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On the blow-up criterion for the quasi-geostrophic equations in homogeneous Besov spaces

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ABSTRACT

In this paper, we consider the blow-up criterion for the quasi-geostrophic equations with dissipation Λ^γ ($0 < \gamma < 1$). By establishing a new trilinear estimate, we show that if

$$\theta \in L^{\frac{\gamma}{\gamma+s-1}}(0, T; \dot{B}_{\infty, \infty}^s(\mathbb{R}^2))$$

for some $s \in (1 - \frac{\gamma}{2}, 1)$, then the solution can be extended smoothly past T . This improves and extends the corresponding results in Dong and Pavlović (2009) ([32]) and Yuan (2010).

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1. Introduction

This paper considers the quasi-geostrophic equations

$$\begin{cases} \partial_t \theta + (\mathbf{u} \cdot \nabla) \theta + \kappa \Lambda^\gamma \theta = 0, \\ \mathbf{u} = -\nabla^\perp \Lambda^{-1} \theta = -\mathcal{R}^\perp \theta = (\mathcal{R}_2 \theta, -\mathcal{R}_1 \theta), \\ \theta(0) = \theta_0, \end{cases} \quad (1)$$

where θ is a scalar real value function representing the potential temperature, \mathbf{u} is the fluid velocity field, $\kappa > 0$ is the dissipation coefficient, Λ^γ is given through Fourier transform \mathcal{F} as

$$\mathcal{F}(\Lambda^\gamma f)(\xi) = |\xi|^\gamma \mathcal{F}(f)(\xi), \quad (2)$$

$\nabla^\perp = (-\partial_2, \partial_1) = \left(-\frac{\partial}{\partial x_2}, \frac{\partial}{\partial x_1}\right)$ and $\mathcal{R} = (\mathcal{R}_1, \mathcal{R}_2)$ is the two dimensional Riesz transforms.

The quasi-geostrophic system is an important model in atmospheric and oceanic fluid (see [1,2]). When $\gamma = 1$, system (1) shares many similar features to the three-dimensional Navier–Stokes equations. In fact, applying the operator ∇^\perp to (1)₁, and noticing that $\nabla \cdot \mathbf{u} = 0$, we deduce

$$\partial_t \nabla^\perp \theta + \kappa \Lambda^\gamma \nabla^\perp \theta + (\mathbf{u} \cdot \nabla) \nabla^\perp \theta - (\nabla^\perp \theta \cdot \nabla) \mathbf{u} = \mathbf{0}. \quad (3)$$

We then see readily that $\nabla^\perp \theta$ plays the role of vorticity in the Navier–Stokes equations with fractional dissipation (see [1,3]). Thus the cases $0 < \gamma < 1$, $\gamma = 1$ and $\gamma > 1$ are called the supercritical, critical and subcritical cases respectively. The existence of a global weak solution to (1) was established in [4]. For the strong solutions, global existence was already known in the subcritical case [5], and many efforts have been devoted to the critical case, see [6–9]. However, the global regularity in the supercritical case remains open. One is referred to [10–16] for small data global existence results. Consequently, it is

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natural to characterize the first finite blow up time T^* using suitable norms of the solutions. Let us list some progresses in this respect (see [17–25] for further investigations):

- (1) Constantin–Majda–Tabak [1] established the following Beale–Kato–Majda criterion (see [26])

$$\int_0^{T^*} \|\nabla^\perp \theta(\tau)\|_{L^\infty} d\tau = \infty; \quad (4)$$

- (2) Chae [3] showed the fundamental Serrin type regularity condition

$$\int_0^{T^*} \|\nabla^\perp \theta(\tau)\|_{L^q}^p d\tau = \infty, \quad \frac{\gamma}{p} + \frac{2}{q} = \gamma, \quad \forall \frac{2}{\gamma} < q < \infty; \quad (5)$$

- (3) Dong–Chen [27] then extend (5) to homogeneous Besov spaces $\dot{B}_{q,\infty}^0(\mathbb{R}^2) \supset L^q(\mathbb{R}^2)$ (see [28] for the definition and its fine properties) as

$$\int_0^{T^*} \|\nabla^\perp \theta(\tau)\|_{\dot{B}_{q,\infty}^0}^p d\tau = \infty, \quad \frac{\gamma}{p} + \frac{2}{q} = \gamma, \quad \forall \frac{4}{\gamma} \leq q \leq \infty, \quad (6)$$

with the remaining cases $\frac{2}{\gamma} < q < \frac{4}{\gamma}$ covered in [29];

