ , that is defined [8] as follows. Given a set  $V$  of vertices, a tree  $T$  whose leaves are the elements of  $V$ , and a collection of edge-sets  $E_i \subseteq V \times V$ , for  $i = 1, \dots, k$ , is there an ordering  $\mathcal{O}$  of the elements of  $V$  such that (i) the ordering  $\mathcal{O}$  is represented by  $T$  and (ii) the endvertices of any two edges belonging to the same set  $E_i$  do not alternate in  $\mathcal{O}$ ? Intuitively, the problem aims at placing the vertices along the spine of a book in such a way that (i) the placement is consistent with tree  $T$  and (ii) it is possible to draw the edges of each set on a page of the book without creating any crossing.

For  $k = 2$ , the complexity of SEFE is still unknown, even in its “connected” version PTBE-2; however, polynomial-time algorithms exist for instances  $\langle G_1, G_2 \rangle$  in which: (i) one of  $G_1$  and  $G_2$  has a fixed embedding [9]; (ii) the intersection graph  $G_\cap$  is composed of one [8,10] or more [11] biconnected components, a star [8], or a subcubic [12,4] graph; (iii) each connected component of  $G_\cap$  has a fixed embedding [13]; or (iv)  $G_1$  and  $G_2$  are biconnected and  $G_\cap$  is connected [14].

For larger values of  $k$ , instead, both the SEFE and the PTBE- $k$  problems are known to be  $\mathcal{NP}$ -complete. In fact, Gassner et al. [15] proved  $\mathcal{NP}$ -completeness of SEFE for  $k \geq 3$ , while Hoske [12] proved  $\mathcal{NP}$ -completeness of PTBE- $k$  for  $k$  unbounded. On the other hand, if the embedding of the input graphs is fixed, SEFE becomes polynomial-time solvable for  $k = 3$ , but remains  $\mathcal{NP}$ -complete for  $k \geq 14$  [16].

In Chapter 11 of the Handbook of Graph Drawing and Visualization [3], the SEFE problem with *sunflower intersection* (SUNFLOWER SEFE) is reported as an open question (Open Problem 7). In this setting, the intersection graph  $G_\cap$  is such that, if an edge belongs to  $G_\cap$ , then it belongs to all the input graphs. See Fig. 1(a) for an example. Note that every instance of SEFE with  $k = 2$  obviously has sunflower intersection. We remark that the same technique used in [8] to prove that SEFE of two graphs with connected intersection is equivalent to PTBE-2 can be applied to prove that SUNFLOWER SEFE of  $k$  graphs with connected intersection is equivalent to PTBE- $k$ . Haeupler et al. [10] conjectured that SUNFLOWER SEFE is polynomial-time solvable. However, Schaefer [4] recently proved that this problem is  $\mathcal{NP}$ -complete for  $k \geq 3$  by providing a reduction from PTBE- $k$ . Observe that, this reduction produces instances of SUNFLOWER SEFE in which the intersection graph is a spanning forest composed of an unbounded number of star graphs [4].

### 1.1. Our results

In this paper, we prove that SUNFLOWER SEFE is  $\mathcal{NP}$ -complete for  $k \geq 3$  even if  $G_\cap$  is a single spanning tree and all the input graphs are biconnected. We remark that having higher connectivity, both on the input graphs and on their intersection, is often a key factor to obtain polynomial-time solutions for this problem [8,10,11,14].

Given the equivalence between the connected version of SUNFLOWER SEFE and PTBE- $k$  [8], our result implies the  $\mathcal{NP}$ -completeness of PTBE- $k$  for  $k \geq 3$ ; however, the biconnectivity of the graphs in SUNFLOWER SEFE is not maintained in the reduction to PTBE- $k$ , that is, instances  $\langle T, E_1, \dots, E_k \rangle$  produced by the reduction are such that graphs  $G_i = T \cup E_i$  are possibly not biconnected. In this direction, we investigate the complexity of PTBE- $k$  under stronger assumptions on the connectivity of the input graphs and show that it remains  $\mathcal{NP}$ -complete for  $k \geq 3$  even if two of the input graphs  $G_i$  are biconnected. Further, we prove  $\mathcal{NP}$ -completeness for this problem when  $T$  is a star; this setting, in which the tree  $T$  basi-

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