- (4) Yuan [30] then invoke the Hölder type inequalities in homogeneous Besov spaces to derive the following blow-up criterion

$$\int_0^{T^*} \|\nabla^\perp \theta(\tau)\|_{\dot{B}_{\infty,\infty}^{\frac{2\gamma}{\gamma-2\delta}}}^{\frac{2\gamma}{\gamma-2\delta}} d\tau = \infty \quad \forall 0 < \delta < \frac{\gamma}{2}, \quad (7)$$

which is equivalent to saying that

$$\int_0^{T^*} \|\theta(\tau)\|_{\dot{B}_{\infty,\infty}^s}^{\frac{\gamma}{\gamma+s-1}} d\tau = \infty, \quad \forall 1 - \gamma < s < 1 - \frac{\gamma}{2} \quad (8)$$

in view of the fact that $\|\nabla f\|_{\dot{B}_{p,q}^s}$ and $\|f\|_{\dot{B}_{p,q}^{s+1}}$ are equivalent norms (see [28]);

- (5) on the other hand, Dong–Pavlović [31] considered the regularity criterion in inhomogeneous Besov spaces:

$$\int_0^{T^*} \|\theta(\tau)\|_{\dot{B}_{p,q}^s}^p d\tau = \infty, \quad \frac{\gamma}{p} - s + \frac{2}{p} = \gamma - 1, \quad \forall \{p, q\} \subset [2, \infty), \quad (9)$$

which was further generalized in [32, Theorem 3.5] as

$$\int_0^{T^*} \|\theta(\tau)\|_{\dot{B}_{\infty,\infty}^s}^{\frac{\gamma}{\gamma+s-1}} d\tau = \infty, \quad \forall 1 - \gamma < s \leq 1. \quad (10)$$

These above mentioned results motivate our study. Our aim is to improve (10) from inhomogeneous Besov spaces to homogeneous ones. Notice that the cases $1 - \gamma < s < 1 - \frac{\gamma}{2}$ and $s = 1$ was already achieved in (7) and (6) respectively. So we shall consider the case $1 - \frac{\gamma}{2} \leq s < 1$ only. Our result reads as follows.

Theorem 1. Let $\theta_0 \in H^3(\mathbb{R}^2)$. Assume that $\theta \in C([0, T^*]; H^3(\mathbb{R}^2))$ is the unique local smooth solution of (1) with $T^* < \infty$ being the first blow up time. Then it is necessary that

$$\int_0^{T^*} \|\theta(\tau)\|_{\dot{B}_{\infty,\infty}^s}^{\frac{\gamma}{\gamma+s-1}} d\tau = \infty, \quad \forall 1 - \frac{\gamma}{2} < s < 1. \quad (11)$$

Remark 2. Since $\dot{B}_{p,q}^s(\mathbb{R}^2) = L^p(\mathbb{R}^2) \cap \dot{B}_{p,q}^s(\mathbb{R}^2)$ for any $s > 0$ and $1 \leq p, q \leq \infty$ (see [33, Theorem 6.3.2]), we see our result improves (10) indeed. However, due to our utilization of Lemma 3, we could not show the following blow up criterion

$$\int_0^{T^*} \|\theta(\tau)\|_{\dot{B}_{\infty,\infty}^{1-\frac{\gamma}{2}}}^2 d\tau = \infty \quad (12)$$

at this moment. We hope we can investigate this issue in the future.

During the proof of Theorem 1 in Section 2, we shall use the following key trilinear estimates.

Lemma 3. Let $\alpha > 0$, $1 - \alpha < s < 1$, and $1 \leq k \leq n \in \mathbb{N}$. Then for any $f \in \dot{B}_{\infty,\infty}^s(\mathbb{R}^n)$, $g, h \in \dot{H}^\alpha(\mathbb{R}^n)$, we have

$$\int_{\mathbb{R}^n} \partial_k f \cdot gh \, dx \leq C \|f\|_{\dot{B}_{\infty,\infty}^s} \|g, h\|_{L^2}^{2-\frac{1-s}{\alpha}} \|\Lambda^\alpha g, \Lambda^\alpha h\|_{L^2}^{\frac{1-s}{\alpha}}. \quad (13)$$

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